# Shape anisotropy induces rotations in optically trapped red blood cells

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Abstract. A combined experimental and theoretical study is carried out to probe the rotational behavior of red blood cells (RBCs) in a single beam optical trap. We induce shape changes in RBCs by altering the properties of the suspension medium in which live cells float. We find that certain shape anisotropies result in the rotation of optically trapped cells. Indeed, even normal (healthy) RBCs can be made to rotate using linearly polarized trapping light by altering the osmotic stress the cells are subjected to. Hyperosmotic stress is found to induce shape anisotropies. We also probe the effect of the medium's viscosity on cell rotation. The observed rotations are modeled using a Langevin-type equation of motion that takes into account frictional forces that are generated as RBCs rotate in the medium. We observe good correlation between our measured data and calculated results. © 2010 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.3430732]

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# 1 Introduction

The use of optical tweezers to carry out contactless manipulation of biological matter under physiological conditions has widespread implications and, as a result, optical trapping technology has been extensively used for manipulation of cells and quantitative studies of the cell membrane.<sup>1</sup> Elasticity of red blood cells (RBCs) is mainly related to membrane properties that have long been of interest to both biologists and physicists. The ability to undergo shape deformation under shear flow conditions constitutes an important attribute of RBCs in circulatory dynamics<sup>2</sup> as it is intricately related to the cell's oxygen-carrying capability. The biomechanical properties of RBCs are also interesting and important from the standpoint of rheological and fundamental behavior in the cardiovascular system.<sup>3,4</sup> The relatively recent development of dual-beam optical tweezers<sup>5-9</sup> and combinations of trap technology with fluorescence and liquid flow methods<sup>10</sup> has further enhanced the use of optical methods in this emerging area of importance in contemporary cell biology.

It is known that the normal biconcave shape of an RBC changes with physiochemical conditions, including those that

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are optically induced.<sup>11</sup> This applies to normal (healthy) cells as well as those that are infected by parasites. In previous work on healthy and malaria-infected RBCs, it was established that optical trapping is accompanied by shape distortion (buckling), such that the original disklike cell folds into a rodlike shape.<sup>12</sup> This folding action was rationalized in terms of Euler buckling<sup>13</sup> related to the elastic properties of the cell membrane. Quantification of the membrane shear modulus of a single, fluorescently labeled RBC has now been shown to be possible.<sup>10</sup>

Alterations in the shape of microscopic objects can affect their dynamic behavior in an optical trap, with propeller-type shapes resulting in rotational motion. In earlier work reported from our laboratory,<sup>12</sup> we showed that malaria-infected cells rotated with linearly polarized light but normal cells did not. We attributed this to the malarial parasite inducing changes in cell membrane elasticity that lead to changes in cell morphology. The latter results in folding accompanied by twisting on irradiation of the cell by laser light in a trap, leading to rotational motion.<sup>12</sup> We now show that the malarial parasite is not the only agent that can induce appropriate morphological changes in the cell: these can also be induced by altering the properties of the medium in which the cells are floating. And this is precisely what this work demonstrates. Rotational motion can be achieved with morphologically distorted healthy cells by appropriate "engineering" of the osmolarity of the medium in such a fashion that the rotational motion of malarial cells can be mimicked by healthy cells. The key to achieving rotational motion of trapped RBCs is the shape distortion: it does not seem to matter if the distortion is induced by a parasite or by medium properties.

We achieve shape engineering either by altering the hyperosmotic stress the cells are subjected to or by introducing different ions in the suspension medium. The results that we report here show that hyperosmotic stress induces shape anisotropies that, in turn, induce the rotational motion. What effect hyperosmotic stress has on the shape of a cell has been a source of some ambiguity in recent literature, with claims being made that under different osmolarities the trapped RBC maintains its shape,<sup>14</sup> and counterclaims that osmolarity does, indeed, lead to changes in shape of the cell.<sup>15</sup> Data that we present in this paper lends support to the latter and not the former. Additionally, we probe the effect of the medium's viscosity on cell rotation. We model the observed rotations using a Langevin-type equation of motion that takes into account frictional forces that are generated as RBCs rotate in the medium. We observe good correlation between our measured data and calculated results. The experiments that we report in this paper were conducted using both human RBCs and those obtained from mice. The latter enable us to access higher values of parasitemia than is possible with human RBCs, thereby enabling higher levels of accuracy in the data that we report in this paper.

