Role of 5-aminolevulinic acid-conjugated gold nanoparticles for photodynamic therapy of cancer

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Abstract. There are three possible mechanisms for 5-aminolevulinic acid (5-ALA) conjugated gold nanoparticles (GNPs) through electrostatic bonding for photodynamic therapy (PDT) of cancer: GNP delivery function, singlet oxygen generation (SOG) by GNP irradiated by light, and surface resonance enhancement (SRE) of SOG. Figuring out the exact mechanism is important for further clinical treatment. 5-ALA-GNPs and human chronic myeloid leukemia K562 cells were used to study delivery function and SOG by GNPs. The SRE of SOG enabled by GNPs was explored by protoporphyrin IX (PpIX)-GNPs conjugate through electrostatic bonding. Cell experiments show that the GNPs can improve the efficiency of PDT, which is due to the vehicle effect of GNPs. PpIX–GNPs conjugate experiments demonstrated that SOG can be improved about 2.5 times over PpIX alone. The experiments and theoretical results show that the local field enhancement (LFE) via localized surface plasmon resonance (LSPR) of GNPs is the major role; the LFE was dependent on the irradiation wavelength and the GNP’s size. The LFE increased with an increase of the GNP size (2R ≤ 50 nm). However, the LSPR function of the GNPs was not found in cell experiments. Our study shows that in 5-ALA-conjugated GNPs PDT, the delivery function of GNPs is the major role. © 2015 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1_JBO.20.5.051043]

Keywords: local field enhancement; gold nanoparticles; quasi-static theory; conjugates of 5-ALA-GNPs; photodynamic therapy.

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1 Introduction

Since photodynamic therapy (PDT) has many advantages such as its minimally invasive procedure, low morbidity, minimum functional disturbance, good tolerance, ability to be used repeatedly at the same site, and the fact that it is largely an outpatient therapy,1,2 it is a promising treatment modality for cancers and other malignant diseases.

As of 2010, only three classes of photosensitizers (PSs) for PDT had entered clinical use for cancer therapy.3 Due to its capability to selectively induce accumulation of protoporphyrin IX (PpIX) in a multitude of different pathologies, 5-aminolevulinic acid (ALA) and its derivatives have attracted enormous attention in the field of PDT in the past two decades. The photo-chemical and photophysical properties of PpIX have been used for the fluorescence photo detection and photodynamic treatment of neoplasms in several medical indications, in which conversion of ALA into PpIX seems to preferentially take place. However, due to the hydrophilic properties of 5-ALA, 5-ALA-PDT may clinically be limited by the rate of ALA uptake into the neoplastic cells and/or its penetration through the tissue.4

Gold nanoparticle (GNP) conjugates exhibit properties unique from both their parent molecules and their particle scaffold. They can increase binding affinity and targeting selectivity when functionalized with multiple targeting groups, as well as tumor-selective uptake because of their size and the enhanced permeability and retention (EPR) effect.5 GNPs are also widely used in biomedical imaging and diagnostic tests due to their special optical properties.6–8 Moreover, no evidence of systemic toxicity caused by the GNP was found in animal studies,9 and the nanoparticle form of gold has successfully completed a Phase I clinical trial as a drug delivery agent [tumor necrosis factor (TNF) bound to GNP surface (CYT-6091)],10 especially with the recent demonstration that metallic nanoparticles can enhance singlet oxygen generation (SOG) of a PS.11

Many groups found that the GNPs can enhance SOG or the photodynamic therapy efficiency of different PSs, such as phthalocyanines,12–14 toluidine blue O,15–17 indocyanine green,18–20 AlPcS4,21 and hematoporphyrin.22 Similar to GNPs, gold nanorods (GNRs) conjugated with PS. drugs also find applications in PDT.15–17,19,21 Moreover, both in vitro and in vivo PDTs using PS-conjugated GNPs show extensive damage of cancer cells, blood capillaries, and endothelial cells.12,13,15–28

