Noninvasive monitoring of hemodynamic stress using quantitative near-infrared frequency-domain photon migration spectroscopy

Tuan H. Pham
University of California
Beckman Laser Institute and Medical Clinic
Irvine, California

René Hornung
University of California
Beckman Laser Institute and Medical Clinic
Irvine, California
and
University Hospital Zurich
Department of Obstetrics and Gynecology
Zurich, Switzerland

Hongphuc P. Ha
Tanya Burney
University of California
Beckman Laser Institute and Medical Clinic
Irvine, California

Dan Serna
Ledford Powell
University of California College of Medicine
Department of Pulmonary Medicine and Cardiothoracic Surgery
Irvine, California

Matthew Brenner
University of California
Beckman Laser Institute and Medical Clinic
Irvine, California
and
University of California College of Medicine
Department of Pulmonary Medicine and Cardiothoracic Surgery
Irvine, California

Bruce J. Tromberg
University of California
Beckman Laser Institute and Medical Clinic
Irvine, California

Abstract. Hemorrhagic hypovolemia and inotropic agent administration were used to manipulate cardiac output (CO) and oxygen delivery in rabbits to investigate the correlation between noninvasive frequency domain photon migration (FDPM) spectroscopy and invasive hemodynamic monitoring parameters. Frequency-domain photon migration provides quantitative measurements of light absorption and reduced scattering ($\mu_a$ and $\mu_s'$, respectively) in tissue. Wavelength dependent $\mu_a$ values were used to calculate in vivo tissue concentration of deoxyhemoglobin [Hb], oxyhemoglobin [HbO2], total hemoglobin [TotHb], and water [H2O] as well as mixed arterial-venous oxygen saturation (S$_O2$) in tissue. FDPM-derived physiologic properties were correlated with invasive measurements of CO and mean pulmonary artery pressure (mPAP), FDPM-derived [TotHb] and S$_O2$ correlated significantly with hemorrhaged volume (HV), mPAP, and CO. Correlation coefficients for [TotHb] vs HV, mPAP, and CO were −0.77, 0.86, and 0.70, respectively. Correlation coefficients of S$_O2$ vs HV, mPAP, and CO were −0.71, 0.55, and 0.61, respectively. Dobutamine induced changes resulted in correlation coefficients between FDPM-derived and invasively measured physiologic parameters that are comparable to those induced by hypovolemia. FDPM spectroscopy is sensitive to changes in mPAP and CO of as little as 15%. These results suggest that FDPM spectroscopy may be used in clinical settings to noninvasively monitor central hemodynamic parameters and to directly assess oxygenation of tissues. © 2002 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.1427046]

Keywords: hemoglobin; hypovolemia; tissue optical properties; shock; tissue oxygenation.

Paper JBO-102104 received Apr. 6, 2001; revised manuscript received Aug. 7, 2001; accepted for publication Aug. 20, 2001.

1 Introduction

Adequate perfusion and oxygenation are critical for tissue viability. Insufficient tissue oxygenation is the underlying cause of tissue damage for an extensive range of medical emergencies, e.g., hypovolemic shock, peripheral vascular disease, and stroke. Tissue oxygenation depends on oxygen delivery (DO2) as well as oxygen consumption (VO2). DO2 is a product of cardiac output (CO) and arterial oxygen content and is an accurate indicator of the overall oxygenation status of the body.12 Regional oxygen delivery depends on DO2 and the local vascular resistance, while VO2 is the difference between arterial delivery and venous return of oxygen (i.e., VO2 = COX($\mu_a O_2$)−[vO2]). Since arterial ($\mu_a O_2$) and venous ($\mu_a O_2$) oxygen contents are generally proportional to hemoglobin oxygen saturation, DO2 and VO2 usually correlate to arterial (S$_a O_2$) and venous (S$_v O_2$) oxygen saturation and cardiac output. Hemodynamic monitoring of DO2 and VO2 is a widely accepted principle for managing various medical emergencies, particularly circulatory dysfunction and shock.13–5 Noninvasive arterial blood pressure and heart rate are considered to be poor indicators of early shock.6

Pulmonary artery thermodilution and Swan–Ganz catheterization to determine CO and pulmonary artery wedge pressure (PWP) are common invasive clinical procedures to measure DO2 and VO2 that may cause serious complications. While DO2 and VO2 are accurate indicators of the overall oxygenation status of the body, they are not necessarily representative of regional tissue oxygenation. The fractional distribution of CO to various organs is cumbersome if not im-
possible to determine, especially in vasculature with multiple arterial supplies and instances of regional heterogeneity. A noninvasive modality capable of quantifying local tissue oxygenation that is also sensitive to factors contributing to DO₂ and VO₂ is of clinical importance for monitoring tissue hypoxia secondary only to shock.