# 2 **Experimental Details**

#### 2.1 Sample Preparation of Human RBCs

Blood samples from humans were collected in a sterile tube containing the anticoagulant ACD (anticoagulant citrate dextrose; 136 mM glucose, 38 mM citric acid monohydrate, and 75 mM sodium citrate) and centrifuged at 3000 rpm for

10 min. The plasma and buffy coat containing white blood cells were aspirated out, and purified erythrocytes were washed with sterile RPMI (Roswell Park Memorial Institute; Life Technologies, Inc.) medium with 28 mM NaHCO<sub>3</sub>, 25 mM HEPES, and 80  $\mu$ g/ml gentamycin sulphate. The ervthrocytes were resuspended in sterile RPMI medium containing 0.5% albumax (Life Technologies, Inc.) as a 50% v/v suspension, and stored at 4 °C. Before imaging, RBCs were pelleted at 3000 rpm for 10 min, resuspended in solution at a dilution of about 10<sup>6</sup> cells/ml, from which 40 to 50 ml was used on a microscope slide with a coverslip for imaging. Before loading the RBCs, the coverslip was coated with a thin layer of 10% BSA (bovine serum albumin; in doubly distilled water), which prevented any RBC from adhering to the glass surface. To create solutions of different viscosities, blood cells were resuspended in PBS (phosphate-buffered saline) solution composed of NaCl (136 mM), KCl (2.68 mM), Na<sub>2</sub>HPO<sub>4</sub> (10.14 mM), and  $KH_2PO_4$  with increasing concentrations of BSA from 0 to 10%. To create different osmolarities,  $10 \times$ PBS solution was diluted with dd/w (double distilled water). To check the effect of different ions,  $10 \times$  stock solutions of PBS, HEPES, NaCl, and CaCl<sub>2</sub> were diluted with doubly distilled water to an osmolarity of 1200 mOsm.

### 2.2 Sample Preparation of Mouse-Plasmodium-Infected RBCs

P.yoelli 17XL strain was maintained by passaging asexual stages through BALB/c mice. Tail bleeds were collected from mice showing approximately 20 to 40% peripheral blood parasitemia (typical parasitemia in human RBCs is  $\sim 1\%$ ), and diluted 1:10 in incomplete RPMI. About 100  $\mu$ l of this dilution was injected intraperitoneally into naive BALB/c mice for the expansion of parasites. Parasitemia was monitored by microscopic examination of blood smears stained with Giemsa. Blood samples from mice were collected in a sterile tube containing the anticoagulant ACD (136 mM glucose, 38 mM citric acid monohydrate, and 75 mM sodium citrate) and centrifuged at 3000 rpm for 10 min. The plasma and buffy coat containing white blood cells were aspirated out and purified erythrocytes were washed with sterile RPMI (Life Technologies, Inc.) medium with 28 mM NaHCO<sub>3</sub>, 25 mM HEPES, and 80 mg/ml gentamicin sulphate. The erythrocytes were resuspended in sterile RPMI medium containing 0.5% albumax (Life Technologies, Inc.) as a 50% v/v suspension, and diluted for use. NRBCs were obtained from uninfected and IRBCs from infected mice. Before carrying out experiments, the RBCs were diluted to  $10^6$  cells/ml and stained with DAPI for nuclear labeling  $(1 \ \mu g/ml)$  in RBC suspension for 10 min, wash twice with RPMI).