In these researches, most researchers believed that the GNPs are efficient drug delivery vectors.16,21,26–32 Some groups observed that the GNPs can enhance quantum yields of singlet oxygen.23,24 Terentyuk et al. observed dual-modality photodynamic and photothermal treatment of tumors in vivo with GNRs.33 The mechanism of the gold (metal) nanoparticles enhanced SOG is of growing interest. Most of the scientists believed that this effect is due to localized surface plasmon resonance (LSPR) of the metal nanoparticles.11,24–27,34,35 Pasparakis speculated a possible mechanism which may involve Plasmon-mediated electron emission from the GNP with subsequent interactions with the surrounding media which leads to SOG.36 When the LSPR absorption band appears, the local electromagnetic fields...
are significantly enhanced. Therefore, enhanced electromagnetic fields in proximity to metal nanoparticles are the basis for the enhancement of the SOG. However, most of them have used extraneous PSs and have not studied whether the above three functions worked together. Additionally, few attempts have been made to model the relative increase in SOG from PSs. Zhang et al. used finite-difference time-domain calculations to simulate the electric field enhancements of a 365-nm source around a 100-nm silver nanoparticle to estimate the increase in SOG, which is based on the extent of the net system absorption. Oo et al. applied Mie scattering theory to calculate the particle size-dependent electric field scattering and assess the enhanced SOG. They thought that the localized plasmonic field of Au NPs plays a similar role in both SOG and surface-enhanced Raman scattering enhancement. In both models, only the metal nanoparticles were involved without taking account of the PS.

In this study, an endogenous PS was used to form 5-ALA-GNP conjugate, which was used to observe the PDT efficiency in vitro, and in order to find the mechanism, we measured the PpIX production and SOG in cells. The PpIX–GNP system was used to study the surface resonance enhancement. We also attempted to explain the experimental results of PpIX–GNPs by using the core–shell model and calculate the local field factor using the quasistatic theory.

2 Materials and Methods

2.1 Photodynamic Therapy System

In this study, we used different irradiation systems, including a 502-nm light-emitting diodes (LEDs) array that contains 96 LEDs corresponding to 96 well plates, 635-nm continuous wavelength semiconductor laser, and xenon lamp. We preferred to select a green LED array as irradiation light for its lower cost and the absence of pain for longer irradiation; 635-nm laser and xenon lamp were selected for comparison. The laser beam was collimated through fiber and the beam diameter was adjusted to the size of the well by optical lens. A xenon lamp can directly irradiate the 96-well plate.

2.2 5-ALA-GNP and PpIX-GNP Conjugation

5-ALA and PpIX conjugated with GNPs through electrostatic interaction between positive GNPs and negative 5-ALA and PpIX under the alkalinity environment. 5-ALA-GNPS and PpIX-GNP conjugates were prepared by mixing 500 μl 0.1 mM cationic GNPs with 500 μl 4 mM 5-ALA (Sigma, USA) and 2 mM PpIX (Sigma, USA) in HEPES buffer (pH 7.4, Sigma, USA) rapidly at room temperature. The cationic GNPs were synthesized by mixing poly (diallyldimethylammonium chloride) (PDDA, sigma) and HAuCl₄ (Sigma, USA), and then the mixture was heated with a microwave. In order to adjust the size of the GNPs, we used three different ratios of PDDA and HAuCl₄ for the reaction (Table 1).

The colors of the resultant GNPs and 5-ALA-GNPS conjugate were both light amaranths red. The absorption spectra of GNPs and 5-ALA-GNPSs were measured on a DU-640 Vis–Visible (Vis) spectrophotometer (JASCO, Japan). The conjugates were confirmed by using a transmission electron microcopy (JEM-200CX, HITACH, Japan) and a particulate size description analyzer (Mastersizer 2000, Malvern, England).

### Table 1 Character of three different size gold nanoparticles (GNPs).

<table>
<thead>
<tr>
<th>GNP samples</th>
<th>Molar ratio of PDDA and HAuCl₄ for reaction</th>
<th>Maximum Absorption Wavelength (nm)</th>
<th>Molardecadic extinction coefficient ((\varepsilon)) at (\lambda = 450,\text{nm})</th>
<th>Concentration (mol/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td>GNPs 1</td>
<td>1:2</td>
<td>524</td>
<td>16</td>
<td>(2.67 \times 10^8)</td>
</tr>
<tr>
<td>GNPs 2</td>
<td>1:1</td>
<td>526</td>
<td>25</td>
<td>(1.10 \times 10^9)</td>
</tr>
<tr>
<td>GNPs 3</td>
<td>2:1</td>
<td>530</td>
<td>33</td>
<td>(2.66 \times 10^9)</td>
</tr>
</tbody>
</table>