Several noninvasive optical techniques are currently under investigation, including laser Doppler imaging⁷ and near-infrared (NIR) reflectance.⁸⁻¹¹ The methodology and merits of NIR spectroscopy have been intensely examined by numerous researchers.¹²⁻¹⁶ Substantial progress has been made during the past decade in our ability to quantify tissue optical and physiologic properties, largely due to the emergence of time and frequency-domain photon migration techniques.¹¹,¹⁷ Frequency-domain photon migration (FDPM) uses high-frequency (MHz–GHz) intensity modulated near-infrared light to noninvasively quantify light absorption (μₐ) and reduced scattering (μₛ') parameters in tissue. μₐ and μₛ' are sensitive to biologically important light-absorbing and -scattering molecules and structures. Intrinsic NIR absorbers in tissue are generally assumed to be hemoglobin [deoxy-(Hb) and oxy-(HbO₂)], water (H₂O), fat, cytochromes, and melanin.¹⁸,¹⁹ Quantitative measurements of wavelength-dependent light absorption can be used to determine the in vivo concentrations of these species. Additional parameters such as tissue blood volume fraction, hemoglobin oxygen saturation (S₂O₂), and oxygen utilization can be deduced. Note that, in this article, the term tissue oxygenation (S₂O₂) refers to the oxygenation of arteriovenous hemoglobin found within vessels that have diameters ranging from capillaries to medium-size veins/arteries. Although S₂O₂ and oxygen partial pressure (tension) are proportional, they are distinct quantities. Light scatterers are cellular (e.g., organelles, proteins) and extracellular (e.g., collagen, elastin) tissue components.²⁰,²¹ Measurements of wavelength-dependent scattering properties offer insight into the composition, density, and organization of tissue structures. Consequently, changes in wavelength-dependent scattering properties may accompany processes such as ischemia, necrosis, wound healing, and malignancy.

The sensitivity of optical properties to the tissue’s functional and structural components may provide a means for noninvasive assessment of pathophysiologic processes, such as ischemia and hypoxia. Indeed, previous studies have shown significant changes in the tissue’s optical and physiologic properties in response to local ischemia.¹⁷,²²⁻²³ In this pilot study, substantial hemodynamic changes were induced in a rabbit model to investigate how changes in DO₂ affect tissue oxygenation of the limb. The aim of this study was to assess (1) the feasibility of using FDPM spectroscopy to quantify local tissue oxygenation and (2) the sensitivity of FDPM-derived parameters to changes in tissue oxygenation due to perturbation in DO₂.

2 Materials and Methods

Experimental setups based on hypovolemia and dobutamine treatment were selected on the basis of clinical relevance. Hypovolemia was induced in the rabbit in order to decrease CO and thereby decrease DO₂. Dobutamine was administered to increase CO and DO₂. FDPM data were collected concurrently with systemic hemodynamic parameters, specifically, CO, mean pulmonary artery pressure (mPAP), arterial saturation (S₈O₂), and mean systemic arterial pressure (mSAP). FDPM-derived physiologic properties were correlated to hemodynamic parameters.

2.1 Animal

Pathogen-free white New Zealand male rabbits (Myrtle Rabbitry Inc., Thompson Station, TN), weighing 4.0 [±0.4 standard deviation (SD)] kg, were used. Animals were housed in a pathogen-free animal facility and were given a commercial basal diet and water ad libitum. The study was approved by the Institutional Laboratory Animal Care and Use Committee, University of California, Irvine (ARC protocol No. 97-1556).

2.2 Procedures

Hypovolemic hemorrhagic shock was induced in six animals (the hypovolemic group). Dobutamine was administered to another six animals (the dobutamine group). The animals were anesthetized by an intramuscular injection of a ketamine–xylazine mixture at an induction dose of 50 mg/kg ketamine (Ketaject, Phoenix Pharmaceutical Inc., St. Joseph, MI) and 5 mg/kg xylazine (Anased, Lloyd Laboratories, Shenandoah, IA). Anesthesia was maintained as needed by intravenous administration of the ketamine–xylazine mixture at doses of 2.5–5.0 mg/kg ketamine and 0.5–1.0 mg/kg xylazine. Immediately following anesthesia induction, animals were intubated using a size 3.0 endotracheal tube and mechanically ventilated (dual phase control respirator, model 32A48BEPM-5R, Harvard Apparatus, Chicago, IL) at a respiration rate of 32/min and a tidal volume of 50 cc at 100% inspiratory oxygen. The animals were shaved at the thorax and thighs. The chest wall was opened to expose the thoracic cavity, allowing direct access to the heart and aorta. The animals were euthanized by exsanguination immediately after the study in accordance with federal guidelines.

