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Abstract. Changes in blood perfusion in highly interconnected pial arterioles provide important insights about the vascular response to ischemia within brain. The functional role of arteriolo-arteriolar anastomosis (AAA) in regulating blood perfusion through penetrating arterioles is yet to be discovered. We apply a label-free optical microangiography (OMAG) technique to evaluate the changes in vessel lumen diameter and red blood cell velocity among a large number of pial and penetrating arterioles within AAA abundant region overlaying the penumbra in the parietal cortex after a middle cerebral artery occlusion (MCAO). In comparison with two-photon microscopy, the OMAG technique makes it possible to image a large number of vessels in a short period of time without administering exogenous contrast agents during a time-constrained MCAO experiment. We compare vasodynamics in penetrating arterioles at various locations. The results show that the MCA connected penetrating arterioles close to a strong AAA dilate, while those belonging to a region away from AAAs constrict in various degrees. These results suggest AAAs play a major role in supporting the active dilation of the penetrating arterioles, thus compensating a significant amount of blood to the ischemic region, whereas the poor blood perfusion occurs at the regions away from AAA connections, leading to ischemia. © 2015 Society of Photo-Optical Instrumentation Engineers (SPIE)

Keywords: optical coherence tomography; optical microangiography; middle cerebral artery occlusion; stroke; arteriolo-arteriolar anastomosis.

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1 Introduction

The change in blood perfusion in highly interconnected pial arterioles is strongly related to the neural activities in the cerebral cortex, thus the ability to measure this change can provide important insights about the vascular reaction in the case of an ischemia. Pial arterioles are responsive to a disruption of blood flow in various ways depending on their sizes and their spatial locations with respect to anastomoses. Penetrating arterioles are other important components of blood flow regulation, as they play an essential role in delivering blood from a highly collateralized pial arteriole network to capillaries in the cerebral cortex. The blood flow regulation in penetrating arterioles is crucial for the subsequent survival of tissue within the penumbra region. Interestingly, penetrating arterioles are not interconnected. Hence, in the case of a disruption of blood flow such as in stroke, the source of blood to the vital pathways to the penumbra is regulated by pial arterioles. Failure in supplying penetrating arterioles can cause a direct change in downstream capillaries and lead to a region of neuronal death.

Within the highly collateralized pial arteriole network, the major cerebral arteries, such as the middle cerebral artery (MCA) and anterior cerebral artery (ACA), are interconnected by arteriolo-arteriolar anastomoses (AAAs), with penetrating arterioles attaching to them as T-junctions. The combined blood flow from MCA and ACA sources descends into the cortex after passing through T-junctions on the surface. However, little is known about AAA’s role in the hemodynamic compensation through penetrating arterioles. Thus, evaluating the vessel diameter, the red blood cell (RBC) velocity and total flow changes in a significant number of pial and penetrating arterioles in relation to the AAAs can provide a valuable insight about the active hemodynamic regulation during focal stroke.

Two-photon excited fluorescence microscopy (2PM) has been a well-accepted tool for quantifying RBC flow dynamics in single vessels in cerebral microvasculature. It has been widely applied for investigating neuronal connectivity, as well as blood flow activity by labeling RBCs. However, it has several limitations. It provides a small field of view and only measures the RBC velocity in vessels that are oriented parallel to the imaging plane. Additionally, the measurements need to be made one vessel at a time, which is time consuming. As a result, it is difficult to acquire large data sets during the time-constrained experiments, such as in the investigation of middle cerebral artery occlusion (MCAO) models in rodents.