#### 2.3 Optical Tweezers Setup

The single-beam optical trap used in these studies was described earlier.<sup>16</sup> In brief, the optical trap is formed using a diode-pumped Nd-YAG laser beam (at a 1.064- $\mu$ m wavelength) with a TEM<sub>00</sub> mode profile. The laser beam is focused with a 100× [numerical aperture (NA)=1.3] oil-immersed objective onto a sample which is placed on an inverted microscope (Nikon TE2000-U). The laser power was limited to ~25 mW to avoid possible damage to the trapped RBCs. At these power levels, typical values of trap stiffness achieved in

our apparatus were 0.0038 pN nm<sup>-1</sup> along the *x* direction and 0.0036 pN nm<sup>-1</sup> along the orthogonal direction. Direct imaging of the trapped cells enabled us to make real-time measurement of rotational speed and cell folding time. We considered the possibility that the medium's absorbance of 1064-nm laser light might induce thermal effects that, in turn, might affect the trapping and rotational dynamics of RBCs. We made careful measurements of the extinction coefficient of the medium at 1064 nm over a range of osmolarities (300 to 1200 mOsm) and found that there is negligible absorption of 1064-nm light by the medium.

# **2.4** Assessment of Perturbed Cells, Percentage of Rotating Cells, and Rotation Speed

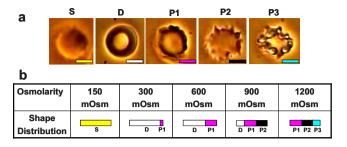
About 10 to 20  $\mu$ l of suspension was placed on a coverslip. Typically, an RBC placed in the trap moves toward the trap focus and folds into a rodlike shape under the influence of the trap. The RBC was allowed to remain in the trap for several seconds to record any rotation that occurred. These events were recorded for several RBCs using a CCD camera interfaced with a computer. Several fields of RBCs were also scanned to look at any shape changes in the RBCs. Subsequent analysis was performed by analyzing the movies in real time to measure percentage of rotation in total trapped cells. The rotation speed was calculated for each individual rotating RBC by taking the average of several rotation periods and converting it to rotation per minute (rpm). RBC populations were visually analyzed to identify different subsets of perturbed cells.

# 2.5 Statistical Analysis

Analysis was performed using standard statistical techniques and Graphpad Instat software. The data sets were first checked for normality by the standard Kolmogorov-Smirnov method. Data sets that passed the normality test were further tested using a one-way analysis of variance (ANOVA) followed by Tukey-Kramer's multiple comparison tests. If the data sets failed the normality test, further testing was done using a Kruskal-Wallis test followed by Dunn's nonparametric test. All tests were two-tailed.

#### 3 Results and Discussion

We have conducted measurements to probe the effect of changes in osmolarity on the shape of the RBC. The trapped cell's rotation was used as a diagnostic of shape change. Figure 1 summarizes how RBC shapes alter as the osmolarity of the suspension medium changes over the range 150 to 1200 mOsm. It is clearly seen that under hypotonic conditions (150 mOsm) there is considerable lysis, and the cells swell to a spherical shape (S). In case of cells experiencing isotonic conditions (~300 mOsm), most maintain their biconcave shape (D), with a small percentage of cells (1 to 5%) adopting flattened and blebbed morphology. Under hypertonic conditions, the RBCs show mixed shapes, which can be slightly perturbed (P1), moderately perturbed (P2), or highly perturbed (P3). At even higher osmolarities (900 and 1200 mOsm) mostly perturbed forms were observed. We studied the effect of different morphologies or shape changes



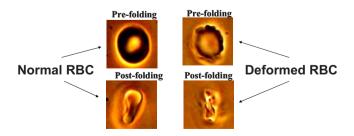
**Fig. 1** (a) Images of different healthy human RBCs (untrapped) observed under different osmolar conditions. With increase in osmolarity RBCs become increasingly deformed/disrupted (see text): *S*, spherocyte; D, discocyte; P1, mildly perturbed; P2, moderately perturbed; P3, highly perturbed. Most perturbed cells represent different stages of echinocytes. (b) Distribution of cell shapes at various osmolarities.

on the folding as well as the rotational behavior of the RBCs when they were subjected to optical forces within the focal volume of our trap.

We first considered the folding behavior of both the normal RBCs and shape-deformed RBCs. At 150 mOsm, the cells neither fold nor rotate in the trap. We observed that under isotonic conditions (300 mOsm), most of the cells have biconcave shape and on trapping, they fold into a rodlike shape (see Fig. 2), which then aligns along the direction of the optical field vector. On the other hand, under hypertonic conditions (1200 mOsm), cells become flattened and blebbed; they fold and acquire a twisted shape (Fig. 2).