2.3 Physiology Measurements

A calibrated flow transducer (T106 small animal flow meter, Transonic System, Inc., Ithaca, NY) was placed around the ascending aorta to measure CO. A time trace of the cardiac flow was recorded for ~10 s, and an average of the time of the flow profile was calculated to yield the mean amount of CO. The heart rate (HR) was also determined from the time profile of cardiac flow. To measure the pulmonary arterial pressure, a calibrated pressure transducer (TSD104A transducer and MP100 WSW System, Biopac Systems, Inc., Santa Barbara, CA) was connected to a fluid-filled line terminated by an 18 gauge catheter. The catheter was inserted directly into the right ventricular chamber and advanced into the pulmonary artery under continuous monitoring for the duration of the measurement. A time trace of the pulmonary arterial pressure was recorded for 10 s. The systolic and diastolic pressures corresponded to the peak and trough of the pressure profile; the mPAP was calculated digitally. Systemic arterial pressures were similarly measured, with the transducer-connected catheter inserted into the ear artery. The mSAP was recorded.

Blood was drawn from the artery of the ear for arterial blood gas chemistry. All fluid-filled lines connected to the transducers were intermittently flushed with small volumes.
(<1/2 cc) of heparin to prevent blood clots. A pulse oximeter probe (Biox 3700 pulse oximeter, Ohmeda, Hurst, TX) was placed on the forelimb to measure the $S_2O_2$ and HR. Baseline values for all hemodynamic and noninvasive measurements were determined prior to induction of hypovolemia or administration of dobutamine.

### 2.4 Hypovolemia

An 18-gauge needle was inserted into the right atrium and 5 cc of hemorrhaged volume (HV) was slowly removed. Three minutes later, hemodynamic parameters were measured again in conjunction with FDPM spectroscopy. The procedure was repeated until a total HV of 60 cc (corresponding to an average of 42% of the total blood volume) was removed, except for in one rabbit where a total volume of 120 cc was removed.

### 2.5 Dobutamine Administration

Dobutamine doses of 30, 40, and 50 $\mu$g/kg were sequentially administered into the ear vein at a average rates of 40, 53, and 67 $\mu$g/min, respectively. One minute after each dose, the hemodynamic parameters were measured simultaneously with the FDPM measurements. Two trials of administration of dobutamine were carried out on each rabbit. The values obtained from the two trials were averaged.

### 2.6 FDPM

Theory and instrumentation of the FDPM approach to quantify tissue optical properties are described in detail elsewhere. Briefly, FDPM launches sinusoidally intensity modulated NIR light into highly scattering tissues. Light that is sinusoidally intensity modulated at angular frequency $\omega$ propagates in tissues as highly damped diffuse photon-density waves (PDWs) with well-defined wave characteristics, specifically, the phase velocity and decay rate. PDW propagation depends on the bulk tissue optical properties and can be described by a diffusion approximation for light transport in turbid media, e.g., tissues.

Analytically derived solutions to the diffusion equation for the semi-infinite boundary condition yield nonlinear PDW model functions. The model functions are fit to measurements of the frequency-dependent phase shift and amplitude attenuation of diffusely reflected PDWs in order to explicitly calculate tissue $\mu_a$ and $\mu'_a$. Wavelength-dependent absorption is used to determine the tissue concentration of deoxyhemoglobin [Hb], oxyhemoglobin [HbO$_2$], [TotHb] (=[Hb] + [HbO$_2$]), $S_2O_2$=([HbO$_2$]/([TotHb]x100%), and water [H$_2$O]; throughout this article, these abbreviations refer to values derived from FDPM measurements. The tissue concentrations and absorption values are related through molar extinction coefficients, $\varepsilon$, by the equation:

\[
\begin{bmatrix}
\mu_{674} \\
\mu_{798} \\
\mu_{811} \\
\mu_{849} \\
\mu_{956}
\end{bmatrix}
= \begin{bmatrix}
\varepsilon_{Hb} & \varepsilon_{HbO2} & \varepsilon_{H2O} \\
798 & 798 & 798 \\
811 & 811 & 811 \\
849 & 849 & 849 \\
956 & 956 & 956
\end{bmatrix}
\begin{bmatrix}
[Hb] \\
[HbO2] \\
[H2O]
\end{bmatrix}
\]  

Extinction coefficients for Hb, HbO$_2$, and H$_2$O were obtained from published values. Least-squares fits were used to solve Eq. (1), with the constraint that the concentration values must be greater than zero.

For this study, a portable broad-bandwidth FDPM instrument was used to quantify tissue optical properties at multiple NIR wavelengths. FDPM measurements were performed using a probe with a source–detector separation of 9.45 mm. Both the source and detecting fibers were gently placed on the quadriceps muscles to collect the FDPM data set, which consists of phase and amplitude data for the wavelengths at 674, 798, 811, 849, and 956 nm. The quadriceps muscles were chosen because of their large tissue mass and thus best fulfill the semi-infinite boundary condition. Each data set consists of three to four repetitive measurements. Figure 1 is a schematic of the setup used to perform FDPM and hemodynamic measurements.

### 2.7 Data Analysis

Baseline data from the hypovolemic (six rabbits) and dobutamine (six rabbits) group were combined, i.e., hemodynamic- and FDPM-derived data on the 12 rabbits were pooled. Mean and standard deviation ($\pm$SD) were calculated from the pooled baseline values for CO, mPAP, mSAP, and FDPM-derived optical and physiologic parameters.