Lately, Doppler optical coherence tomography (OCT) has been applied to image cerebral microvasculature and can measure absolute blood flow speed in multiple vessels at once. Due to its inherent low sensitivity to blood flow within highly scattering tissue, optical microangiography (OMAG) is developed that analyzes both intensity and phase information embedded in OCT spectral interferograms to produce a three-dimensional...
(3-D) cerebral blood perfusion map in vivo. Its further development has made OMAG sensitive to capillary blood flows that perfuse within the living tissue, which has been successfully used in the study of mouse cerebral microvasculature and its response to systemic hypoxia, normoxia, and hyperoxia. A recent study also validated the accuracy of OMAG for capillary vessel imaging compared to 2 PM using a mouse cerebral microvasculature model. Moreover, OMAG has been used in cutaneous imaging applications by utilizing a Doppler principle, called DOMAG, to image the capillary morphology in healthy and inflamed human finger skin and the vascular abnormalities in human facial skin with acne vulgaris.

In this paper, we apply the OMAG technique to evaluate the vessel diameter, the RBC axial velocity and total blood flow changes in a large number of pial and penetrating arterioles across the penumbra region in the parietal cortex before, during, and after the MCAO. We focus on analyzing vasodynamics at different spatial locations with respect to the AAs territory between the MCA and ACA distal branches. The open-skull cranial window is applied to the region of interest (ROI) to achieve high quality images up to 500-μm depth within a 3 × 3 mm² area. OMAG images are taken during 60 min of the MCAO and the reperfusion periods and compared with the basal conditions. The following questions are being investigated: How do the AAs redistribute blood in response to MCAO, and how is it related to the changes in the pial and the penetrating arterioles? Our system and methods provide significant advantages to investigate these important questions. Thanks to the highly sensitive OMAG, we are able to resolve pial microvasculature up to the capillary level and provide the clear images of penetrating arterioles up to a 500-μm depth. In contrast to 2PM, our techniques make it possible to image a larger number of vessels in a short-time period during a time-constrained MCAO experiment, thus providing the ability to compare various regions within the penumbra.

2 System and Methods

2.1 Animal Models

All experimental animal procedures performed in this study are approved by the Institute of Animal care and Use Committee (IACUC) of the University of Washington (Protocol number: 4262-01). Six male 12-week-old C57BL/6 mice weighing between 23 and 25 g were purchased from Charles River Laboratories (Seattle, Washington). The mice were deeply anesthetized using 1.5% to 2% isoflurane (Air) during the experiments and euthanized at the end of the experiments. The body temperature of the animal was maintained at 36.8°C through a homeothermic blanket system (307220-F, Harvard Apparatus, Massachusetts). All the mice were subjected to three imaging sessions (baseline, MCA occlusion, reperfusion) through a cranial window covering the distal branches of MCA and ACA, as well as the AAs. The surgical procedure is briefly described as follows: First, a standard 3 × 3 mm² cranial window was created on the left parietal cortex 1 mm lateral from the sagittal suture and 1 mm posterior from the bregma by drilling a circular groove and lifting the central island. A circular cover glass was placed over the exposed brain surface and sealed onto the bone with dental cement. Then the cranial window was subjected to a baseline imaging by OMAG (see Sec. 2.3). After the baseline imaging was taken, the mouse was subjected to an MCAO using the Longa method, which involves an intraluminal filament insertion from an isolated external carotid artery and a temporary ligation of the ipsilateral common carotid artery (CCA). The occluding filament was slowly advanced through internal carotid artery toward the cranial base until a mild resistance was felt. A laser Doppler flowmeter microtip was placed perpendicularly to the calvarium from the superior nuchal line to the nasion as the guidance to a successful occlusion. Another set of OMAG images was taken at the same region under the window during the occlusion period of 60 min. For reperfusion, the filament was removed so that MCAO could be reperfused, and OMAG images were again acquired to represent the reperfusion. However, due to the time constraint of the experiment, we were unable to image reperfusion without untying the CCA. For this short-time period of untying the CCA, we used the laser Doppler flowmetry to monitor. There was about a 28% decrease in total flux with the ligated CCA compared to the baseline over the average of six mice.