Considerable evidence, particularly in the form of realtime movie clips, now exists to show that normal (healthy) RBCs kept under physiological conditions undergo folding action on being exposed to the focal volume of an optical trap; a theoretical model based on Euler buckling<sup>13</sup> was developed that succeeds in accounting for cell folding that has been experimentally observed. Over and above the folding action, note that RBCs are also known to undergo flipping and rolling action but only under fluid flow conditions; indeed, it was demonstrated in earlier work<sup>17</sup> that the propensity of RBCs to undergo flipping and rolling under flow conditions is enhanced in the case of malaria-infected cells.

In the context of our static optical trap under appropriate conditions, the trapped cells undergo folding and twisting (see Fig. 3); these twisted cells start rotating about the polarization direction of the optical field, either in clockwise or anticlock-



**Fig. 2** Images of trapped healthy and disrupted/deformed (rotating) human RBCs before and after folding. The healthy cells were kept in isotonic conditions (300 mOsm), while the deformed cells were kept in hypertonic conditions (1200 mOsm).

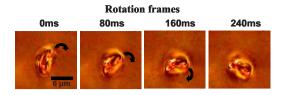
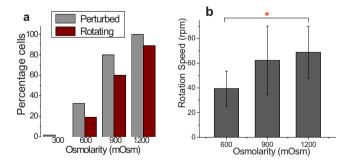


Fig. 3 Successive frames of a human RBC under hypertonic conditions (1200 mOsm) rotating clockwise.

wise fashion. Occasionally we observe cells that can be made to rotate in a controlled fashion in either direction by changing the focus of the trap.

In Fig. 4(a) we quantify the percentage of cells that (1) are shape deformed (perturbed) and (2) rotate in the trap. We also quantify [in Fig. 4(b)] the rotation speeds at different osmolarities. Note that none of the RBCs rotate under isotonic conditions (300 mOsm), irrespective of their morphology. As the osmolarity increases from 600 to 1200 mOsm, the percentage of rotating cells increases linearly (from 20 to 90%). The percentage of perturbed cells shows a similar trend (36 to 100%). Note that not all of perturbed cells rotate [Fig 4(a)]. This observation contradicts earlier claims<sup>15,18</sup> regarding the relationship between cell rotation and the morphology of RBCs. Our data show that rotation speed increases with osmolarity over the range 600 to 1200 mOsm [Fig. 4(b)].

We also studied the role played by different ions in the suspension medium on RBC shapes and rotation dynamics. Our experiments are carried out at 1200 mOsm using different solutions (PBS, NaCl, CaCl<sub>2</sub>, NaCl+CaCl<sub>2</sub>, and HEPES). The results are summarized in Fig. 5. As is seen in Fig. 5(a), the percentage of perturbed cells at different stages of malarial infection changes drastically in different solutions. From PBS to NaCl to CaCl<sub>2</sub> the percentage of highly perturbed cells increases steadily. However, in the presence of both NaCl and CaCl<sub>2</sub>, the total percentage of perturbed cells almost completely disappearing. HEPES also shows a very low percentage of perturbed cells. Percentages of rotating cells remain more or less constant for PBS, NaCl and CaCl<sub>2</sub> [Fig. 5(b)]. Surprisingly, the percentage of rotating cells decreases only marginally in



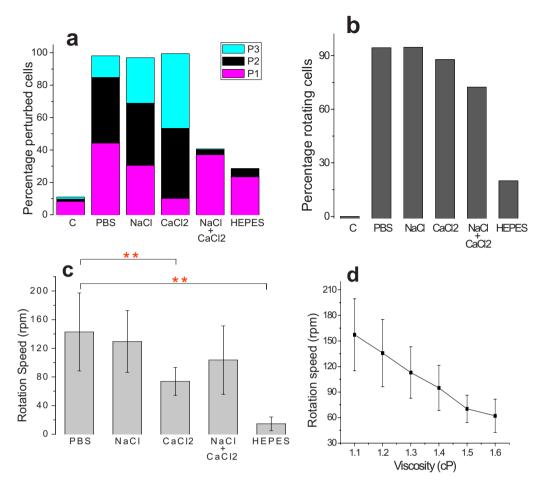
**Fig. 4** Behavior of human RBCs at different osmolarities in PBS (data for hypotonic not shown due to lysis and absence of folding/rotation; rotation is also entirely absent in isotonic condition): (a) percentage of cells with shape deformation (perturbed cells) and percentage of cells rotating and (b) rotation speed (in rotations per minute) at different values of osmolarity. Note that the increase in percentage of perturbed cells correlates with increase in rotating cells. (*P* value: \*<0.05.)

