Narrow-Gap
Semiconductor Photodiodes

Antoni Rogalski
Krzysztof Adamiec
Jarosław Rutkowski
1 Introduction /1
   1.1 Historical background /1
   1.2 General classification of IR detectors /4
   1.3 General theory of photon detectors /8
   References /12

2 Narrow-Gap Semiconductor Materials /15
   2.1 III–V materials /16
   2.2 HgCdTe ternary alloy /21
      2.2.1 Crystal growth /22
      2.2.2 Defects and impurities /34
      2.2.3 Semiconductor properties /39
   2.3 Lead salts /50
      2.3.1 Crystal growth /50
      2.3.2 Defects and impurities /53
      2.3.3 Some physical properties /55
   2.4 Hg-based alternatives to HgCdTe /61
      2.4.1 Crystal growth /62
      2.4.2 Some physical properties /64
   References /69

3 Generation-Recombination Processes: Carrier Lifetime /83
   3.1 Shockley-Read mechanism /85
   3.2 Radiative mechanism /87
   3.3 Auger mechanism /89
      3.3.1 Band-to-band Auger mechanisms /90
      3.3.2 Other Auger recombination mechanisms /95
   3.4 Carrier lifetime in InSb and InAs /97
   3.5 Carrier lifetime in In_xGa_{1-x}As /100
   3.6 Carrier lifetime in Hg_{1-x}Cd_xTe /102
   3.7 Carrier lifetime in lead salts /110
   3.8 Carrier lifetimes in superlattices /116
      3.8.1 HgTe/CdTe superlattices /116
      3.8.2 InAs/Ga_{1-x}In_xSb strained layer superlattices /118
   References /120
Contents

4 Operating Principles of Photodiodes / 129
  4.1 p–n junction photodiodes / 129
    4.1.1 Ideal diffusion-limited p–n junctions / 131
    4.1.2 Real p–n junctions / 140
    4.1.3 Response time / 150
  4.2 Schottky barrier photodiodes / 151
    4.2.1 Schottky-Mott theory and its modifications / 151
    4.2.2 Current transport processes / 153
  4.3 MIS photodiodes / 156
  4.4 Non-equilibrium photodiodes / 161
References / 163

5 Focal Plane Arrays / 167
  5.1 Overview of focal plane array architectures / 169
  5.2 Monolithic focal plane arrays / 172
    5.2.1 Charge-coupled devices / 173
    5.2.2 Charge injection devices / 174
    5.2.3 Charge imaging matrixes / 176
    5.2.4 Silicon FPAs: IT-CCD, CSD and MOS FPAs / 176
    5.2.5 Direct-charge-injection silicon FPAs / 178
  5.3 Hybrid focal plane arrays / 178
    5.3.1 Direct injection / 179
    5.3.2 Buffered direct injection / 182
    5.3.3 Gate modulation / 182
  5.4 Maturity, cost, applications and technology directions / 182
References / 183

6 III–V Photodiodes / 187
  6.1 InSb photodiodes / 187
  6.2 InSb nonequilibrium photodiodes / 196
  6.3 InAs photodiodes / 197
  6.4 InAsSb photodiodes / 200
  6.5 InGaAs photodiodes / 213
  6.6 Focal plane arrays / 218
    6.6.1 Hybrid InSb/Si focal plane arrays / 219
    6.6.2 InSb charge injection devices / 222
    6.6.3 InSb charge-coupled devices / 225
    6.6.4 Hybrid InGaAs/Si focal plane arrays / 226
References / 229

7 HgCdTe Photodiodes / 237
  7.1 Fundamental limitation to HgCdTe photodiode performance / 238
  7.2 Nonfundamental sources of dark current and noise / 249
  7.3 Technology / 251
    7.3.1 Hg in-diffusion / 253
    7.3.2 Ion milling / 254
    7.3.3 Ion implantation / 254
    7.3.4 Doping during growth / 259
    7.3.5 Other techniques / 260

References / 263

Downloaded From: https://www.spiedigitallibrary.org/ebooks/ on 10 Jan 2021
Terms of Use: https://www.spiedigitallibrary.org/terms-of-use
Contents

7.3.6 Passivation / 261
7.3.7 Contact metallization / 263
7.4 Properties of HgCdTe photodiodes / 264
  7.4.1 LWIR devices at low temperatures / 264
  7.4.2 LWIR devices at intermediate and ambient temperatures / 273
  7.4.3 MWIR photodiodes / 275
  7.4.4 SWIR photodiodes / 279
7.5 Dual-band detectors / 280
7.6 Non-equilibrium HgCdTe photodiodes / 285
7.7 MIS photodiodes / 289
7.8 Schottky barrier photodiodes / 292
7.9 Focal plane arrays / 293
  7.9.1 Hybrid FPAs / 295
  7.9.2 Monolithic FPAs / 312
References / 315

