Biochemical analysis of human breast tissues using Fourier-transform Raman spectroscopy

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1 Introduction

Breast cancer is the most common malignant tumor found in women in the Western world. Usually, breast cancer screening involves two steps. The first one is the search for palpable lesions in the annual clinical breast examination. The second one is the X-ray mammography, in which suspicious local density changes could be detected. Whenever the tissue is particularly dense throughout, ultrasound may also be used to locate suspicious regions. If a lesion is found during the examination, the tissue is submitted to biopsy—which could range from the fine-needle aspiration of single cells to the surgical removal of the entire suspicious mass by excisional biopsy. The main problem of the fine-needle aspiration procedure is the high rate of false positive. Elmore et al. have shown that over a 10-year period, during which subjects received a median of four mammograms and five clinical breast examinations, 31.7% of all women experienced at least one false positive from either test. Other inconveniences are related to the time expended in awaiting the biopsy result as well as the biopsy procedure itself.

The breast is a large secretory gland composed of 15 to 25 autonomous and empty lobes connected to the nipple. The lobes themselves are divided into smaller units, called lobules, which are connected by ducts. Lobular and duct elements consist of single layers of epithelial and myoepithelial cells. The breast undergoes many changes throughout a woman’s life, both progressive due to puberty, pregnancy, and menopause and cyclical due to menstruation. Hormones regulate these changes. This dynamical activity could induce a lot of opportunities for disease. Usually, breast pathology is extremely diverse, but it could be divided into two main categories: benign and malignant pathologies. Most benign breast lesions are part of a spectrum of fibrocystic changes, whereas 70% of malignant lesions are infiltrating duct carcinomas.

Abstract. We employ Fourier–transform Raman spectroscopy to study normal and tumoral human breast tissues, including several subtypes of cancers. We analyzed 194 Raman spectra from breast tissues that were separated into 9 groups according to their corresponding histopathological diagnosis. The assignment of the relevant Raman bands enabled us to connect the several kinds of breast tissues (normal and pathological) to their corresponding biochemical moieties alterations and distinguish among 7 groups: normal breast, fibrocystic condition, duct carcinoma in situ, duct carcinoma in situ with necrosis, infiltrating duct carcinoma not otherwise specified, colloid infiltrating duct carcinoma, and invasive lobular carcinomas. We were able to establish the biochemical basis for each spectrum, relating the observed peaks to specific biomolecules that play a special role in the carcinogenesis process. This work is very useful for the premature optical diagnosis of a broad range of breast pathologies. We noticed that we were not able to differentiate inflammatory and medullary duct carcinomas from infiltrating duct carcinoma not otherwise specified.

Keywords: Raman spectroscopy; breast cancer; optical biopsy.

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It is well known that the increased cell proliferation and metabolic activity in malignant tissues result in changes of the concentration and oxidation states of several biochemical species. Singer et al. have used $^{31}P$- and $^{13}C$-nuclear magnetic resonance spectroscopy to compare the metabolite levels and fluxes through enzymes regulating phospholipids and mitochondrial metabolism of normal mammary epithelial cells with cancer cells. They found a 16–19-fold increase in the phosphocholine content in two primary breast cancer cell lines and a 27-fold increase in phosphocholine content in the metastatic breast cancer cell line compared with the normal breast epithelial cell. They also observed a 30% decrease in the ATP level, an 83% decrease in the phosphocreatine levels, a 50–80% relative reduction in the flux of pyruvate utilized for mitochondrial energy generation, along with a 2-fold increase in the NAD$(+)$/$\text{NADH}$ levels in 21PT, 21NT, and 21MT-2 cells in malignant cells compared to the normal cells. This last finding was suggested as being evidence of impaired mitochondrial metabolism in the breast carcinoma cell lines. Recently, Katz-Brull et al. showed that specific genetic alterations enhance the transport of choline, augment the synthesis of phosphocholine and betaine, and suppress the synthesis of choline-derived ether lipids in breast cancer cells. Through immunohistochemical and biochemical methods, Yeo et al. have shown a connection between the increased levels of altered proteoglycans and stromal desmoplasia, which could explain the alterations in the extracellular matrix synthesis. Alterations in the proteoglycans appear in tumors and wound healing tissues, where they present both greater heterogeneity and longer glycosaminoglycan chains.