the presence of both NaCl and CaCl<sub>2</sub>. Thus, almost 50% of rotating cells have a normal morphology. However, in the presence of HEPES, rotation percentage decreases drastically. Rotation speed remains constant for PBS and NaCl but decreases sharply for CaCl<sub>2</sub> [Fig. 5(c)]. In the presence of both NaCl and CaCl<sub>2</sub> rotation speed shows a partial recovery but decreases very drastically in HEPES.

These results imply that rotation and the underlying changes in RBCs are highly dependent on ions present in the external medium. It is especially known that Ca<sup>2+</sup> influx in RBCs leads to shape changes. This might explain the increase in highly perturbed cells in the presence of CaCl<sub>2</sub>. The decrease in rotation speed implies that highly perturbed cells have a poor propensity for rotation. However, we do not know the reason for the contradictory behavior observed in the presence of both NaCl and CaCl<sub>2</sub>. Observations in HEPES could be due to its zwitterionic nature. Thus, the presence of ions with a net charge is essential for osmolarity-induced rotation and the underlying RBC changes, implying that increase in osmolarity alone cannot account for the rotational behavior of RBCs. We believe that ionic flux in RBCs is crucial in the rotational dynamics. Further experiments are being performed in this direction.

We also looked at the functional relationship between viscosity of the surrounding medium and the rotational speed of RBCs [Fig. 5(d)]. The viscosity of 1200 mOsm PBS was altered by adding different concentrations of BSA (2 to 12%), which did not alter the osmolarity in any way. We observed that as the medium viscosity increases, rotational speed decreases.

In earlier studies on malaria-infected RBCs reported from our laboratory<sup>12</sup> it was inferred that malarial infected RBCs could rotate in the optical trap. Such an inference was based on the observation that normal RBCs do not rotate, while a small subset of infected population of RBCs rotate. Furthermore, these experiments were carried out under physiologically relevant isotonic osmolarity. To ascertain the identity of rotating cells we combined use of fluorescence microscopy and optical trapping,<sup>10</sup> and assessed the population of malarial infected cells (IRBCs) as well as normal (healthy) RBCs for rotational properties. Once again we note that uninfected RBCs do not rotate, while a subset of the IRBCs rotate. We present here data from IRBCs collected from malaria-infected mice, which were fluorescence labeled in our combination setup of fluorescence microscope and optical tweezer (Fig. 6). This enabled us to distinguish between parasitized (PRBCs) and unparasitized RBCs (URBCs) within the set of rotating IRBCs [Fig. 6(a)]. PRBCs are cells labeled with fluorescence (DAPI nuclear dye) due to presence of the intracellular parasite, while URBCs are cells present in the IRBC population but not having any fluorescence signal indicative of intracellular parasites. We observed that both URBCs and PRBCs can rotate in the trap, provided their membranes are visibly perturbed. The rotation speed of the cells [Fig. 6(b)] was observed to be stage dependent, with the maximum speed for URBCs and early stage PRBCs and decreases drastically for mature stage PRBCs. The proportion of different cells among total cells observed to rotate is plotted as percentage of cells rotating [Fig. 6(c)]. It is observed that URBCs and PRBCs contribute equally to the rotating cells, and that the different



**Fig. 5** (a) Percentage of deformed (perturbed) human RBCs for different buffer solutions. Cells suspended in PBS at 300 mOsm (isotonic) were used as control. (b) Percentage of rotating cells and (c) rotation speed for different buffer solutions. (d) Variation of rotation speed with BSA viscosity; rotation speed seems to be inversely proportional to viscosity. (*P* value: \*<0.01.)

stages of maturity of the parasite does not affect the propensity of the PRBC to rotate. Normal RBCs from uninfected mice did not exhibit rotation (data not shown).