2.3 Cell Culture and Photodynamic Therapy Treatment

Human chronic myeloid leukemia K562 was kindly provided by Zhang, (Northwest University, Xi’an, China). Cells were routinely grown in suspension culture in a Roswell Park Memorial Institute (RPMI) 1640 (1x) medium with HEPES and L-glutamine medium supplemented with 10% fetal calf serum and antibiotic/antimycotic solution in a 37°C humidified incubator (5% CO₂, 95% air).

Cells at logarithmic growth phase were spun down at 1400 rpm for 5 min at 20°C and then seeded in serum-free medium at a density of about 10⁵/ml in 96 well plates (100 μl/well). 10 μl 2 mM 5-ALA, 0.1 mM GNPs, and 2 mM 5-ALA-GNPs conjugates were added to the cell suspensions in different wells. The mixtures were incubated for 6 h in dark, during which cells can uptake 5-ALA, GNPs, conjugates and the uptake 5-ALA can generate PpIX in cells, then the well plates were carefully washed twice with phosphate-buffered saline (PBS) and then refreshed with 100 μl serum-free medium for each well. For the PDT-treated group, the mixture of cells and reagent was irradiated with three different light sources with different times. The light sources included 502-nm LED (5 mW/cm², irradiation for 1 h), 635-nm continuous wavelength laser (40 mW/cm², irradiation for 10 min), and xenon lamp (40 mW/cm², irradiation for 10 min).

After irradiation, the cell suspension was washed twice with PBS to remove free drugs and refreshed with 100 μl medium containing 10 μl of cell counting kit-8. Cell viability was valued by the absorption of each well at 450 nm with an MK3 96-well microplate reader (Labsystems, Finland).

2.4 PpIX and Singlet Oxygen Generation Test

To quantitificate the internalized amount of 5-ALA in K562 cells treated with 5-ALA and 5-ALA-GNPs, we detected the PpIX generation in cells after the incubation and SOG after irradiation. Briefly, the K562 cell suspensions at a density of 10⁵/ml were seeded in six well plates (2 ml/well). Then cells were incubated with 200 μl 2 mM 5-ALA, 0.1 mM GNPs, and 2 mM 5-ALA-GNPs for 6 h under dark conditions. The samples were centrifuged at 12,000 g for 5 min, washed with PBS twice to remove the remaining materials, and resuspended in sodium dodecyl sulfate lysis buffer. The samples were then incubated for 20 min on ice and centrifuged at 12,000 g for 5 min. The suspension solutions of these centrifuged samples were collected and divided into two parts. One part of the collection was sent to a spectrometer (F-4500, Hitachi, Japan) to measure the PpIX fluorescence intensity (excitation wavelength: 410 nm).
Another part was mixed with singlet oxygen sensor green reagent (SOSGR) and irradiated with different light sources for different times as mentioned in Sec. 2.3. For comparison, we also directly detected singlet oxygen of different size GNPs and PpIX conjugates after light irradiation as Xu et al used.39 Briefly, 2 ml 1 mM PpIX solution, three different GNPs–PpIX conjugates prepared from 1 mM PpIX mixed with 0.1 nM 16, 25, and 33 nm-sized GNPs, and control solution containing only ultrapure water (ventilated with nitrogen to eliminate oxygen) were mixed with 20 μl SOSGR, respectively. The mixed solutions were plated into a 6-well plate and irradiated with different light sources for different times as mentioned in Sec. 2.3. The amounts of singlet oxygen generated from both (in cells treated with 5-ALA and direct irradiation of PpIX) were detected by the fluorescence intensity of the SOSGR using a fluorospectrometer (F-4500, Hitachi, Japan).