Similar to other kinds of cancers, the origin of breast cancer is in great extension related to multiple genetic alterations and protein dysfunctions. In particular, the $p53$ tumor suppressor gene mutation remains the most common genetic change identified in the human neoplasia. Moreover, the common cellular morphological processes evolved in the malignant development, as the loss of differentiation, nuclear enlargement, hyperchromatism, pleomorphism, and atypical mitoses could induce detectable biochemical changes, e.g., increasing nucleoproteins and nucleic acids. Probing these cellular biochemical changes should provide a better understanding of the malignant tumor process mechanism in the human tissues as well as the basis for more precise cancer diagnosis.

Raman spectroscopy is an optical technique that provides information about the molecular vibrational degrees of freedom of the investigated sample being widely used for quantitative and qualitative analytical studies in the fields of chemistry, geology, pharmacology, and solid-state physics. Recently, it has emerged as a nondestructive analytical tool for the biochemical characterization of biological systems due to several advantages such as sensitivity to small structural changes, noninvasive sample capability, and high spatial resolution in the case of Raman microscopy. This technique does not require wide sample preparation or pretreatment, and making use of the FT-Raman technique employing light sources in the infrared region, we saw that the detection of weak Raman signals became easier due to fluorescence suppression. Moreover, the excitation in the near infrared, at 1064 nm, also minimizes the photodegradation of the sample, allowing the employment of larger power densities to compensate for the weak Raman signal generated by longer wavelengths.

Alfano et al. were the first to employ FT-Raman spectroscopy in the study of human breast tissues, using a laser at 1064 nm as the excitation source. They studied 14 breast tissues; three were normal, 4 were benign, and 7 were malignant. They did not study the several carcinoma subtypes. They observed spectral differences between malignant, benign, and normal tissues, but they were unable to associate these differences to biochemical changes. Redd et al. measured the Raman spectra of normal breast tissues using visible light at 406.7, 457.9, and 514.5 nm. They found differences between the peak intensities of normal and malignant tissues and attributed it to changes in the fatty acids and $\beta$-carotene contents. Similar to Alfano et al., the work of Redd et al. did not concern the classification of breast carcinoma subtypes. Frank et al. used excitation at 784 nm to obtain Raman spectra of normal, benign (fibroadenoma), and malignant tissues (infiltrating duct carcinoma not otherwise specified NOS). They noticed that the band at 1439 cm$^{-1}$ in the normal tissue shifted to 1450 cm$^{-1}$ in the infiltrating duct carcinoma NOS, and they attributed this change to the increased protein concentration in malignant samples. Using the ratio between the 1654 and 1439 cm$^{-1}$ band areas, they easily differentiated infiltrating duct carcinoma from normal tissue. However, they were unable to statistically differentiate between infiltrating duct carcinoma and fibroadenoma.

Manoharan et al. proposed the spectral classification of human breast tissues as normal, fibroadenoma, or infiltrating...
duct carcinoma NOS by Raman spectroscopy, looking for the identification of predominant spectral components and comparison to their histological diagnosis. Using statistical multivariate analysis based on principal components analysis (PCA), Manoharan et al.\(^4\) were able to correctly classify normal and pathological tissues, while benign and malignant spectra remained unclassified on that work.

Shafer et al.\(^4\) have applied confocal micro-Raman spectroscopy to the study of human breast tissues. The advantage of the micro-over macro-Raman spectroscopy is the relatively small laser spot size in the former. While the typical spot in macro-Raman is \(50–100 \mu m\), its value is \(5–20 \mu m\) in the micro case. In this way, Shafer-Peltier et al.\(^4\) were able to make a morphological/chemical image of the tissues by fitting the micro-Raman image to a linear combination of basis spectra derived from cell cytoplasm, cell nucleous, fatty acids, \(\beta\)-carotene, collagen, calcium hydroxypatite, calcium oxalate dehydrate, cholesterol-like lipids, and water.