In order to compare data obtained from different animals, the percentages of change from baseline values were computed for CO, mPAP, mSAP, and FDPM-derived physiologic concentrations. The percentages of change for CO, mPAP, mSAP, [Hb], [HbO$_2$], [TotHb], [$S_2O_2$], and [H$_2$O] from the hypovolemic group were combined. The percentages of change in the FDPM-derived physiologic parameters were correlated to HV, $\Delta$CO, and $\Delta$mPAP. To quantify the extent of association between these variables, the correlation coefficient and the 95% confidence interval were computed. Data from the dobutamine-treated group were analyzed in the same manner.

### 3 Results

#### 3.1 Hemodynamic Measurements

Values of CO, mPAP, and mSAP were measured for each incremental HV or dobutamine dose. Figures 2(a) and 2(b) show typical time traces of the cardiac flow and pulmonary arterial pressure, respectively. HR and CO were determined from the time trace of the cardiac flow, and mPAP was determined from time trace of pulmonary arterial pressure. The mean systemic arterial pressure was recorded. Baseline values for CO, mPAP, and mSAP from the hypovolemic- and dobutamine-treated groups were combined and are summarized in Table 1.

#### 3.2 FDPM

An example of the FDPM phase and amplitude data is shown Figure 3(a) for 798 nm. Model functions were fit to the phase (□, left y axis) and amplitude (●, right y axis) of the PDW to determine the tissues’ optical properties. Model functions (lines) fit the phase and amplitude data well and yielded $\mu_a$ and $\mu'_a$ values. Representative $\mu_a$ (□, left y axis) and $\mu'_a$ (●, right y axis) of the five wavelengths are shown in Figure 3(b).
Also shown is a line that represents a least-squares fit to the wavelength-dependent $\mu_a$ values, resulting in $[\text{Hb}] = 25.8 \, \mu\text{M}$, $[\text{HbO}_2] = 49.6 \, \mu\text{M}$, $[\text{TotHb}] = 75.4 \, \mu\text{M}$, $\text{SaO}_2 = 65.8\%$, and $[\text{H}_2\text{O}] = 31.6 \, \mu\text{M}$ for the specific data set shown in Figure 3. Table 2 summarizes the baseline optical properties of the five wavelengths used, and Table 3 summarizes the baseline FDPM-derived physiologic properties.

### 3.3 Hypovolemia

Figure 4 shows a representative example of the progression of physiologic parameters as a function of the increasing severity.

![Fig. 1 Schematic showing FDPM spectroscopy in conjunction with measurements of hemodynamic parameters. The FDPM instrument consists of the following principal components: computer (1), network analyzer (2), five NIR diode lasers (3) at 674, 798, 811, 849, and 956 nm, optical fiber for the light source (4) and signal detection (5) mounted 9.45 mm apart on a probe (6), and an avalanche photodiode (7). The probe was placed gently on the shaved area of the hind limb. CO was measured using a calibrated flow transducer (8) placed around the aorta. PAP and SAP were measured with pressure transducers placed, respectively, in the right ventricle and advanced into the pulmonary artery (9) and in the artery of the ears (10). SaO$_2$ was initially determined from and arterial blood gas analysis and subsequently monitored with a pulse oximeter placed on the forelimb.](image)

![Fig. 2 (a) Example showing the time trace of the cardiac flow, collected with a calibrated flow transducer placed around the aorta. The time average of the cardiac flow is the mean cardiac output. The heart rate is also determined from the cardiac flow time profile. (b) Time trace of the pulmonary artery pressure. A needle, which was connected to the transducer, was inserted into the right atrium in order to measure PAP. Systolic and diastolic PAP correspond to the peak and trough, respectively. An average of the systolic and diastolic PAP gives the mean PAP.](image)

<table>
<thead>
<tr>
<th>Physiologic parameters</th>
<th>Mean±SD$^a$</th>
</tr>
</thead>
<tbody>
<tr>
<td>CO</td>
<td>394±61 mL/min</td>
</tr>
<tr>
<td>mPAP</td>
<td>12±3 mm Hg</td>
</tr>
<tr>
<td>mSAP</td>
<td>80±13 mm Hg</td>
</tr>
</tbody>
</table>

$^a$ Calculated from baseline data in hypovolemic and dobutamine groups, $n = 12$. 

Table 1 Mean and standard deviation of CO, mPAP, and mSAP.
of hypovolemia. In Figure 4(a), CO (●, left y axis) and mPAP (■, right y axis) started to decrease when as little as 10 mL (~7% total blood volume) of blood was removed, while mSAP (■, right y axis) remained relatively constant until the HV reached ~30 mL (~21% of the total blood volume). Figure 4(b) is a plot of FDPM-derived concentrations for Hb (□, left y axis), HbO₂ (■, left y axis), and H₂O (●, right y axis) versus HV. Figure 4(c) is a plot of TotHb (□, left y axis) and mixed S₉O₂ saturation (●, right y axis) versus HV. As was observed with CO and mPAP values, [HbO₂], [TotHb], and S₉O₂ significantly decrease when as little as 10–15 mL of blood is removed. Interestingly, [Hb] and [H₂O] remained relatively constant even when the hemorrhage volume reached 60 mL (~42% of the total blood volume).

