Blood flow and oxygen consumption with near-infrared spectroscopy and venous occlusion: spatial maps and the effect of time and pressure of inflation

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Abstract. We have measured the local blood flow (BF) and oxygen consumption (OC) in the human calf muscle using near-infrared spectroscopy during venous occlusion. Venous occlusion was achieved by inflating a pneumatic cuff around the thigh of the subject. We have investigated the influence of the inflation time and cuff pressure on the recovered values of BF and OC. We have found that if the cuff pressure is increased from a threshold pressure (~30 mm Hg) to a critical pressure (~45 mm Hg) in less than about 6 s, one measures the same values of BF and OC independent of the total inflation time and final cuff pressure. We also report nine-pixel spatial maps of BF and OC to show that this technique can lead to spatially resolved measurements of blood flow and oxygen consumption in tissues.

1 Introduction

Local blood flow (BF), expressed in ml of blood per 100 ml of tissue per minute, and oxygen consumption (OC), expressed in μmol of O₂ per 100 ml of tissue per minute, are relevant physiological parameters. Both the BF and the OC can experience temporal or spatial variations in response to endogenous (by substances that induce vasodilatation or vasoconstriction)¹,² and exogenous (by drug intake) stimuli.³ Consequently, a measurement of the spatial distribution of BF and OC in skeletal muscles can be a valuable tool to study metabolic and physiological processes. Near-infrared spectroscopy (NIRS) can be used to measure local blood flow and oxygen consumption, noninvasively, and in situ. NIRS measures tissue blood flow using different protocols such as the modulation of the fraction of inspired oxygen (FiO₂),⁴ the venous occlusion,⁵⁻⁷ and the tilting table.⁸⁻¹¹ These protocols are noninvasive, cause no discomfort, and allow simultaneous measurements of local blood flow and oxygen consumption in skeletal muscles. Moreover, the modulation of the fraction of inspired oxygen and tilting table protocols can be used for brain investigation. Reported values of rest blood flow in skeletal muscles, measured with NIRS, are in the range 0.1–5.3 ml/100 ml/min.⁴⁻⁶ In situ muscle oxygen consumption can be measured by NIRS during arterial occlusion.⁵,¹³ In fact, the increase in deoxy-hemoglobin during arterial occlusion can be attributed to the conversion of oxy-hemoglobin into deoxy-hemoglobin. Alternatively, one can measure the muscle oxy-

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with a core diameter of 400 μm, that guide the light to the tissue. The light emitted by the laser diodes propagates through the tissue, and part of this light is diffusely reflected through the skin. An optical fiber bundle (3 mm in internal diameter) collects the diffusely reflected light that has propagated through the tissue and delivers it to a photomultiplier tube detector (PMT). The PMT gain is modulated at a frequency differing by 5 kHz from the modulation frequency of the light sources. This achieves the down conversion of the 110 MHz signal to 5 kHz. A fast Fourier transform of the 5 kHz signal provides the direct component $\Phi$, the alternating component $\Phi'$ and the phase $\Phi$ of the high frequency signal.\textsuperscript{16}

The optical probe containing the source fibers was located on the posterolateral aspect of the gastrocnemius muscle. The source fiber tips corresponding to the eight laser diodes are placed at distances between 2 and 3.5 cm, respectively, from the detector fiber, with a constant increment of 0.5 cm, as shown in the inset of Figure 1.

### 2.2 Measurement of Hemoglobin Concentration and Saturation

The tissue optical parameters, namely the absorption coefficient $\mu_a(\lambda)$ and the reduced scattering coefficient $\mu_s' (\lambda)$, can be calculated from the measured DC, AC, and $\Phi$ according to diffusion theory. In the semi-infinite geometry, diffusion theory leads to expressions\textsuperscript{15} that can be approximated (for $r\sqrt{3 \mu_a \mu_s'} \gg 1$) as follows:

$$\ln(r^2 \text{DC}) = rS_{\text{DC}}(\mu_a, \mu_s') + K_{\text{DC}}, \quad \text{(1)}$$

$$\ln(r^2 \text{AC}) = rS_{\text{AC}}(\mu_a, \mu_s') + K_{\text{AC}}, \quad \text{(2)}$$