Haka et al.\(^5\) studying the chemical composition with micro-Raman spectroscopy of breast duct microcalcifications, have shown that microcalcifications of calcium oxalate dihydrate occurs in benign lesions while some of those composed of calcium hydroxypatite could be correlated to malignant lesions.

Yu et al.\(^6\) investigated the micro-Raman spectra of normal and malignant breast tissues and found the occurrence of spectral differences involving the bands of symmetric stretching modes of the \(PO_2^-\) group in the DNA, the symmetric stretching modes of O–P–O in RNA, the bands of amide I and amide III, and the peak of the C–O stretching modes in the amino acids.

Yan et al.\(^7\) analyzed the Raman spectra of normal and cancerous breast cells. They showed that the intensities of the 782 and 1084 cm\(^{-1}\) bands of the DNA phosphate group, and 1155 and 1262 cm\(^{-1}\) of deoxyribose-phosphate, had decreased in the cancer cells. Moreover, the bands at 812 cm\(^{-1}\) of A-type DNA and 979 and 668 cm\(^{-1}\) had disappeared. The authors claim that these changes indicate that the phosphate backbone of DNA is partially destroyed in the cancer cells.

In this work we studied the Raman spectra covering the spectral region of 500 to 2100 cm\(^{-1}\) of several human breast tissues to obtain a differentiation between normal tissues and 8 subtypes of breast pathologies, including fibrocystic condition, duct carcinoma in situ, duct carcinoma in situ with necrosis, infiltrating duct carcinoma–NOS, inflammatory infiltrating duct carcinoma, colloid infiltrating duct carcinoma, and invasive lobular carcinoma. Although differentiation between normal and pathological breast tissues is well established in literature,\(^6,10,12,14,15,18–27\) the complete differentiation including subtypes of cancer is of special interest for clinical applications of Raman spectroscopy and, as far as we are concerned, similar study is absent in the literature.

### 2 Methodology

This research was done following ethical principles established by the Brazilian Federal Law Ministry. The patients were informed about the research and signed permission for collecting their tissue samples.

Pathological breast tissues were obtained from 30 female patients assisted in the Mastology Department of the A. C. Camargo Hospital, in São Paulo, Brazil. Normal breast tissues...
were collected from 5 patients submitted to plastic surgery for breast reduction in private clinics in São José dos Campos, Brazil. The samples, soon after the surgical procedure, were identified, snap-frozen, and stored in liquid nitrogen (77 K) in cryogenic vials (Nalgene\textsuperscript{®}) before the FT-Raman spectra recording. For FT-Raman data collection, samples were brought to room temperature and kept moistened in 0.9% physiological solution to preserve their structural characteristics, and placed in a windowless aluminum holder for the Raman spectroscopy. Soon after, the samples were fixed in 10% formaldehyde solution, to further histopathological analysis. After we subtracted the baseline, each spectrum was normalized to the maximum intensity peak. We noticed that the chemical species presented in the physiological solution (Ca\textsuperscript{2+}, Na\textsuperscript{+}, K\textsuperscript{+}, Cl\textsuperscript{−}, water) did not have measurable Raman signal, and their presence did not affect the spectral signal of the tissues.

An FT-Raman spectrometer (Bruker RFS 100/S) was used with an Nd:YAG laser at 1064 nm as the excitation light source. The laser power at the sample was kept at 110 mW while the spectrometer resolution was set to 4 cm\textsuperscript{-1}. The spectra of normal and pathological breast tissues were recorded with 100 and 150 scans, respectively.

Based on the results of the histopathological diagnosis, the spectra obtained in this study were divided in nine groups: (1) normal breast; (2) fibrocystic condition; (3) duct carcinoma in situ; (4) duct carcinoma in situ with necrosis; (5) infiltrating duct carcinoma NOS; (6) inflammatory infiltrating duct carcinoma; (7) medullary infiltrating duct carcinoma; (8) colloid infiltrating duct carcinoma; and (9) invasive lobular carcinoma. After we subtracted the baseline, each spectrum was normalized to the maximum intensity peak (at 1446 cm\textsuperscript{-1}). Afterwards we obtained the average spectrum of each group. Typically, the average was performed over 20 spectra. We estimate the dispersion between the spectra within each group to be <15%. For the succeeding analysis, we considered those spectra presenting a correlation coefficient greater than 70% within each group.