We attempted to develop theoretical insight into the observed RBC rotational dynamics by an analysis that utilizes a Langevin-type equation. We extracted the rotation angle  $\theta$  of a red blood cell from the individual frames of a typical movie. As indicated in Fig. 7(a),  $\theta$  is the angle between the polarization direction of the optical field (red solid line) and the longaxis of the folded red blood cell (purple dotted line). Experimental data on the time-dependent rotational angle are shown in Fig. 7(b): the rotational motion of RBCs appears to be slightly modulated. In addition to an overall linear increase in  $\theta$  (linear trend), modulation occurs regularly twice per  $2\pi$ rotation, indicating that the rotation is accelerated and then decelerated, and this process of acceleration and deceleration is repeated twice per single rotation, as shown by the existence of two humps per single rotation in Fig. 7(b) (three blue arrows).

We modeled a deformed rodlike RBC as a rigid birefringent substance [Fig. 7(c)], with radius  $a=1.5 \ \mu m$ , length L =8  $\mu m$ , and mass M=80 pg. The values for L and M are typical of RBCs. We assumed that the diameter 2a of the deformed (twisted) RBC was twice as large as the thickness of the biconcave-shaped RBC. An equation of rotational motion of RBCs with a frictional force can be expressed as

$$I\frac{\mathrm{d}^2\theta(t)}{\mathrm{d}t^2} = -\xi\frac{\mathrm{d}\theta(t)}{\mathrm{d}t} - \tau_l\sin 2\theta + \tau_f, \tag{1}$$

where the moment of inertia I is taken to be

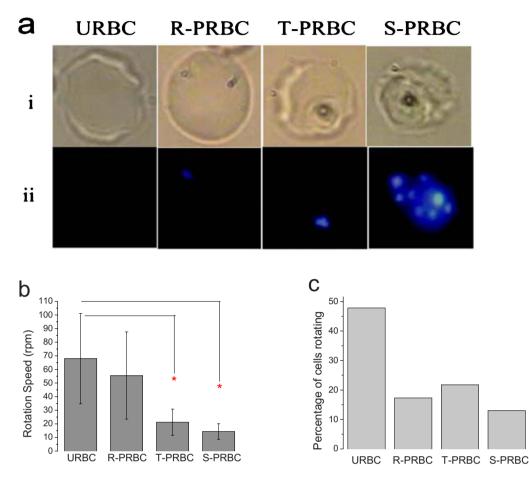
$$I = M(3a^2 + L^2)/12 = 4.7 \times 10^{-25} \text{ kg m}^2.$$
 (2)

The first term on the right of Eq. (1) describes frictional dissipation of rotation due to inelastic interactions with solvents in the suspension medium. The friction coefficient denoted as  $\xi$  is given for the rod model by

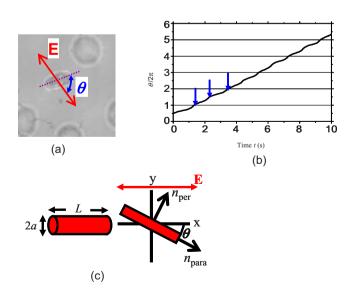
$$\xi = \frac{\pi \eta L^3 / 3}{\ln(L/2a) - 0.447},\tag{3}$$

where  $\eta$  is the viscosity of the suspension medium, for which we simply used the value of water at 293 K,  $\eta = 1.0 \times 10^{-2}$  cP. For the present model,  $\xi = 1.0 \times 10^{-18}$  kg m<sup>2</sup> s<sup>-1</sup>.