where $S_{\text{DC}}$, $S_{\text{AC}}$, and $S_{\Phi}$ represent the slopes of the straight lines given by the $\ln(r^2 \text{DC})$, $\ln(r^2 \text{AC})$, and $\Phi$, respectively, as a function of source–detector distance $r$, and $K_{\text{DC}}$, $K_{\text{AC}}$, $K_{\Phi}$ are quantities independent of $r$. Therefore, in our multi-distance configuration, $\mu_a(\lambda)$ and $\mu_s'(\lambda)$ can be calculated from the slopes of two of the three measured quantities (DC, AC, and $\Phi$). Since the DC reading can be affected by room light, we used the AC and the $\Phi$ parameters to obtain the optical coefficients. Once $\mu_a$ and $\mu_s'$ have been measured at two wavelengths, it is possible to calculate the concentrations of oxy-, deoxy-, and total hemoglobin, which are expressed in μM. In fact, the dominant contribution to the optical absorption in tissues comes from hemoglobin, myoglobin, and water. The concentration of myoglobin in muscles is typically lower than that of hemoglobin,\textsuperscript{18} and its contribution to the optical absorption will be neglected in this paper. We have taken into account the water absorption in tissues by subtracting $0.7 \mu_{\text{H}_2\text{O}}(\lambda)$ (with $\mu_{\text{H}_2\text{O}}$ absorption coefficient of water) from the measured value of the absorption coefficient ($\mu_a(\lambda)$). We have chosen the factor 0.7 in the water-correction term because the average water content in skeletal muscle is 70%.\textsuperscript{19} The oxy- and deoxy-hemoglobin concentrations are given by\textsuperscript{17}

\begin{equation}
[HbO_2] = \frac{\mu_a^*(\lambda_1) e_{\text{HbO}_2}(\lambda_2) - \mu_a^*(\lambda_2) e_{\text{HbO}_2}(\lambda_1)}{e_{\text{HbO}_2}(\lambda_1) e_{\text{HbO}_2}(\lambda_2) - e_{\text{HbO}_2}(\lambda_2) e_{\text{HbO}_2}(\lambda_1)},
\end{equation}

Fig. 1 Experimental setup.
\[
[Hb] = \frac{\mu_0^s(\lambda_2) \cdot \varepsilon_{HbO_2}(\lambda_1) - \mu_0^s(\lambda_1) \cdot \varepsilon_{HbO_2}(\lambda_2)}{\varepsilon_{HbO_2}(\lambda_1) \cdot \varepsilon_{Hb}(\lambda_2) - \varepsilon_{HbO_2}(\lambda_2) \cdot \varepsilon_{Hb}(\lambda_1)},
\]

(5)

where \( \mu_0^s(\lambda) = \mu_0(\lambda) - 0.7 \mu_H^O(\lambda) \) indicates the water-corrected absorption coefficient, while \( \varepsilon_{Hb}(\lambda) \) and \( \varepsilon_{HbO_2}(\lambda) \) are the molar extinction coefficients of deoxy- and oxy-hemoglobin, respectively. To apply Eqs. (4) and (5), we have used the values of \( \varepsilon_{Hb}(\lambda) \) and \( \varepsilon_{HbO_2}(\lambda) \) reported by Wray et al.\(^{20}\) Finally, the hemoglobin saturation \( Y \) is defined as

\[
Y = \frac{[HbO_2]}{[Hb] + [HbO_2]}.
\]

(6)

During our measurement protocol, we record a set of values of \( \mu_0^s(\lambda), [HbO_2], [Hb], \) and \( Y \) every 160 ms (20 ms/diode \times 8 diodes). Since in this application we have studied hemodynamics occurring on a time scale of minutes, we have averaged four successive points to attain an acquisition time per data point of 0.64 s.

### 2.3 Venous Occlusion Protocol

In the venous occlusion protocol the subject was lying horizontally on a bed, and the optical probe of the near-infrared oximeter was positioned on the calf muscle (Figure 1). The feet of the subject were slightly lifted to allow the positioning of the probes on the postero-lateral aspect of the calf. A pneumatic cuff was placed around the thigh of the subject. After the acquisition of a baseline, the cuff was inflated to a pressure of 60 mm Hg, or to a pressure in the range 20–100 mm Hg in the study of the effect of the cuff pressure times down to a fraction of a second. A relief valve was used to set the cerebral pressure to a predetermined value. The cuff pressure was kept constant for 1 min and then quickly released by opening a deflation valve. The inflation of the pneumatic cuff was achieved by a high-pressure air line. The inflation time was varied by adjusting the aperture of an inflation valve. This inflation system affords adjustable inflation times down to a fraction of a second. A relief valve (Airtrol, Elmsford, NY, Part No. RV 5300-10) was used to set the cuff pressure to a predetermined value. The cuff pressure was continuously monitored by a digital manometer (Cole Parmer, Vernon Hills, IL, Part No. 68023-03). The manometer’s analog output allowed the recording of the pressure value by the computer.