### Results and Discussion

In Fig. 1 we show the average Raman spectrum for each of the above-cited groups normalized to the 1446 cm\textsuperscript{-1} peak. This band corresponds to the C–H deformation mode of methylene group and it is nearly conformational-insensitive.\textsuperscript{13} For this reason, it is a good standard for biological Raman spectral normalization. The spectra were vertically translated for clarity. All groups presented almost the same set of bands and the characteristic features that could differentiate normal from pathological tissues correspond to relative intensity increase/decrease of some bands or absence/appearance of some weak peaks. Several authors have pointed out this fact.\textsuperscript{6,10,12,14,15,18–27}

In Table 1, we show the frequencies of the 12 main vibrational modes observed in the average Raman spectra of all groups. These frequencies were obtained after deconvoluting each spectrum into a sum of Lorentzian peaks. Comparing these data with the literature, we could assign each band to a specific molecular vibration.\textsuperscript{27–29} These assignments are presented in Table 2 and enable one to perform a qualitative biochemical analysis of the normal and pathological breast tissues by confronting spectroscopic and histopathological data.

In Fig. 2 we show the average non-normalized Raman spectra between 1200 and 1800 cm\textsuperscript{-1}. The spectra were vertically translated for clarity, and the intensity of the normal

### Table 2 Assignment of the main regions observed by Raman spectroscopy in normal and pathological human breast tissues.

<table>
<thead>
<tr>
<th>Peaks position (cm\textsuperscript{-1})</th>
<th>Vibrational mode</th>
<th>Major assignments</th>
</tr>
</thead>
<tbody>
<tr>
<td>-538</td>
<td>S–S</td>
<td>disulphide bridges in cysteine</td>
</tr>
<tr>
<td>853–869</td>
<td>(\nu(C-C)), ring breathing, (\nu(O-P-O))</td>
<td>proline, tyrosine, DNA</td>
</tr>
<tr>
<td>935–975</td>
<td>(\nu(C-C)), (\alpha)-helix</td>
<td>proline, valine, protein conformation, glycogen</td>
</tr>
<tr>
<td>-1005</td>
<td>symmetric ring breathing mode</td>
<td>phenylalanine</td>
</tr>
<tr>
<td>1080–1095</td>
<td>(\nu(C-C)) or (\nu(C-O)), (\nu(C-C)) or (\nu(C\cdot\cdot\cdotC)), (\nu(O-P-O))</td>
<td>lipids, nucleic acids, proteins, carbohydrates</td>
</tr>
<tr>
<td>1260–1275</td>
<td>(\nu(C-N)) of amide III, (\nu(\equiv C-H))</td>
<td>proteins ((\alpha)-helix), lipids</td>
</tr>
<tr>
<td>1304–1310</td>
<td>(\delta(CH_2)), (\delta(CH_2CH_2))</td>
<td>adenine, cytosine, collagen, lipids</td>
</tr>
<tr>
<td>-1446</td>
<td>(\delta(CH_2))</td>
<td>lipids, carbohydrates, proteins, and pentose</td>
</tr>
<tr>
<td>1657–1660</td>
<td>(\nu(C==O)) of amide I, (\nu(C==C))</td>
<td>proteins ((\alpha)-helix), lipids</td>
</tr>
<tr>
<td>-1746</td>
<td>(\nu(C==O))</td>
<td>lipids</td>
</tr>
<tr>
<td>-2028, 2062, 2084</td>
<td>(\nu(C==C))</td>
<td>lipids, fatty acids, or hormones</td>
</tr>
</tbody>
</table>

\(\delta\) and \(\nu\) correspond to stretching and twisting vibrational modes of the corresponding chemical bond.\textsuperscript{27–29}
tissue was multiplied by 1/5. At first glance, the pathological tissues are immediately identified by the strong decrease in the intensity of their main bands when compared to the normal tissue. Referring to Table 2, one could infer that the strong Raman bands at 1270, 1304, 1446, 1657, and 1747 cm$^{-1}$ can identify the large amount of lipids present in normal breast tissue. These modes are related to the bond stretching of C–N, C==C, and C==O and the bond twisting of CH$_2$, respectively. Redd et al. identified differences in the lipids and carotene concentrations in breast tissues by Raman spectroscopy. They showed that these bands are strongest in normal breast tissue than in the fibroadenoma and duct carcinoma tissues. They proposed that these spectral characteristics could promote differential diagnosis among normal tissue and benign or malignant pathologies.