8 HgZnTe and HgMnTe Photodiodes / 337
  8.1 HgZnTe photodiodes / 337
  8.2 HgMnTe photodiodes / 348
References / 357

9 Lead Salt Photodiodes / 361
  9.1 Photodiode performance / 362
  9.2 Technology and properties of p–n junction photodiodes / 370
    9.2.1 Diffused photodiodes / 373
    9.2.2 Ion implantation / 375
    9.2.3 Heterojunctions / 375
  9.3 Schottky-barrier photodiodes / 378
  9.4 Unconventional thin film photodiodes / 386
  9.5 Lead salts FPAs / 389
References / 391

10 Superlattice Photodiodes / 399
  10.1 Superlattices—background / 400
    10.1.1 Confined electrons in relation to the quantum well / 400
    10.1.2 Classification of multiple quantum wells and superlattices / 402
    10.1.3 Superlattices for obtaining long wavelength absorption / 406
  10.2 HgTe/CdTe superlattice photodiodes / 407
  10.3 Strained layer superlattice photodiodes / 410
    10.3.1 InAsSb/InSb SLS photodiodes / 415
    10.3.2 InAs/GaInSb SLS photodiodes / 416
References / 424

Final Remarks / 429

Index / 433
Preface

Among the many materials investigated in the infrared (IR) field, narrow-gap semiconductors are the most important. Although the first widely used narrow-gap materials were lead salts (during the 1950s, infrared detectors were built using single-element-cooled PbS and PbSe photoconductive detectors, primarily for anti-air-missile seekers), this semiconductor family was not well distinguished. This situation seems to have resulted from a combination of the two following factors:

- the preparation process of lead salt photoconductive polycrystalline detectors (chemical deposition from a solution, followed by a postgrowth sensitization process) was not well understood and could only be reproduced with well-tried recipes;
- the theory of narrow-gap semiconductor bandgap structure was not well known for correct interpretation of the measured transport and photoelectrical properties of these materials.

The discovery of the transistor stimulated a considerable improvement in growth and material purification techniques. At the same time, rapid advances were being made in the newly discovered III–V compound semiconductor family. One such material was InSb. In 1957, Kane [J. Phys. Chem. Solids 1, 249 (1957)], using a method of quantum perturbation theory (the so-called k⋅p method) in conjunction with requirements from crystal symmetry to investigate the wave functions and from the energy bands at particular points in k space and particularly at k = 0, correctly described the band structure of InSb. Since that time, the Kane band model has been of considerable importance for narrow-gap semiconductor materials.

The end of the 1950s saw the introduction of semiconductor alloys in III–V (InAsSb), IV–VI (PbSnTe, PbSnSe), and II–VI (HgZnTe, HgMnTe) material systems. These alloys allowed the bandgap of the semiconductor and hence the spectral response of the detector to be custom tailored for specific applications. In 1959, research by Lawson and co-workers [J. Phys. Chem. Solids 9, 325 (1959)] triggered development of variable-bandgap Hg$_{1-x}$Cd$_x$Te (HgCdTe) alloys, providing an unprecedented degree of freedom on IR detector design.

The fundamental properties of narrow-gap semiconductors (high optical absorption coefficient, high electron mobility and low thermal generation rate), together with the capability for bandgap engineering, make these alloy systems almost ideal for a wide range of IR detectors. The material technology development was and continues to be primarily for military applications. A negative aspect of support of defense agencies has been the associated secrecy requirements, which inhibit meaningful collaborations among research teams on a national and especially an international level. In addition, the primary focus has been on focal plane array (FPA) demonstrations and much less on expanding the knowledge base. Nevertheless, significant progress has been made over three decades.
At present, HgCdTe is the most widely used variable-gap semiconductor for IR photodetectors.

Several reviews and monographs on narrow-gap semiconductors have previously been published. One of the well-known monographs is *Narrow-Gap Semiconductors* by R. Dornhaus, G. Nimtz, and B. Schlicht, Springer-Verlag, Berlin (1978). Also, many treatises and papers have been published in different scientific journals, such as *Proceedings of Narrow Gap Semiconductor Conferences*, *Proceedings of US Workshops on Physics and Chemistry of Mercury Cadmium Telluride* published in *Journal of Vacuum Science and Technology* and *Journal of Electronic Materials*, and *Proceedings of Conferences on II-VI Compounds* published in *Journal of Crystal Growth*. However, these papers do not contain the basic physical principles of narrow-gap semiconductor photodiodes and their different characterizations dependent on the basic material used for detector fabrication. We hope that this book adequately covers those issues.