The systolic and diastolic pressures are the highest and lowest values of the arterial pressure wave, and their normal values are 120 and 80 mm Hg, respectively. A pressure in the range 20–100 mm Hg is below the normal value of systolic pressure and this means that the arterial blood flow should not be affected. For the case of pressure values above 100 mm Hg one might affect the arterial flow inducing a partial arterial occlusion. In our study, the subjects had normal systolic/diastolic pressure values.

Typical values of venous pressure in the calf muscle, in supine position, are 30 mm Hg.\(^{21}\) Thus, if an external pressure of 30–60 mm Hg is applied to the thigh of the subject, the venous flow through the thigh is hindered. In our venous occlusion protocol, we chose a value of 60 mm Hg to induce a venous occlusion because an external pressure 30 mm Hg higher than the typical vein pressure assures that the venous flow is interrupted.

### 2.4 Measurement of Blood Flow and Oxygen Consumption

Typical values of venous pressure are 30 mm Hg.\(^{21}\) When an external pressure higher than venous pressure is applied to the thigh, the arterial inflow toward the calf is not affected, while the venous outflow is interrupted. This causes an increase in the blood volume of the calf, and an increase in the concentrations of oxy-, deoxy-, and total hemoglobin in the tissue. The initial rate of increase in the concentration of total hemoglobin (THC) in the calf muscle following the occlusion is proportional to the tissue BF,\(^{6}\) and is given by

\[
BF = \frac{1}{C} \frac{d[THC]}{dt},
\]

(7)

where \( C \) is the hemoglobin concentration in the blood.

The rate of increase in the deoxy-hemoglobin concentration during venous occlusion is due to the conversion of oxy- into deoxy-hemoglobin (which results from the tissue oxygen consumption), plus a term (usually smaller) given by the deoxygenated component of incoming arterial blood. Thus, the OC is given by

\[
OC = 4 \frac{d}{dt} \left[ [Hb] - \frac{100 - SaO_2}{100} [THC] \right],
\]

(8)

where the factor 4 accounts for the four molecules of oxygen bound to each molecule of oxy-hemoglobin, and SaO2 is the arterial saturation in percent.

The values of blood flow and oxygen consumption can be calculated by performing a linear regression of the temporal traces of [Hb] and THC following the onset of the occlusion. The limits of the interval for the regression were set as follows:

- the lower limit was determined by the point on the THC trace that corresponds to the first point above the THC baseline noise;
- the upper limit was determined by the onset of a significant deviation of the THC trace from linearity.

By substituting the temporal slopes of the initial increase of [Hb] and [HbO2] into Eqs. (7) and (8) we can determine BF and OC. We note that in the calculation of blood flow and oxygen consumption, the contribution to the optical absorption from myoglobin is negligible. In fact, hemoglobin acts as an oxygen carrier and has a low oxygen affinity, so that oxygen is easily released to the tissue.\(^{25}\) On the contrary, myoglobin acts as an oxygen reservoir in muscles, its oxygen affinity being considerably higher than that of hemoglobin. It is therefore reasonable to assume that the saturation of myoglobin in muscles remains constant, at rest. Since the calculation of blood flow and oxygen consumption considers the variations of the concentrations of oxy- and deoxy-hemoglobin with time, the contribution of myoglobin is expected to be minimal.
We have constructed spatial maps of blood flow and oxygen consumption on the calf muscle by measuring BF and OC at nine locations constituting a grid of 3 × 3 points, each point being separated by 0.5 cm from its neighbors. The measurement of a complete spatial map was obtained in about 25–30 min.