For each animal in the hypovolemic group, we calculated the percentages of change from the baseline values for the hemodynamic- and FDPM-derived physiologic parameters in response to blood loss. The percentage of change data on all animals in the hypovolemic group were combined. To ascertain that acute hemorrhaging decreased mPAP and CO, we determined the correlation coefficients for ΔmPAP vs HV and ΔCO vs HV. Correlation coefficients of ~0.76 and ~0.82 were obtained for ΔmPAP vs HV and for ΔCO vs HV, respectively. The mean PAP and CO decreased by as much as 50% and 80%, respectively, in response to blood loss of 60 cc. Correlations between Δ[TotHb] vs HV, Δ[TotHb] vs ΔmPAP, and Δ[TotHb] vs ΔCO are shown for the hemorrhaged group in Figures 5(a)–5(c), respectively. Δ[TotHb] is seen to be correlated with HV, ΔmPAP, and ΔCO, resulting in correlation coefficients of ~0.77, 0.68, and 0.70, respectively. Furthermore, the [TotHb] measured decreased approximately 10% in response to 60 mL of blood loss, while mPAP decreased 50%, and CO decreased 80%.

Figures 6(a)–6(c) illustrate the relationship of changes in tissue hemoglobin oxygen saturation (ΔS₉O₂) and HV, ΔmPAP, and ΔCO, respectively. As shown Figure 6, ΔS₉O₂ varies with each, resulting in correlation coefficients of ~0.71, 0.55, and 0.61, respectively. As indicated by the smaller magnitude of the correlation coefficients, the association among HV, ΔmPAP, and ΔCO vs ΔS₉O₂ is less dramatic than these parameters versus [TotHb]. Table 4 summarizes the

**Table 2** Mean and standard deviation of FDPM-measured tissue optical properties.

<table>
<thead>
<tr>
<th>Wavelength (nm)</th>
<th>μ₉ mean±SD ☑</th>
<th>μᵢ mean±SD ☑</th>
</tr>
</thead>
<tbody>
<tr>
<td>674</td>
<td>0.0194±0.0012</td>
<td>1.00±0.12</td>
</tr>
<tr>
<td>798</td>
<td>0.0148±0.0009</td>
<td>0.86±0.09</td>
</tr>
<tr>
<td>881</td>
<td>0.0146±0.0011</td>
<td>0.77±0.05</td>
</tr>
<tr>
<td>834</td>
<td>0.0186±0.0011</td>
<td>0.71±0.06</td>
</tr>
<tr>
<td>956</td>
<td>0.0383±0.0012</td>
<td>0.57±0.07</td>
</tr>
</tbody>
</table>

* Calculated from baseline data pooled from hypovolemic and dobutamine-treated groups, n=158.

**Table 3** Mean and standard deviation of FDPM-derived physiologic properties.

<table>
<thead>
<tr>
<th>FDPM-derived physiologic properties</th>
<th>Mean±SD ☑</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Hb]</td>
<td>24.3±1.6 µM</td>
</tr>
<tr>
<td>[HbO₂]</td>
<td>44.9±4.4 µM</td>
</tr>
<tr>
<td>[TotHb]</td>
<td>69.2±5.9 µM</td>
</tr>
<tr>
<td>S₉O₂</td>
<td>64.8%±5.3%</td>
</tr>
<tr>
<td>[H₂O]</td>
<td>30.2±2.2 M</td>
</tr>
</tbody>
</table>

* Calculated using baseline data pooled from both the hypovolemic and dobutamine-treated groups, n=177.
Figure 4: Representative data for the dependence of physiologic as well as FDPM-derived parameters on the hemorrhaged volume. Data points represent mean values and error bars are standard deviations. (a) CO (●, left y axis), mPAP (□, right y axis), and mSAP (■, right y axis) are plotted vs HV. CO and mPAP start to decrease when as little as 10 mL of blood is lost, while mSAP remains constant until the blood loss exceeds 30 mL. (b) FDPM-derived tissue concentrations vs HV: Hb (□, left y axis), HbO2 (■, left y axis), and [H2O] (●, right y axis). [HbO2] decreases with the hemorrhaged volume, while [Hb] and [H2O] remain relatively constant. (c) [TotHb] (□, left y axis) and mixed S02 (●, right y axis) plotted as a function of HV. [TotHb] and S02 decrease in response to blood loss of as little as 10 mL.