2.2 System Setup

A fiber-based SD-OCT system was used for the experiments. Briefly, in this system, a superluminescent diode (Thorlabs Inc., Newton, New Jersey) was used as the light source, which has a central wavelength of 1340 nm with a bandwidth of 110 nm, providing a 7 μm axial resolution in the air. In the sample arm, 10x scan lens (Thorlabs Inc., Newton, New Jersey) was used to achieve 7 μm lateral resolution (full width at half maximum of the point spread function) with a 0.12-mm depth of field. The output light from the interferometer was routed to a home-built spectrometer, which had a designed spectral resolution of 0.141 nm that provided a detectable depth range of 3 mm on each side of the zero delay line. The line rate of the line-scan camera (1024 pixel detector-array, Goodrich Inc., Princeton, New Jersey) employed in the spectrometer was 92 kHz. The system had a measured dynamic range of 105 dB with a light power of 3.5 mW at the sample surface. The operations for probe beam scanning, data acquisition, data storage, and hand-shaking between them are controlled by a custom software package written in Labview.

2.3 Optical Microangiography

To visualize the volumetric microvasculature, a unique OMAG scanning protocol was applied to obtain detailed cerebral microvasculature and flow velocities in the arterioles. Briefly, in this protocol, 400 A-lines covering a distance of ~1.5 mm constituted each B-frame (fast axis). In the slow axis (C-scan), there are 400 steps, also covering a distance of ~1.5 mm. At each step, eight-repeated B-frames are acquired. With this scanning protocol, the data cube of one complete 3-D scan was composed of 1024 × 400 × 3200 (z × x × y) voxels, which took ~18 s to acquire with an imaging rate of 180 fps. To obtain microvasculature down to the capillary level at each step, an eigendecomposition-based clutter filtering algorithm25 was used to separate structural tissue from flowing RBCs from the eight repeated B-frames. Hence, the final 3-D vascular image was composed of 1024 × 400 × 400 (z × x × y) voxels. The lumen diameters of vessels were calculated manually from OMAG images using a MATLAB graphical user interface. Accordingly, the full width at half maximum of the pixel intensities is located on the vessels and the diameters are calculated between these locations based on the ~2 μm/pixel resolution.
After each OMAG scan, DOMAG scanning was performed covering the same area to show the axial velocity map of cerebral blood flow (CBF). Briefly, with this method, a phase-resolved technique was used to calculate the axial flow velocity of the RBC

\[ V_{\text{axial}} = \frac{\lambda \phi}{4 \pi n T}, \]  

(1)

where \( \lambda \) is the center wavelength of the light source, \( n \) is the tissue refractive index, and \( \phi \) and \( T \) are the phase difference and time interval between adjacent A-lines, respectively. The maximal and minimal detectable velocities are determined by the time interval \( T \), the \( \pi \)-ambiguity and the system phase noise level. In order to have an axial velocity range of \( \pm 6.1 \text{ mm/s} \), Doppler processing of complex signals was applied among A-lines in each step by using a 3 A-line interval to increase \( T \) between processed A-lines. A phase variance mask was then employed to segment meaningful Doppler flow signals from the noisy phase background. Each B-scan in DOMAG protocol consisted of 10,000 A-lines by acquiring 25 A-lines at each 400 discrete steps. In the slow scan direction (C-scan), there were 600 discrete steps, i.e., 600 B-scans. The data cube of each processed 3-D vascular image was composed of \( 1024 \times 400 \times 600 (z \times x \times y) \) voxels, which took \( \sim 100 \text{ s} \) to acquire with a 6 fps imaging speed. More details about this imaging protocol can be found in Ref. 26. We integrated DOMAG images in the en face plane to calculate the flow in penetrating arterioles.

To acquire the CBF images over a large area of the cortex, these imaging protocols are repeated to create a mosaic image. OMAG and DOMAG algorithms are first applied to the data sets acquired at the basal condition. After applying MCAO to the mouse, the imaging protocol was repeated over the same area during occlusion and reperfusion by keeping the discrepancies minimum between the three imaging sessions in terms of the location of the focus of the probe beam and the positioning and orientation of the sample.

2.4 Statistics

The data are presented as mean ± SEM among the six animal experiments. The differences between the experimental means of basal, occlusion, and reperfusion conditions are tested with a two-tailed paired \( t \) test.