3 Results

3.1 Characterization of GNPs and PS-GNPs Conjugation Confirmation

GNPs with three different sizes were prepared and used in our study (Table 1). Figure 1 showed the absorption spectrum of these three GNPs. The size of GNPs showed in Table 1 was measured by Malvern particulate size description analyzer and the concentration of GNPs was estimated by the molar decadic extinction coefficient at 450 nm of GNPs according to the data published by Haiss et al.40

The maximum of the surface plasmon resonance (SPR) absorption of gold colloids is known to red shift with increasing particle diameter.41 Our study found that the size of PS–GNPs conjugates is slightly bigger than pure GNPs.42 As showed in Figs. 2(c) and 2(d), the SPR absorption peaks appeared at about 524, 526, and 540 nm for GNPs, 5-ALA-GNPs conjugates and PpIX-GNPs, respectively. The electron microscopy images [Figs. 2(a) and 2(b)] also slightly showed the size increase of the GNPs after conjugating with ALA from 16 to 18 nm.

![Absorption spectra of three different sized gold nanoparticles (GNPs).](image1)

![The electron microscopy images of 16 nm GNPs (a) and 5-ALA-GNPs; (b) meanwhile, the absorption spectra of GNPs and 5-ALA-GNPs conjugate, GNPs, (c) protoporphyrin IX (PpIX) and GNPs–PpIX (d) were given.](image2)
3.2 Cell Experiments for Photodynamic Therapy Treatment

As a precursor of PS, 5-ALA was finally transferred into PpIX in cells that subsequently accumulate in cancer cells. PpIX has several absorption wavelength peaks [Fig. 2(d)]; in clinical PDT, normally a 635-nm wavelength was used for its deeper penetration. In our study, K562 cells treated with GNPs, 5-ALA, and 5-ALA-GNP were irradiated with different light sources and for different times. The results of cell viability according to the fluorescence of kit-8 are shown in Fig. 3. Samples treated with 5-ALA-GNP conjugates exhibited superior cell killing efficiency compared with those treated with 5-ALA only, while samples treated with GNPs only showed nearly no damage to the cells. Since the LEDs array has power density of 5 mW/cm² that has no effect on humans for a long time (that is people can endure the irradiation for a long time), we irradiate the cells with an LED array for 1 h. For the other two light sources, cells were irradiated for 10 min with a power density of 40 mW/cm². Therefore, for each light source, the irradiation dose was equal (24 J/cm²). Among these three light sources, samples treated with the 502-nm LED array irradiation showed better cell killing efficiency.43

3.3 PpIX and Singlet Oxygen Generation

For detection of PpIX that was generated by 5-ALA in cancer cells, the 410-nm excitation wavelength is used, and the emission fluorescence peak is at about 635 nm. Figure 4 shows the PpIX fluorescence intensity from the lysates of cells incubated with GNPs, 5-ALA, and 5-ALA-GNPs for 6 h. The results showed that the 5-ALA-GNP-treated cells yielded about two times PpIX than that of 5-ALA alone. GNPs-treated cell lysates contained almost no PpIX compared to the result of the control samples, which were nontreated cell lysates.

The generation of the singlet oxygen is an important indicator of cell killing efficiency in PDT. Although several singlet oxygen detection reagents are available, our choice of singlet oxygen sensor green reagent depends on the fact that it is highly selective for singlet oxygen. SOSGR has a green fluorescence emission peak at 525 nm. The fluorescence intensity treated cells can be used to estimate the SOG, and the results measured from cell lysates are shown in Fig. 5. The results indicate that with different light irradiation, cells treated with 5-ALA-GNPs all produce more singlet oxygen than those treated with 5-ALA alone, and the cells incubated with GNPs alone nearly produce no singlet oxygen compared with the control (nontreated cells). However, the improvement of SOG is different according to different light irradiation. Green LED irradiation gives a greater improvement efficiency, whereas the SOG is about 2.74 times higher for conjugates than those of the PpIX alone. For xenon lamp and 635-nm laser irradiation, the SOG improvement is about 1.7 times.
PpIX and three different PpIX–GNPs conjugates were directly irradiated with two lights. The results of SOG (Fig. 6) are similar to that in cells with 5-ALA (Fig. 5), PpIX–GNPs conjugates generated more singlet oxygen than PpIX alone. However, the improvement of SOG of PpIX–GNPs to PpIX is much greater than 5-ALA-GNPs to 5-ALA in cells. In addition, the fluorescence intensity of SOSGR increased with the increase of GNP size and irradiation time.