Figure 5: FDPM-derived Δ[TotHb] vs (a) HV, (b) ΔmPAP, and (c) ΔCO. Correlation coefficient (r) and 95% confidence intervals for the data are shown in (a)–(c). All data from animals in the hypovolemic group are combined.
correlation coefficients and 95% confidence interval for the associations of $\Delta \text{TotHb}$ and $\Delta S_t \text{O}_2$ vs HV, $\Delta \text{mPAP}$, and $\Delta \text{CO}$.

3.4 Dobutamine

Dobutamine increased the CO and mPAP in a dose dependent manner as shown in Figure 7(a). Cardiac output increased by $\sim$16% and $\sim$32% in response to dobutamine doses of 30 and 40–50 $\mu$g/kg, respectively. Likewise, dobutamine doses of 30 and 40–50 $\mu$g/kg increased mPAP $\sim$25% and $\sim$35%, respectively. Figure 7(b) shows the mean changes in [TotHb] and $S_t \text{O}_2$ in response to administration of dobutamine. The [TotHb] measured increased $\sim$2% and $\sim$2.5% in response to dobutamine doses of 30 and 40–50 $\mu$g/kg, respectively, while $S_t \text{O}_2$ increased $\sim$0.5% for doses between 30 and 50 $\mu$g/kg.

The percentages of change in $\Delta \text{TotHb}$ and $S_t \text{O}_2$ were compared to the dobutamine-mediated increase in CO and mPAP. Figures 8(a) and 8(b) show $\Delta \text{TotHb}$ vs $\Delta \text{CO}$ and $\Delta S_t \text{O}_2$ vs $\Delta \text{CO}$, respectively. A correlation coefficient of 0.70 was observed for $\Delta \text{TotHb}$ vs $\Delta \text{CO}$. As shown in Figure 8 $\Delta \text{TotHb}$ increased approximately 4% in response to a 50% increase in CO. This rate is slightly less than but comparable to the percentages of change in [TotHb] in response to a hypovolemia-mediated decrease in CO, where [TotHb] decreased $\sim$6% in response to a decrease of 50% in CO. The correlation between $\Delta S_t \text{O}_2$ vs $\Delta \text{CO}$ yielded a considerably smaller value of 0.42.

Mixed $S_t \text{O}_2$ increased by less than 1% in response to a dobutamine-mediated increase of 50% in CO. This is considerably less than the changes in $S_t \text{O}_2$ due to the hypovolemia-mediated decrease in CO, where $S_t \text{O}_2$ decreased $\sim$4% in response to a 50% decrease in CO. The correlation coefficients for $\Delta \text{TotHb}$ vs $\Delta \text{mPAP}$ or for $\Delta S_t \text{O}_2$ vs $\Delta \text{mPAP}$ were 0.30 and 0.17, respectively. Table 5 summarizes the correlation coefficients and 95% confidence intervals for statistically significant associations in the dobutamine study.

4 Discussion and Conclusion

Acute hypovolemia is the leading cause of shock. Early identification of impending tissue hypoxia accompanied by rapid intervention constitutes the most effective way in which to prevent organ failure. Presently, the recommended strategy for identifying hypovolemic shock is to assess the clinical signs and symptoms, central blood volume, cardiac output, arterial blood chemistry, and systemic blood pressure. Pulmonary wedge pressure ($PWP$) has been shown to be a sensitive and accurate indicator of central blood volume; low PWP means that the preload volume is insufficient to maintain adequate cardiac output. However, measurements of PWP necessitate invasive monitoring devices that include placing a balloon catheter through the right ventricle into the pulmonary artery. Some of the potential complications of PWP measurements include infection, the rupture of veins, embolisms, and pneumothorax. Pulmonary artery thermodilution catheterization is the most commonly accepted method for measuring CO in a clinical setting. Like PWP measurements, the procedure is invasive. Doppler ultrasound is a noninvasive alternative for measuring cardiac output. However, Doppler ultrasound is less accurate than invasive procedures, it cannot be accurately obtained in many cases, it requires a highly experienced operator, and it cannot
be used for continuous monitoring. 39 Near real-time magnetic resonance imaging is potentially an ideal modality by which to quantify cardiac output as well as to assess anatomical features of the heart. Presently, the use of magnetic resonance imaging for cardiac output measurements is expensive, and is not practical for unstable patients or for frequent serial assessments.

Systemic blood pressure and arterial hemoglobin oxygen saturation (S\textsubscript{a}O\textsubscript{2}) are noninvasive measurements, but are not sensitive indicators of tissue perfusion and oxygenation. Reflex mechanisms, such as systemic vasoconstriction and increased cardiac output can maintain nearly constant blood pressure when faced with severe hypovolemia. Thus, blood pressure decompensation often indicates that shock has progressed or advanced to irreversible stages of organ damage. 3