3 Results

3.1 Pial and Penetrating Arteriole Vasodynamics under Basal Conditions

Pial and penetrating arteriole vasodynamics in the mouse cortex are imaged through a cranial window \((9 \text{ mm}^2)\) using the OMAG technique. The volumetric en face average intensity projection (AIP) of the 3-D OCT structural image is used here to better visualize and localize the diverging and rising vessels. Because of the light absorption and the strong scattering effect from RBCs, the vessels parallel to the probing beam appear as darker spots in the en face AIP of the structural image in contrast to other regions and the vessels that are approximately perpendicular to the probe beam. This phenomenon helps make the detection of penetrating vessels easier, especially at the T-junctions that are mostly invisible in the en face maximum intensity projection (MIP) of OMAG images. Figure 1(a) shows the mosaic image of nine en face AIP of 3-D OCT structural images.

The CBF images are obtained by merging nine OMAG images and four DOMAG images as a mosaic image. The OMAG image of blood vessels in the pial region of the cerebral cortex is given in Fig. 1(b). To be able to focus on the pial arterioles, only the en face MIP of the capillary loop region up to a 100-\( \mu \text{m} \) depth is demonstrated, which was arranged to stay in the depth of focus of the probe beam. Moreover, the RBC axial flow velocity map of the pial and the penetrating vessels are demonstrated using DOMAG in Fig. 1(e). The bidirectional en face MIP in Fig. 1(e) shows the diverging arterioles and the rising venules as green and red colors, respectively, where the RBC axial flow velocity information is coded with a color bar in a range of \( \pm 6.1 \text{ mm/s} \). The dense scanning protocol enables the imaging of various RBCs at multiple locations to form continuous lines; however, the discontinuities are still present due to the very low relative axial velocities of RBCS when they are nearly perpendicular to the probe beam. A few out-of-range flows are also observed as phase-wrapped signals, shown in yellow color. The closer views of an ROI in Figs. 1(b) and 1(e) are shown in Figs. 1(c) and 1(f).

The penetrating arterioles are located manually in the high contrast version of Fig. 1(b) and are shown in Fig. 1(d). In doing so, the en face AIP of the OCT structural image [Fig. 1(a)] is used as a guide to detect the locations of the penetrating arterioles, then the DOMAG image [Fig. 1(e)] is employed to differentiate the MCA and the ACA branches from veins and to localize the AAA T-junctions. In the particular case presented in Fig. 1, the penetrating arterioles that are identified as the MCA and the ACA branches are marked with red and green dots, respectively [Fig. 1(d)]. Moreover, the AAA T-junctions are found in between the ACA and the MCA branches and are denoted with yellow dots in Fig. 1(d). The penetrating arterioles that have connections to ACA are chosen from the clear part of the cranial window. We did not make selections based on speed or diameter. The corresponding arterioles are also pointed out in the ROI images with circles in Figs. 1(c) and 1(f). After random sampling \((n = 6 \text{ animals})\), the average lumen diameters of 143 pial and 127 penetrating arterioles are calculated to be 40.7 and 25.6 \( \mu \text{m} \), respectively.

3.2 Pial and Penetrating Arteriole Vasodynamics in Response to Middle Cerebral Artery Occlusion

Changes in the pial and penetrating arteriole vasodynamics in response to MCAO are studied using OMAG and DOMAG techniques. Figures 2(b) and 2(c) show the blood flow changes in the penetrating arterioles during the 60 min occlusion. When the MCA branches fails to support the penetrating arterioles, flow is reversed in the pial arterioles and the ACA branches take over some of the penetrating arterioles as shown in Fig. 2(b). However, this collateral support fails in providing blood to some of the MCA connected penetrating arterioles which mainly reside in the weak AAA territory as pointed out in Fig. 2(c). Moreover, in consistence with the previous literature, the blood flows in the penetrating arterioles are never reversed. On the other hand, T-junction locations may shift as in the case pointed out with a dashed circle in Fig. 2(e). The average lumen diameter of the pial and the penetrating arterioles, corresponding to the baseline case, are decreased to 38.8 and 24.2 \( \mu \text{m} \), respectively.
During the reperfusion, some of the AAAs stay active in supplying blood to four of the penetrating arterioles via ACA and three of the MCA connected penetrating arterioles remain inactive, as shown in Fig. 2(e). On the other hand, most of the capillaries distant from the AAA territory disappear during the occlusion and do not reappear after the reperfusion. The average lumen diameters of the corresponding pial and penetrating arterioles return back to their baseline values with a slight increase, which are measured at 41.1 and 26.3 μm, respectively. The comparison of the