Histopathologically, the normal tissues analyzed in our study have shown, as main pathological features, alterations in the collagen content when compared to duct carcinoma in situ and infiltrating, while the others tissues presented necrosis, inflammatory cells, cysts, and DNA content variation.

In Figs. 3 and 4 we have grouped the results for normal tissue, duct carcinoma in situ, and duct carcinoma infiltrating that present as main histopathological characteristic alterations in the collagen content. In Fig. 3 we show the average normalized Raman spectra between 800 and 1400 cm$^{-1}$. The four selected areas in Fig. 3 indicate the bands of the amino acids proline, valine, glycine, and phenylalanine, which characterize the primary structure of proteins. The breast pathological tissues are mainly composed of collagen, and proline, valine, glycine, and phenylalanine are the main collagen’s amino acids. It is clear the intensity increases in these bands in the tumoral tissue compared to the normal one, especially when the carcinoma becomes infiltrating. The origin of this intensity variation probably relies on the different collagen amounts present in normal and pathological tissues. Moreover, it is known that the relative abundance of collagen increases in the carcinogenic process of skin, lung, breast, and epithelial cancers in general. For breast cancers in particular, due to the desmoplastic reaction, also called reactive fibrosis, deposition of abundant collagen occurs as a stromal response to an invasive carcinoma. Structures relatively remote from the cancer itself may be involved such as Cooper’s ligaments and duct structures between the tumor and the nipple. Thus, it is expected that the Raman spectra of infiltrating ductal carcinoma show more intense proline, valine, glycine, and phenylalanine collagen’s bands than other tissues. This fact was just observed in fibroadenoma, infiltrating ductal, and invasive lobular carcinomas. Considering that the infiltrating ductal carcinoma NOS is the most pathogenic of the breast cancers, the relative collagen content, as determined by Raman spectroscopy, could be used as breast cancer pathogenicity quantifier.

In Fig. 4 we show the average normalized Raman spectra between 1200 and 1800 cm$^{-1}$. The selected areas indicate the amide I and amide III bands corresponding to vibrational modes of the peptide bonds of the secondary structure of proteins. These peaks also became more intense in the malignant tissues, especially in the infiltrating carcinoma. The amide I and amide III bands correspond to the pepti bonds in the secondary structure of proteins.
band corresponds to vibration of C, O, and H atoms in the CONH–group.\textsuperscript{28} The amide III band involves the motion of C–radical, C–N, and N–H groups.\textsuperscript{28} Mahadevan-Jansen et al.\textsuperscript{29} also observed these bands by studying the role of the proteins in benign and malignant breast tissues. Beyond the spectral differences between benign and malignant tissues, our results show measurable differences in the secondary structure of proteins in breast tissues that could differentiate subtypes of malignant lesions, such as ductal carcinoma in situ and infiltrating ductal carcinoma, and jointly with collagen content could be used to quantify the degree of pathogenicity of breast cancer.

In Fig. 5 we compare the duct carcinoma in situ with and without necrosis, analyzing the spectral differences of the necrotic elements. In this figure, we show the average normalized Raman spectra of the duct carcinoma in situ with and without necrosis in the spectral range of 800 to 1800 cm\textsuperscript{-1}. In the stippled area we indicate the low-intensity bands that have shown a relative intensity increase in the tissue with necrosis. As shown in Table 2, the peaks in the region of 1304–1310, 1446, and 1657–1660 cm\textsuperscript{-1} are vibrational modes of adenosine, cytosine, collagen, lipids, carbohydrates, proteins, and pentoses, respectively. These bands are very similar to those seen by Shafer-Peltier et al.\textsuperscript{14} in the cholesterol sample simulating necrosis.