**4 Discussion and Conclusion**

Figure 3 shows that 5-ALA-GNP conjugates exhibited superior cell killing efficiency compared with 5-ALA alone. Several studies also demonstrated that 5-ALA in vitro PDT efficiency can be improved by GNPs,27,39 and GNPs can deliver much more 5-ALA into cells. PpIX measurement and SOG detection can be used to discover part of the mechanism of this process. In our study, Fig. 4 shows that 5-ALA-GNPs conjugates generated...
double the amount of PpIX than 5-ALA alone in K562 cells, which confirmed the GNPs delivery function because PpIX was transformed from ALA in the cells. After uptake by the cells, 5-ALA-GNPs conjugates were exposed in intracellular acidic environments. 5-ALA changed to be positively charged, separated from the surface of GNPs and was transferred into PpIX by cells. Therefore, more PpIX generation of 5-ALA-GNPs in cells indicates more internalized 5-ALA; meanwhile, the result explained the improved SOG by 5-ALA-GNPs is shown in Fig. 5. The results demonstrated that the enhancement of SOG is smaller than the improvement of PpIX except for the green LED arrays. This means the SOG came directly from PpIX except for the green LED arrays. Therefore, we proved that the improved tumor cell-killing efficiency of 5-ALA-GNPs was mainly due to the fact that GNPs can deliver more 5-ALA into cells.

However, the improved SOG phenomena found in PpIX–GNPs systems, as Fig. 6 showed, cannot be explained with the same reason. In this study, PpIX–GNPs and PpIX were directly irradiated in HEPES buffer and did not involve the cell uptake. PpIX attaches to the GNPs surface through electrostatic interaction since its electronegativity in HEPES buffer (pH 7.2) during the irradiation. Vankayala et al and Jiang et al. showed that GNP itself can effectively generate singlet oxygen induced by light, but in these papers, GNPs were usually induced by a UV light with a large irradiation power (around 100 W) and the generation of singlet oxygen was mainly the result of two-photon excitation instead of the mW level irradiation power with 502 and 635 nm wavelengths in our study. Maybe this is the reason why the GNP itself hardly generates singlet oxygen in our experiment (Fig. 5). In addition, Fig. 6 also shows the SOG of PpIX–GNPs conjugates increased with the increase of GNP size for both light sources. Therefore, we demonstrate that, in this case, the enhanced SOG of PpIX–GNPs is due to the local field enhancement effect of GNPs.

To analyze this mechanism of the gold-enhanced SOG, PpIX–GNPs can be considered as the core–shell structure as a model and we can use local electric field enhancement theoretical calculations to simulate the process.

For the PS-capped metal nanoparticle, the spectra are not simple super positions of the surface plasmon spectra of the metal and the absorption spectra of the PSs. The optical spectra of these PS-nanoparticle composites exhibit interferences between the molecule and the SPRs. Generally, these spectra are explained by treating the SP-nanoparticle system as a construction composed of a metal core and a PS shell having different dielectric functions, and classical electrodynamics can be used to calculate the optical properties. Zhu et al. used the core–shell model to explain the fluorescence modification of RB modulated with GNPs and demonstrated that the theoretical calculations satisfied the experimental results. Therefore, in this study, we speculated that the core–shell model could be used to explain the enhanced SOG of GNPs–PpIX.

In PDT, the generation of the singlet oxygen from PSs can be described as follows under the condition of sufficient oxygen

\[ S^* = P^* \eta = \phi k Pt, \]  

where \( S^* \) represents the SOG, \( P^* \) denotes the excited PS, \( \eta \) denotes the conversion rate from \( P \) to \( P^* \), \( \phi \) signifies the quantum yield of the singlet oxygen, \( k \) describes the cross section of PS at the wavelength of irradiation, \( P \) is the concentration of the PS, and \( I \) and \( t \) signify the irradiation intensity and irradiation time, respectively. In Eq. (1), for a certain PS, \( \eta, \phi, s, \phi, \) and \( k \) are fixed. Thus, SOG is proportional to PS concentration, irradiation intensity, and time. In this study, the PS concentration and irradiation time for all samples were the same; we assumed that the irradiation intensity for the sample including GNPs could be changed by local field enhancement. Therefore, we attempted to explain the experimental results of the GNP-enhanced single oxygen generation of PpIX by using this core–shell model to calculate the local field factor \( R \), thus the irradiation intensity for Au-PpIX could be given by

\[ I_{\text{Au-PpIX}} = I_{\text{PpIX}} R, \]  

where \( I_{\text{Au-PpIX}} \) denotes the irradiation intensity to GNPs–PpIX, \( I_{\text{PpIX}} \) signifies the irradiation intensity to pure PpIX, and \( R \) denotes the local field factor of PpIX-coated GNPs.