3 Results

Figure 2 shows the time traces of the tissue optical coefficients measured during venous occlusion on a healthy, 36 yr old, male subject. The increase in the optical absorption $\mu_a(\lambda)$ [Figure 2(a)] results from the increase in blood volume during the venous occlusion. The significant increase in the reduced scattering coefficient $\mu_s'(\lambda)$ [about 0.9 cm$^{-1}$ in Figure 2(b)] is also mainly a result of the increase in blood volume. The increase in the scattering coefficient per unit hemoglobin concentration is 0.016 cm$^{-1}$ μM$^{-1}$, in this case. To obtain a more statistically significant result, we have examined the scattering changes caused by venous occlusion in a population of 30 subjects. The average increase in the reduced scattering coefficient per unit hemoglobin concentration (± standard deviation) in these 30 subjects was 0.023 ± 0.013 cm$^{-1}$ μM$^{-1}$. This value was about the same at the two wavelengths (758 and 830 nm). This result is consistent with the value of 0.014±0.005 cm$^{-1}$ μM$^{-1}$ measured in whole blood at 802 nm.$^{23}$

Figures 3(a)–3(c) show the traces of oxy-, deoxy-, and total hemoglobin concentration during the venous occlusion
protocol that are derived from the absorption traces of Figure 2(a), as described in Sec. 2.2. Both oxy- and deoxy-hemoglobin concentrations increase in response to the cuff inflation, and a saturation effect is reached when the increased blood pressure within the calf muscle balances the external pressure provided by the cuff. This saturation effect is not clearly seen in our measurements because the 1 min venous occlusion is not long enough to allow complete saturation. However, one can see that the traces have two different slopes during the 1 min venous occlusion, showing a tendency to saturate. Immediately after the deflation valve is opened, the concentrations recover the initial baseline values. One aspect of interest is whether, and how, the inflation time affects the measurement of blood flow and oxygen consumption. In principle, by including in the linear regression data points collected before a complete venous occlusion is achieved, one can introduce an error. We define the inflation time as the time interval from the instant when the cuff pressure is raised above the baseline noise, to the time when the desired pressure was reached by the leading edge of the pressure trace; for example, in Figure 3(d) the inflation time is 1 s.

We have measured the blood flow and oxygen consumption for different inflation times in the range 0.4–15 s. The recovered values of blood flow and oxygen consumption versus inflation time are shown in Figure 4 for two subjects. In the range of inflation times considered by us, we did not observe any significant dependence of the measured BF and OC on the inflation time. We reproduced this result in one more subject.

Another aspect of interest is the effect of the inflation pressure on the measured values of blood flow and oxygen consumption. We calculated BF and OC as a function of the inflation pressure, in the range 30–100 mm Hg. The results are presented in Figure 5, which shows that pressures higher than about 45 mm Hg yield the same measured values of BF and OC. We reproduced this result in three more subjects, where the values of this critical pressure were in the range 30–45 mm Hg.

We define the critical pressure as the pressure above which the measured blood flow is independent of the applied cuff pressure. We also introduce a threshold pressure, defined as the minimum cuff pressure that induces an increase of the total hemoglobin concentration in the muscle. To measure the critical pressure and the threshold pressure more accurately, we repeated the measurements three times using cuff pressure values in the interval 15–60 mm Hg, by increments of 5 mm Hg. All these measurements were conducted on the same subject. These measurements indicated that a cuff pressure <30 mm Hg does not induce any increase in the muscle THC, while cuff pressures >30 mm Hg induce an increase of THC that is indicative of a partial or complete venous occlusion. Consequently, we assign a value of about 30 mm Hg to the threshold pressure.
Figure 6 shows spatial maps of blood flow and oxygen consumption measured on the calf muscle. In general, the increase in the concentration of deoxy-hemoglobin during venous occlusion is on the order of 2–10 $\mu$M, among different subjects, while the increase in the total hemoglobin concentration is about 10–70 $\mu$M. The larger increase in total hemoglobin concentration with respect to deoxy-hemoglobin concentration accounts for a typically smaller error in the measurement of blood flow than in the measurement of oxygen consumption. In some cases, such as two pixels in the oxygen consumption map, the OC values could not be calculated because of a low signal-to-noise ratio and are represented by the symbol "—."