**Fig. 1** Pial and penetrating arteriole vasodynamics under basal conditions: (a) *en face* average intensity projection (AIP) of the cortical structure through the cranial window. (b) *En face* maximum intensity projection (MIP) of optical microangiography (OMAG) within 100 μm in depth from the cortical surface. (c) Closer view of a region marked by a dashed yellow box in (b). (d) *En face* map to show penetrating arterioles in OMAG image. Red, green, and yellow dots correspond to MCA, ACA, and AAA T-junction sourced arterioles, respectively. (e) *En face* MIP of DOMAG that shows axial velocity distribution within 500-μm depth from cortical surface. (f) Closer view of a region marked by a dashed yellow box in (d). In (c) and (f), penetrating arterioles are marked by circles. Scale bar represents 0.35 mm in (a, b, d, e) and 0.15 mm in (c, f).
Fig. 2 Changes of red blood cell (RBC) flow patterns in the pial arteriole network during MCAO and reperfusion: (a, d) En face AIP of the cortical structure through the cranial window (a) during occlusion and (d) during reperfusion, respectively. (b, e) En face OMAG MIP of the microcirculation network within 100-μm depth from the cortical surface (b) during occlusion and (e) during reperfusion, respectively. Map of the diving arterioles on OMAG image is shown. Red, green, and yellow dots correspond to MCA, ACA, and AAA T-junction sourced arterioles, respectively. Yellow dots in (b) are showing the location of T-junctions in the baseline while they were supplied by MCA and ACA. Blue dots correspond to the diving arterioles that are at not-detectable level compared to basal condition. Dashed circle in (e) points out the shift in the location of T-junction point. (c, f) En face DOMAG MIP of velocity distribution within 500-μm depth from cortical surface (c) during occlusion and (f) during reperfusion, respectively. Dashed circle in (c) points out the area with failed collateral support. Scale bar represents 0.35 mm.
The average lumen diameters among basal, occlusion and reperfusion conditions for the pial and the penetrating arterioles is shown in Fig. 5(a).

The MCAO model used in this study generates incomplete recovery during reperfusion due to the sustained blocking of CCA branch vessels. This can be observed in Fig. 3(b), where the velocity and the flow changes in the penetrating arterioles (shrink or dilate) occur in response to the stroke.
whereas the lumen diameters of the pial arterioles are only slightly affected as demonstrated in Fig. 3.

3.3 Pial Arteriole Vasodynamics in Relation to AAA Formation

AAA’s role in the response of the pial and the penetrating arterioles to MCAO is analyzed using both the OMAG and the DOMAG results. Two ROIs are selected in the DOMAG images for all cases where the presence of AAAs is either strong or weak [Figs. 4(a)–4(c)]. These regions are also located in the OMAG images and the corresponding penetrating arterioles are marked in Figs. 4(d)–4(f). Then the mean lumen diameter, the total blood flow and the RBC axial velocity in the penetrating arterioles and the pial arterioles within these regions are compared. Figure 5(b) shows that the penetrating arterioles that are close to the strong AAA territories dilate whereas the pial arterioles do not, which is consistent with the previous finding. Although the dilation effect in the average lumen diameter is subtle, it is statistically significant. On the other hand, the penetrating arterioles constrict significantly in the weaker AAA territories as shown in Fig. 5(c). Moreover, the total blood flow and the RBC mean axial velocity in the penetrating arterioles close to a weak AAA suffered from a significantly larger drop compared to the ones close to a strong AAA [Figs. 5(e) and 5(f)].