We calculated the size-dependent and shell thickness-dependent local field factor \( R \) in the wavelength range of 450 and 700 nm. The corresponding results are shown in Figs. 7 and 8.

Figure 7(a) shows the quasistatic calculation of the local field factor at different wavelengths versus radial distance with a gold core diameter of 16 nm. For a fixed wavelength, the local field factor decreased with the increase of the shell thickness. For a fixed thickness, the local field factor was a function of the wavelength, and for every certain wavelength, a maximum value of \( R \) existed, and the wavelength was close to the SPR band of the nanoshell. In this study, the shell thickness was 1 nm. According to the calculations, local field factors were about 3.392 and 2.624 for the irradiation wavelength 502 nm (green LED) and 635 nm (continue wavelength laser), respectively. These findings satisfied our experimental results because of the absence of a linear relationship between the green fluorescence intensity and the SOG. Figure 7(b) shows the local field factor as a function of wavelength at a fixed shell of 0 and 1 nm. The peak was very similar to and even equal to the absorption peak as shown in Fig. 1. The increase of the wavelength resulted in the initial increase of the local field factor and then a decrease; the peak wavelength red shifted with increasing shell thickness.

In biomedical applications, the size of GNPs is an important factor because it determines its physical and chemical properties. Therefore, the electric field enhancement is affected by the GNP size. The core–shell model used to investigate the GNP size dependence of the SOG of GNP–PpIX conjugate was useful for further experiments. Figure 8(a) shows the quasistatic calculation of the local field factor at different wavelengths versus GNP size and the PS shell thickness was 1 nm. Figure 8(b) shows the local field factor as a function of GNP size at a fixed wavelength (the 502 and 635 nm we have used). The increase in GNP size resulted in the increase of the local field factor in intrinsic size regime (2R ≤ 50 nm), for which the quasistatic approach can be used. On the other hand, because GNPs with a size of 40 to 60 nm have the best cellular uptake efficiency, the highest cell killing efficiency could be reached by using 40 to 60 nm GNPs as a delivery agent for 5-ALA in PDT, which has been demonstrated by Oo et al.

If the higher efficiency of 5-ALA-GNPs conjugates-based PDT was due to the GNPs delivery function and local field enhancement, the enhancement of SOG in cells should be the product of 2 (improvement of PpIX generation) and 2.5 (enhancement of SOG), which was not in accordance with experimental results. When GNPs separated from 5-ALA, 5-ALA transferred into PpIX mainly in mitochondria, while the
distribution of GNPs is not clear. Maybe that was the reason that local field enhancement of GNPs did not work in cells for 5-ALA-GNPs PDT.

In this study, the 5-ALA-GNPs conjugates were used for K562 cell destruction PDT, which induced higher tumor cell-killing efficiency compared with the 5-ALA PDT. Biocompatible GNP can be used as a promising vehicle for effective delivery of 5-ALA. Moreover, we observed that 5-ALA-GNPs in cells exhibited distinct single oxygen generation enhancement and different light sources resulted in different efficiencies. We model the PpIX-GNPs conjugates as a core–shell nanostucture to explain the observed single oxygen generation characters in terms of the coupling of incident light to PpIX with local field enhancement from GNPs. Calculations based on quasistatic theory indicated that the local field enhancement was different for different wavelengths. When the incident light was closed to the SPR band of the nanocore, the single oxygen generation could be effectively enhanced. Therefore, the 502-nm LED array led to higher oxygen generation than the 398 and 621 nm LED arrays. Furthermore, the calculation and experiments both demonstrated that the GNP size was an important factor for field enhancement; SOG increased with the increase of the GNP size. However, for 5-ALA-GNPs conjugate-based PDT, the efficiency or the cell killing was mainly due to the GNP's delivery function instead local field enhancement and the SOG of the GNPs themselves.

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References


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