Because S\textsubscript{a}O\textsubscript{2} represents the oxygenation state of arterial blood, it is an indicator of the oxygen exchange processes of the lung, not of the oxygenation status of local tissues nor a measure of the delivery of oxygen to tissues. Although the product of S\textsubscript{a}O\textsubscript{2} and CO is a good indicator of the delivery of whole body oxygen, it is only an indirect measure of local tissue oxygenation because regional levels depend on the O\textsubscript{2} distribution as well as utilization. In fact, many of the current physiologic measures (CO, PWP, S\textsubscript{a}O\textsubscript{2}, etc.) primarily indicate the perfusion and oxygenation status of the whole body. These whole body hemodynamic parameters may poorly represent delivery of oxygen and utilization of certain organs. 4,29,43

Percutaneous oxygen electrodes measure tissue oxygenation in the local milieu of the sensor. 42 However, electrode-based measurements often reflect the oxygenation status of superficial tissues, they are locally invasive, and they are unreliable \textit{in vivo} for a variety of reasons. Consequently, a noninvasive method that assesses the local tissue oxygen status and, at the same time, correlates to standard hemodynamic parameters is of great clinical interest. 4,29,43

FDPM-derived physiologic properties [TotHb] and S\textsubscript{t}O\textsubscript{2} are direct indicators of the status of local tissue oxygenation. [TotHb] represents hemoglobin in the arteries, capillaries, and veins of tissues at the measurement site. Likewise, S\textsubscript{t}O\textsubscript{2} quan-

### Table 4

<table>
<thead>
<tr>
<th>Parameters to be correlated</th>
<th>Correlation coefficient r\textsuperscript{a}</th>
<th>95% confidence interval (lower limit, upper limit)\textsuperscript{a}</th>
</tr>
</thead>
<tbody>
<tr>
<td>ΔmPAP (%) vs HV (mL)</td>
<td>−0.76</td>
<td>(−0.84, −0.63)</td>
</tr>
<tr>
<td>ΔCO (%) vs HV (mL)</td>
<td>−0.82</td>
<td>(−0.88, −0.72)</td>
</tr>
<tr>
<td>ΔTotHb (%) vs. HV (mL)</td>
<td>−0.77</td>
<td>(−0.85, −0.65)</td>
</tr>
<tr>
<td>ΔTotHb (%) vs ΔmPAP (%)</td>
<td>0.68</td>
<td>(0.53, 0.79)</td>
</tr>
<tr>
<td>ΔTotHb (%) vs ΔCO (%)</td>
<td>0.70</td>
<td>(0.56, 0.81)</td>
</tr>
<tr>
<td>ΔS\textsubscript{t}O\textsubscript{2} (%) vs HV (mL)</td>
<td>−0.71</td>
<td>(−0.81, −0.57)</td>
</tr>
<tr>
<td>ΔS\textsubscript{t}O\textsubscript{2} (%) vs ΔmPAP (%)</td>
<td>0.55</td>
<td>(0.36, 0.70)</td>
</tr>
<tr>
<td>ΔS\textsubscript{t}O\textsubscript{2} (%) vs ΔCO (%)</td>
<td>0.61</td>
<td>(0.44, 0.74)</td>
</tr>
</tbody>
</table>

\textsuperscript{a} All data from the hypovolemic group were combined, n = 68 data points.

![Fig. 7](image-url) Changes in hemodynamic- and FDPM-derived physiologic parameters in response to dobutamine: (a) ΔCO and ΔmPAP vs the dobutamine dose. (b) Δ[TotHb] and ΔS\textsubscript{t}O\textsubscript{2} vs the dobutamine dose. All parameters, except for ΔS\textsubscript{t}O\textsubscript{2}, show dose-dependent responses. All data from animals in the dobutamine-treated group are combined.
tifies the mixed arterial-venous oxygen saturation of local tissues. \([\text{TotHb}]\) and \(S_t O_2\) values depend not only on the delivery of oxygen but also on utilization of local tissue oxygen. \([\text{TotHb}]\) decreases in proportion to a reduction in delivery \((DO_2)\) of regional oxygen. Vasoconstriction and decreased hematocrit are likely to accompany the lowering of \([\text{TotHb}]\). Mixed tissue saturation, i.e., \(S_t O_2\), decreases when the utilization of oxygen exceeds delivery. In fact, FDPM-derived \([\text{TotHb}]\) and \(S_t O_2\) have experimentally been shown to be sensitive to changes in tissue perfusion and oxygenation.\(^{17,25,44}\) Moreover, \([\text{TotHb}]\) and \(S_t O_2\) may also be sensitive to perturbations in \(CO\), \(PWP\), and \(S_a O_2\), since these parameters clearly affect local tissue oxygenation.