The second term on the right of Eq. (1) represents an alignment (orientation) force; this is the driving term that originates from interactions between RBCs and the linearly polarized laser beam, as discussed in earlier papers.<sup>12</sup> The



**Fig. 6** Rotation of mouse RBCs in a *P. yoelli 17XL* infected population ( $\sim$ 30% parasitemia): (a) images of RBCs in the bright field (i) and under UV fluorescence (ii), (b) rotation speed of different RBCs, and (c) proportion of different RBCs of total number of RBCs that rotate. (*P* value: \* <0.05.) URBC, uninfected RBCs; R-PRBC, ring (early stage of PRBCs); T-PRBC, trophozoite (later stage of PRBCs); S-PRBC, schizont stage (mature PRBCs).

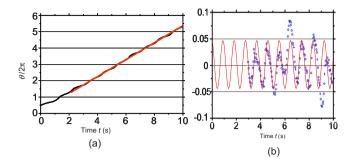


**Fig.** 7 (a) Definition of the rotational angle  $\theta$  of an optically trapped RBC, (b) experimental data showing RBC rotation in a linearly polarized light field, and (c) a rigid-rod model of a deformed RBC. Note that if  $n_{\text{per}}$  is different from  $n_{\text{para}}$ , the model system is birefringent.

interaction energy is estimated by taking the average of the induced polarization energy over one optical cycle and is shown to be proportional to  $\cos^2 \theta$ . We can also evaluate the angular momentum of the transmitted light under the assumption that each RBC is a rigid, transparent, birefringent substance. The reactive torque exerted on the rigid rod is proportional to  $-\sin 2\theta$ , which is equivalent to the presence of an interaction energy that is proportional to  $\cos^2 \theta$ . We denote the proportionality constant of torque by  $\tau_l$ .

The third term  $\tau_f$  in Eq. (1) is due to a torque that drives continuous rotations and controls the rotational direction of RBCs. Without the third term, RBCs can be oriented only by applying linearly polarized laser beams, but not rotated. By changing its sign the rotational vector can be reversed. The term  $\tau_f$  originates from the twisted structure of a deformed RBC; detailed discussions on  $\tau_f$  will be presented elsewhere.

Figure 8(a) shows the time-dependent rotational angle obtained by using a linear fitting procedure omitting the modulation-like behavior, that is,  $\theta(t) = \omega_1 t + \text{const.}$  Here  $\omega_1$  is the global, rotational speed of RBCs. In Fig. 8(a), the red straight line denotes the fitted values within the linear approximation and the black line shows the global experimental ones [Fig. 7(b)]. From the best fitting procedure, the speed of



**Fig. 8** (a) Linear drift (red line) and experimental data (black line) for RBC rotation and (b) oscillatory part in the RBC rotation in units of  $2\pi$  (the amplitude corresponds to  $A/2\pi$ ): experimental data, blue line; best-fitted data, red line. (Color online only.)

rotation of the RBCs is estimated to be  $\omega_1 = 3.25 \text{ s}^{-1}$  (period = 1.93 s).

We now take into account the modulation behavior seen in Fig. 7(b). In Fig. 8(b), circles show differences in rotational angles between the measured and simulated values within the linear approximation. The solid line in Fig. 8(b) denotes the fitting values by assuming that the difference is proportional to a cosine function with amplitude A,

$$\theta(t) = \omega_1 t + A \cos \omega_2 t + \text{const.}$$
(4)

The following approximate relations are obtained by inserting Eq. (4) into Eq. (1):

$$\omega_1 = \tau_f / \xi, \tag{5}$$

$$\omega_2 = 2\omega_1 = 2\tau_f / \xi, \tag{6}$$

$$A = \tau_l / 2\tau_f. \tag{7}$$

From the results of fitting, we obtain  $\tau_l = 1.5 \times 10^3 \text{ pN nm}$  and  $\tau_f = 3.0 \times 10^3 \text{ pN nm}$ . For these parameters, the condition that  $\xi^2 \ge I \tau_f$  holds. Under this condition, Eq. (4) satisfies Eq. (1) and the relations in Eqs. (5)–(7) hold; the rotational dynamics is independent of the value of *I*. Note that Eq. (5) with Eq. (3) clearly indicates that the RBC rotation qualitatively agrees with the results of measurements that we report in Fig. 4(d). The relation  $\omega_2 = 2\omega_1$  in Eq. (6) means that modulation occurs regularly twice per  $2\pi$  rotation.