Fig. 5 Normalized average Raman spectra of the in situ duct with and without necrosis tissues in the spectral range of 800 to 1800 cm\textsuperscript{-1}. The stippled area shows the low-intensity bands, which are very similar to those seen by Shafer-Peltier et al.\textsuperscript{14} in the cholesterol sample simulating necrosis.

In Fig. 6 we compare the fibrocystic condition, infiltrating duct carcinoma NOS, and colloid type in the spectral range of 800 to 1200 cm\textsuperscript{-1}.

Fig. 6 Normalized average Raman spectra of the fibrocystic condition, infiltrating duct carcinoma NOS, and colloid type in the spectral range of 800 to 1200 cm\textsuperscript{-1}.

In Figs. 7 and 8 we compare the normal tissue, carcinoma ductal in situ, infiltrating duct carcinoma, and invasive lobular carcinoma, relating the invasiveness potential to the cysteine content and C\textsuperscript{\textendash}C band. Fig. 7 shows the average normalized Raman spectra in the region of 500 to 580 cm\textsuperscript{-1}. We found that there are special features in this region that could be of interest for the clinical diagnosis between normal, in situ, and infiltrating tissues. The most intense peak, at 538 cm\textsuperscript{-1}, is related to disulphide bridges in cysteine, and it presents a strong intensity increase when compared to the normal tissue. The invasive tumors showed more intense showed in Fig. 3. Carbohydrate bands that are related to the amorphous substance of the cystic content dominate the region between 1020 and 1140 cm\textsuperscript{-1}. The vibrational bands at 1065 and 1085 cm\textsuperscript{-1} are related to the CH–OH bond and C–O stretching coupled to the C–O group of the carbohydrates. The bands at 1050, 1065, and 1150 cm\textsuperscript{-1} of infiltrating duct carcinoma, fibrocystic condition, and colloid infiltrating duct carcinoma present an increasing intensity. This fact could be used to classify these three subtypes of carcinomas, and it refers to the different colloid content present in the samples.

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peaks than carcinoma in situ. Thomssen et al.\textsuperscript{32} have shown that the lysosomal cysteine proteases cathepsin B and cathepsin L have been implicated in tumor spread and metastasis, underlining the significance of tumor-associated proteolysis for invasion and metastasis. In this way, the observed intensity variation of the Raman band at 538 cm\textsuperscript{-1} in normal tissue, in situ, and infiltrating carcinomas could be well understood when keeping in mind these changes in cysteine content occurring in the tumoral process. For this reason, this spectral region could be used to perform initial diagnosis and real-time differentiation between in situ and infiltrating lesions. Unfortunately, from our data we were not able to differentiate infiltrating duct from infiltrating lobular carcinomas.

Fig. 8 shows the average normalized Raman spectra in the region between 2000 and 2100 cm\textsuperscript{-1} for the same set of tissues in Fig. 7. One could observe that the peaks at 2028 and 2083 cm\textsuperscript{-1} are related to proteins and nucleic acids.

In this study we analyzed the Raman spectra of normal and tumoral breast tissues, including several subtypes of cancers, searching for specific spectral features that could differentiate between normal and pathological tissues. The collected samples were histopathologically classified into 9 groups according to their morphological features. Through the qualitative analysis of the Raman spectra and assignment of the relevant bands from the literature, it was possible to build spectral models and differentiate among 7 groups: normal breast, fibroepithelial condition, duct carcinoma in situ, duct carcinoma in situ with necrosis, infiltrating duct carcinoma NOS, colloid infiltrating duct carcinoma, and invasive lobular carcinoma. These differences were established through the comparative study between the spectral differences and the histopathological diagnosis. Furthermore, we were able to establish the biochemical basis for each spectrum by relating the observed peaks to specific biomolecules that have a special role in the carcinogenesis process. We noticed that we were not able to differentiate inflammatory and medullary duct carcinomas from infiltrating duct carcinoma NOS. Our results are summarized in Fig. 9. This work is very useful for the precocious optical diagnosis of a broad range of breast pathologies. As far as we are concerned, similar work has not appeared in the literature.

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