The measurements of \(CO\) and \(mPAP\) during administration of dobutamine were consistent with the known pharmacological effects of dobutamine on the circulatory system. Dobutamine increases cardiac output and elevates pulmonary artery pressure.\(^{45,46}\) Our results show that dobutamine increased \(CO\) and \(mPAP\) in a dose-dependent manner (Figure 7). Analysis of the dobutamine data indicates that FDPM-derived \([\text{TotHb}]\) and \(S_t O_2\) are statistically correlated with dobutamine-mediated changes in \(CO\). The dobutamine-mediated changes in \(CO\) are comparable to the sensitivity of \([\text{TotHb}]\) to hypovolemia-mediated changes in \(CO\). Interestingly, the sensitivity of \(\Delta S_t O_2\) to dobutamine-mediated \(\Delta CO\) was considerably lower than its sensitivity to hypovolemia-induced \(\Delta CO\). Specifically, \(S_t O_2\) increased 1% per 50% increase in dobutamine-mediated \(\Delta CO\), while \(S_t O_2\) decreased 4% in response to a 50% decrease in hypovolemia-mediated \(\Delta CO\). A plausible explanation may be that hypovolemia causes intense stress not found in the administration of dobutamine. First, hypovolemia caused systemic vasoconstriction and cardiac output may be preferentially shunted away from the region of the skin to the vital organs, thereby exaggerating the decrease of \(S_t O_2\) in the periphery. Second, tissues may enter hypercatabolic states in response to ischemia, leading to maximal extraction of oxygen from arterial and venous blood as well as causing acidosis. Both factors contribute to the heightened \(S_t O_2\) sensitivity to \(CO\) perturbation observed during hypovolemic shock. In the case of the administration of dobutamine the delivery of oxygen exceeds the demand. The excess oxygen contributes to an increase in \(S_t O_2\), but the tissues’ oxygen saturation clearly does not change to the degree observed in hypovolemia.

FDPM technology is capable of separately measuring \(\mu_a\) and \(\mu'_r\). So far, little is known about the effect(s) of perfusion changes on tissue \(\mu'_r\). In this study, tissue \(\mu'_r\) did not show statistically significant changes in response to hemodynamic

### Table 5

<table>
<thead>
<tr>
<th>Parameters to be correlated</th>
<th>Correlation coefficient (r)</th>
<th>95% confidence interval (lower limit, upper limit)(^a)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(\Delta CO) [%] vs dose (mg/kg)</td>
<td>0.74</td>
<td>(0.44, 0.89)</td>
</tr>
<tr>
<td>(\Delta mPAP) [%] vs dose (mg/kg)</td>
<td>0.56</td>
<td>(0.16, 0.80)</td>
</tr>
<tr>
<td>(\Delta [\text{TotHb}]) [%] vs (\Delta CO) [%]</td>
<td>0.70</td>
<td>(0.38, 0.87)</td>
</tr>
<tr>
<td>(\Delta S_t O_2) [%] vs (\Delta CO) [%]</td>
<td>0.42</td>
<td>(−0.02, 0.73)</td>
</tr>
</tbody>
</table>

\(^a\) All data from the dobutamine-treated group were combined, \(n = 20\) data points.
manipulations. This observation is consistent with the fact that FDPM measurements were performed during the acute phase of tissue ischemia. Because μ' depends mainly on the tissues' structural milieu, it may be sensitive to long-term pathophysiologic processes that alter tissue architecture, including cellular swelling, reperfusion injury, tissue necrosis, and wound healing. These processes do not occur during acute hypovolemia, which may explain why μ' was unperturbed following acute hemorrhaging or administration of dobutamine.

In summary, the results from this pilot study using a rabbit model indicate that the quantitative, FDPM-derived [TotHb] and S\text{O}_2 values statistically correlate with blood loss as well as to hypovolemia- and dobutamine-mediated perturbations in CO and mPAP. Significant is the fact that [TotHb] and S\text{O}_2 are responsive to (1) blood loss of as little as ~7% and (2) changes in mPAP and CO of as little as 15%. These results suggest that [TotHb] and S\text{O}_2 may be used to noninvasively identify impending hypovolemic shock and to monitor the efficacy of therapeutic interventions, such as restoration of the blood volume and administration of dobutamine. Although we have specifically addressed hypovolemic shock in this study, noninvasive FDPM spectroscopy may also be useful for other clinical manifestations associated with tissue hypoxia, such as peripheral vascular disease and septic or cardiogenic shock. FDPM technology warrants further investigation in surgical and critical care settings where quantitative, dynamic monitoring of local tissue oxygenation would be of vital clinical importance.

Acknowledgments

The authors would like to thank Terry Waite-Kennedy and Laurie Newman for their assistance with animal care. This work was supported by the National Institutes of Health (NIH), National Center for Research Resources (NCRR), Laser Microbeam and Medical Program (Grant No. RR-01192), NIH Grant No. R29-GM50958, Department of Energy (DOE Grant No. DE-FG03-91ER61227), Office of Naval Research (ONR Grant No. N00014-91-C-0134), California Tobacco Related Disease Research Program (CTRDRP Grant No. 6R01-0158), and American Lung Association (ALA Grant No. CI-0303-11). One of the authors (T.H.P.) is grateful for support by a Whitaker Foundation Biomedical Engineering graduate fellowship. A second author (R.H.) wishes to acknowledge the Swiss National Research Foundation, the Huggenberger-Bischoff Stiftung, and the Theodor und Ida Herzog-Egli Stiftung.

References