We derived the following formula for  $\tau_l$  on the basis of the birefringent rod model:

$$\tau_l = \frac{aL\lambda\varepsilon}{2\pi} E_0^2 \sin(4\pi\Delta na/\lambda). \tag{8}$$

Here  $\varepsilon$  is the permittivity of the RBC,  $\lambda$  is the wavelength of the laser field ( $\lambda = 1.064 \ \mu m$ ),  $E_0$  is the electric field strength, and  $\Delta n$  is defined as  $n_{\text{para}} - n_{\text{per}}$ , where  $n_{\text{para}}$  and  $n_{\text{per}}$  are the refractive indices defined in Fig. 7(c). We used the following values to estimate the birefringence  $\Delta n$  by utilizing Eq. (8). The relative permittivity  $\overline{\varepsilon}$  of the RBC is approximated by  $n^2$ , where n is the averaged refractive index of the RBC ( $\sim 1.4$ ). The light intensity is  $\sim 10^4 \text{ W/cm}^2$ , which is equivalent to  $E_0=2.3 \times 10^3$  V/cm in the RBC. For the obtained value  $\tau_l=1.5 \times 10^3$  pN nm, we estimated  $\Delta n$  to be ~0.05. This value is of the same order as quartz ( $\Delta n$  of calcite ~0.17; quartz ~0.03; ice ~0.01).

From a comparison between the experimental results and those that we calculated using our model, the following observations can be made. The deviation of the fit from the experimental data in Fig. 8(b) is due to a fluctuation in the rotational period. In the range between t=3 and 7 s, the average period is 1.89 s; in the range between t=6 and 10 s, the period is 1.99 s. This fluctuation is unavoidable in a medium. If these values are used for the individual ranges, the phases of the fit and measured data are found to agree with each other.

Equation (1) indicates that there exist two types of torque that are experienced by the trapped RBC: alignment torque associated with the second term on the right-hand side of Eq. (1) and torque that generates continuous rotation, represented by the third term of Eq. (1). We assumed that the alignment torque originates from birefringence (which just steers the axis of the larger refractive index toward the potential minimum of the optical trap) and the continuous torque originates mainly from the deformed shape of RBC (with optical pressure or local flow). The ratio A between the two torques [Eq. (7)] can be obtained by the present fitting procedure, as shown in Fig. 8(b).

The model that we present is also applicable to RBC rotation induced by shape changes via a reengineered suspension medium. Our model specifically takes account of the experimentally observed acceleration before, and deceleration after, the long axis of the shape-distorted RBC turns to the direction of the polarization vector of the optical field in the case of noninfected but shape-distorted cells. Birefringence and friction are treated as parameters in our theoretical model. We already noted that Eq. (5), taken together with Eq. (3), clearly indicates that the RBC rotation speed is inversely proportional to viscosity of the suspension medium, as demonstrated experimentally [Fig. 5(d)].

#### 4 Summary

We probed the rotation of healthy RBCs under hyperosmotic stress and studied the effect of different ions and viscosity of the suspension medium on the rotational dynamics. The key finding to emerge from our studies is the following: our experimental data suggest that hyperosmotic stress induces shape anisotropies in normal RBCs that, in turn, lead to rotational motion of trapped cells. We modeled such rotational motion within a Langevin approach, using an equation of motion that takes into account frictional forces that are exerted on the rotating RBCs in the fluid; good correlation was obtained between experimental and calculated results.

This paper extends and complements earlier observations reported from our laboratory<sup>12</sup> that malaria-infected RBCs underwent rotation in an optical trap when linearly polarized light was used. In these earlier experiments, it was the malarial parasite that was the agent for causing shape changes in the RBCs. In this study, we have further dissected out the rotation properties of parasite-infected RBCs, as well as unparasitized RBCs, and we now document the observation that changes in cell shape also contribute to rotation of trapped

RBCs. We were able to engineer such shape changes by altering the physical conditions, namely, osmolarity, of the medium containing the cells. It would clearly be of interest to carry out further work to separate the relative contribution of parasite-induced shape alterations and osmolarity-driven shape changes in the rotational dynamics of malaria-infected RBCs.

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