This book is written for those who desire a comprehensive analysis of the latest developments in narrow-gap semiconductor photodiode technology and a basic insight into the fundamental processes that are important to evolving photovoltaic detection techniques. Apart from traditional issues, new trends in the development of infrared photon detectors (new ternary alloy systems for infrared detectors, quantum well infrared photodiodes) are also included. Special effort is directed toward the physical limits of photodiode performance and the performance comparison of different types of detectors. The reader should gain a good understanding of the similarities and contrast, and the strengths and weaknesses of the multitude of approaches that have been developed over 40 years of effort to improve our ability to sense infrared radiation.

The level of the book’s presentation is suitable for graduate students in physics and engineering who have received a standard preparation in modern solid state physics and electronic circuits. This book will also be of interest to individuals working with aerospace sensors and systems, remote sensing, thermal imaging, military imaging, optical telecommunications, infrared spectroscopy, and lidar. To satisfy the needs of the first group, many chapters provide the underlying principles and some of the historical background of each topic before discussing the more recent information. For those currently working in the field, the book can be used as a collection of useful data, as a guide to the literature, and as an overview of the topics that covering the wide range of this subject. The book could be also used as a reference for participants in educational short courses organized, e.g., by SPIE.

In this monograph, investigations of the performance of narrow-gap semiconductor photodiodes operated at short-wavelength IR (SWIR), 1–3 μm; medium-wavelength IR (MWIR), 3–5 μm; long-wavelength IR (LWIR), 8–14 μm; and very LWIR (VLWIR), above 14 μm; spectral regions are presented. Recent progress in different IR photodiode technologies is discussed. This book is divided into 10 chapters. The introduction gives a historical overview of the development of IR detectors, detector classification, and describes a general theory of photon detectors. Chapter 2 describes the main topics in crystal technology of narrow-gap semiconductor materials as well their optical and electrical properties. Special emphasis is paid to the modern epitaxy technologies such as liquid-phase epitaxy, molecular beam epitaxy and metalorganic chemical vapor deposition. Because device performance depends critically on the photoexcited carrier lifetime, Chapter 3 discusses the generation-recombination processes in narrow-gap semiconductor materials. Chapter 4 provides an overview of the general theory of three types of photovoltaic detectors based on p–n junctions, Schottky barriers, and MIS devices. An overview of FPA architectures is given in Chapter 5. The objective of the next four chapters is to present the
status of photodiodes fabricated from different materials: III–V compounds (Chapter 6), HgCdTe (Chapter 7), alternative Hg-based ternary alloys (Chapter 8), and lead salts (Chapter 9). Chapter 10 focus on a new class of infrared detectors that utilize advanced growth techniques and “bandgap engineering” physics. Final remarks are included in the last chapter.

The authors have benefited from the kind cooperation of many scientists who are actively working in narrow-gap semiconductor photodiodes. The preparation of this book was aided by many informative and stimulating discussions that the authors had with their colleagues at the Institute of Applied Physics, Military University of Technology in Warsaw, Poland. The authors thank the following individuals for providing preprints, unpublished information, and in some cases original figures, which could be used in preparation of the book: Drs. D. Amingual and J. L. Tissot (LETI-CEA Technologies Avancées, Grenoble, France), Drs. J. M. Arias and L. Kozlowski (Rockwell Science Center, Thousand Oaks, CA, U.S.), Dr. P. Becla (Massachusetts Institute of Technology, Lexington, MA, U.S.), Dr. T. Elliott (Defence Research Agency, Malvern, UK), Prof. J. Piotrowski (Vigo System Ltd., Warsaw, Poland), Dr. M. Razeghi (Northwestern University, Evanston, IL, U.S.), Dr. M. Reine (Lockheed Martin IR Imaging Systems, Lexington, MA, U.S.), Dr. F. F. Sizov (Institute of Semiconductor Physics, Kiev, Ukraine), and Dr. H. Zogg (AFIF at Swiss Federal Institute of Technology, Zurich, Switzerland). Thanks also to SPIE Press, especially Susan Price and Sharon Streams, for their cooperation and care in publishing this edition.

Antoni Rogalski
Krzysztof Adamiec
Jarosław Rutkowski
July 2000