Figure 7 shows the distributions of BF and OC values obtained by repeating the venous occlusion protocol 25 times in sequence on the same subject. As discussed above, the error in the measurement of OC is typically greater than the error in BF. The OC error was higher than 50% in 14 cases, while the BF error was higher than 50% in only two cases. Figure 7 also shows that the variability of the measured BF and OC values on the same subject and at the same location is comparable to or greater than the spatial variability obtained in the BF and OC maps (Figure 6). A similar variability was also shown by other authors. We observe that the blood flow and the oxygen consumption values reported in Figure 3, Figures 4 and 5 (data represented by squares) and Figure 6 measured on the same subject (but at different times). While the OC values reported in Figures 3–6 fall within the range of measurable values shown in Figure 7, this is not the case for the blood flow values. We attribute the origin of the wider range of BF values in Figures 3–6 with respect to Figure 7(a), to the physiological fluctuations of the blood flow values during the day. Blood flow and oxygen consumption can be affected by many factors such as gravitational stress, food ingestion, exercise, and environmental temperature. It is known that the ingestion of food and the meal size can affect the limb blood flow. In our study, we have measured the same subject at three different times during the day. We have chosen 10 am after a light breakfast, 2 pm immediately after lunch, and 6 pm after a late dinner. The BF and OC values were measured at each time point.
after lunch, and 5 pm later after lunch. In these measurements, we found a range of BF values comparable to that of Figures 3–6.

4 Discussion

The increase in the optical absorption during venous occlusion is a consequence of the increase in the concentration of hemoglobin in the tissue. The observed increase in the reduced scattering coefficient during the venous occlusion can be attributed, at least in part, to the increase in the number of red blood cells in the calf muscle during the occlusion. The increase in the reduced scattering coefficient is relevant because there are continuous wave (cw) near-infrared techniques that assume a constant scattering coefficient to quantify changes in absorption. We recalculated the values of blood flow and oxygen consumption by processing the DC data with the differential pathlength factor (DPF) and DC slope methods. We found that the values of BF measured with cw spectroscopy are consistently greater, by about 50%, than the corresponding values measured with frequency-domain data. The larger measurement error in OC resulted in a less significant difference between cw and frequency-domain.

The study of the effect of cuff pressure on the measured blood flow and oxygen consumption showed that there is a threshold pressure ($p_{th}$ ~ 30 mm Hg in Figure 5) above which the venous outflow is hindered. Furthermore, at a critical pressure ($p_c$ ~ 30–45 mm Hg in Figure 5) one achieves a complete venous occlusion. These pressure values were confirmed in the study involving cuff pressure values in the range 15–60 mm Hg.

This result indicates that the effective inflation time in the venous occlusion protocol is the time interval required to increase the cuff pressure from $p_{th}$ to $p_c$. In our measurements this effective inflation time, which is shorter than the inflation time defined in Sec. 3, was in the range 0.2–6.5 s. This time was always shorter than the average time required by the hemoglobin concentration to respond to the venous occlusion (15 s). For this reason, the measured values of BF and OC were shown to be insensitive to the inflation time (see Figure 4).

The spatial maps of blood flow and oxygen consumption in the calf muscle showed a variability of the studied parameters with probe location. The BF and OC values obtained for the spatial maps (Figure 6) are in the range of values shown by the variability of BF and OC values at the same location (see Figure 7). We tested whether this variability could also be attributed to tissue inhomogeneities. In principle, inhomogeneities such as the adipose tissue layer or blood vessels may affect measurements at different locations differently. Our multidistance approach (see the inset of Figure 1) gives optical data at four different source–detector separations ranging from 2.0 to 3.5 cm. Each one of these source–detector separations achieves a different optical penetration depth into the tissue (the larger the source–detector distances, the larger the optical penetration) and probes slightly different tissue volumes. To compare the results from each source–detector pair, we analyzed the data at each source–detector separation using the single-distance, DPF method.27 In the case shown in Figure 6, we found that the data at each one of the four source–detector distances led to similar values of BF and OC. Consequently, we do not have evidence of the influence of tissue inhomogeneity on the BF and OC measurements shown in Figure 6.

5 Conclusions

Our measurements using different inflation times and cuff pressures show that if the cuff pressure is increased from ~30 to ~45 mm Hg in less than about 6 s, one records the same values of blood flow and oxygen consumption independent of the total inflation time and final cuff pressure.

The observed increase in the reduced scattering coefficient during venous occlusion suggests that cw spectroscopy may overestimate the occlusion-induced increase in hemoglobin concentration. In fact, the increase in optical density of the calf muscle is partially due to an increase in the scattering coefficient.

The local character of the BF and OC measured using NIRS lends itself to a spatially resolved measurement of the hemodynamics in tissue. In this paper, we have reported proof-of-principle spatial maps of BF and OC in the calf muscle of a human subject. The acquisition time for a spatial map was about 25–30 min because we were performing a venous occlusion for the measurement of the BF and OC values in each pixel. However, a parallel acquisition of the optical data at each image pixel can provide spatial maps of BF and OC in about 1 min, using just one venous occlusion.
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