4 Discussion

To reveal the role of cerebral pial arteriole network in the local hemodynamic homeostasis during ischemia, we evaluated diameter and flow fluctuations in a total of 143 pial and 127 penetrating arterioles overlaying the stroke penumbra from six animals during MCAO. Three main findings are highlighted: (1) The flow reversals occur in the pial arterioles through anastomosis and ACA takes over blood supply to the penetrating arterioles attaching to the MCA side. (2) The penetrating arterioles near a strong AAA territory dilate and sufficiently restore the flow to the ischemic region. (3) The flow compensation decreases with the increase of the distance away from the AAA territory, resulting in poorly recovered penetrating arterioles residing away from the AAA connections during reperfusion.

Pial and penetrating arterioles respond differently to an occlusion, and they act together to compensate blood flow to an ischemic region. Reversible blood flow in the pial arterioles occurs according to a pressure gradient. When the brain is subjected to an ischemic event by MCAO, the flow balance in AAAs is immediately disrupted and the retrograde blood flow appears in MCA, as found in Refs. 6 and 10. However, no dilation events are observed among the pial arterioles in the experiment. In contrast, the penetrating arterioles can actively dilate to deliver blood to the ischemic region, but no reversal flow occurs in the penetrating arterioles, which is consistent with the previous finding in Ref. 4. In addition, an interesting fact found in our study is that the distribution of the
arteriole dilations is not homogeneous across the ROI. The penetrating arterioles close to a strong AAA connection dilate despite the overall decrease in mean lumen diameter. In other words, the penetrating arterioles constrict significantly in the areas further away from AAAs. To summarize, in order to have active dilations of the penetrating arterioles during severe ischemia, the pial arterioles must be kept flowing due to the regulation of AAAs. Moreover, the lack of blood supply for the pial arterioles also affects the recovery of the penetrating arterioles during reperfusion. Our data report a higher restoration of RBC velocity in the penetrating arterioles near a strong AAA territory in comparison with a weak one, which is critical for the survival of the tissue during stroke recovery.

Besides the CBF images, the en face AIP of 3-D OCT structural images from 60 min of occlusion and reperfusion [Figs. 2(a) and 2(d)] also lead to an interesting observation: regions in the areas far from the AAA territory appear darker compared to the uniform looking baseline. The increased light scattering due to the changes in cell morphology in the infract region is suspected to be responsible for the nonuniform intensity distribution in the cortex after stroke. This phenomenon was also observed by mapping light attenuation coefficients and was correlated with histology in a similar MCAO model.

4.1 System Limitations

The label-free OMAG technique offers a unique ability to quickly image a relatively large area in time-sensitive stroke experiments. However, it comes with some limitations. First, the lateral resolution of our system is $\sim 7 \mu m$ with a depth of focus of 0.12 mm. This makes the diameter measurement inaccurate for the pial vessels, especially for those smaller than 20 $\mu m$. Second, since only the axial velocity is measured, it is difficult, if not impossible, to detect the RBC velocities and flow in the pial vessels perpendicular to the optical axis, due to their very small axial velocities, hence a slightly tilted stage is needed to solve this problem. Moreover, the absolute flow information is hard to derive accurately due to the resolution and the light intensity deterioration with depth. Hence, this technique is best suited for comparison studies where errors in the measurements do not affect the differential conclusions. In this study, we tried our best to keep all the crucial parameters, such as the focus of the probe beam and positioning and orientation of the sample, the same among all the imaging sessions. To check if there is a systematic error in the determination of total blood flow in penetrating arterioles, the total blood flow conservation rule is applied. The average velocity and flow in the 127 pairs of penetrating arterioles and rising venules are similar within a $\%10$ margin.
penetrating arterioles during stroke, providing blood flow to actively dilate the penetrating arterioles in order to rescue the tissue in the penumbra region.

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References

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