Near-infrared measurements of brain oxygenation in stroke

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Abstract. We investigated the feasibility of using frequency-domain near-infrared spectroscopy (fdNIRS) to study brain oxygenation in the first few hours of stroke onset. The OxiplexTS® fdNIRS system was used in this study. Using a standard probing protocol based on surface landmarks, we measured brain \( \text{tHb} \) and \( \text{S}_\text{O}2 \) in healthy volunteers, cadavers, and acute stroke patients within 9 h of stroke onset and 3 days later. We obtained measurements from 11 controls, 5 cadavers, and 5 acute stroke patients. \( \text{S}_\text{O}2 \) values were significantly lower in cadavers compared to the controls and stroke patients. Each stroke patient had at least one area with reduced \( \text{S}_\text{O}2 \) on the stroke side compared to the contralateral side. The evolution of \( \text{tHb} \) and \( \text{S}_\text{O}2 \) at 3 days differed depending on whether a large infarct occurred. This study shows the proof of principle that quantified measurements of brain oxygenation using NIRS could be used in the hectic environment of acute stroke management. It also highlights the current technical limitations and future challenges in the development of this unique bedside monitoring tool for stroke. © 2016 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1.NPh.3.3.031403]

Keywords: stroke; ischemic; brain imaging; near-infrared.

1 Introduction

Stroke involves a major ischemic event, so it follows that there will also be major changes in brain tissue oxygenation. Positron emission tomography (PET) can be considered the gold standard for determining oxygen extraction fraction (OEF; the amount of oxygen extracted from the blood) and has been widely used to study brain oxygenation in a variety of conditions.\(^1\)\(^,\)\(^2\) However, in the setting of acute stroke management, PET is not a reasonable option for most centers. Near-infrared spectroscopy (NIRS) is a noninvasive and low-cost technique that can measure oxyhemoglobin (\( \text{HbO}_2 \)) and deoxyhemoglobin concentrations (\( \text{HHb} \)) at bedside. In this paper, we measure hemoglobin saturation in the microvasculature as an index of tissue oxygenation.

NIRS uses the standard principles of spectroscopy to measure the absorption of light at various wavelengths. By measuring the light attenuation at two different wavelengths of 690 and 830 nm, one can obtain data related to the concentrations of the chromophores \( \text{HbO}_2 \) and \( \text{HHb} \).

Previous studies of NIRS in stroke mainly used continuous wave NIRS (cwNIRS).\(^3\)\(^,\)\(^5\) This method can measure changes in NIRS parameters from a baseline but cannot obtain absolute values of each parameter, which is needed to compare oxygenation levels between the right and left side of an interrogated tissue, between subjects, or with an individual subject over days. Studies of acute stroke using cwNIRS have thus focused on variations of the NIRS signal according to head position,\(^10\) side to side difference of low-frequency oscillations of the signal,\(^11\) and change over time in various situations.\(^12\)\(^,\)\(^13\)

Frequency-domain NIRS (fdNIRS)\(^14\) uses an intensity-modulated light source allowing calculations of both the absorption and the scattering coefficient of the interrogated tissue between the sources and the detector. Accounting for scattering allows the calculation of a quantitative absorption coefficient and quantitative values of \( \text{HbO}_2 \) and \( \text{HHb} \). By measuring these two parameters, total hemoglobin content (\( \text{tHb} = \text{HbO}_2 + \text{HHb} \)) and hemoglobin oxygen saturation (\( \text{S}_\text{O}2 = \frac{\text{HbO}_2}{\text{tHb}} \)) can be calculated. It has been used successfully to assess other conditions than acute ischemic stroke.\(^15\)\(^,\)\(^16\)

\( \text{tHb} \) is related to cerebral blood volume (CBV).\(^17\) If the concentration of hemoglobin is constant in blood, a higher concentration of \( \text{tHb} \) represents a higher proportion of blood in a particular volume, a surrogate CBV.

Arterial \( \text{HbO}_2 \) is very close to \( \text{CaO}_2 \) (arterial oxygen content, neglecting dissolved oxygen in blood), yet \( \text{HbO}_2 \) measured in this study represents mean oxygen content of microvascular blood from vessels prior (arterial capillary part) and after (venous capillary part) oxygen extraction. \( \text{S}_\text{O}2 \) is the microvascular oxyhemoglobin saturation, and it is inversely related the
arteriovenous oxygen content difference (AVDO₂), the quantity of oxygen extracted from the capillary path.

Oxygen parameters of ischemia measured by PET [CBF, OEF, and cerebral metabolic rate for oxygen (CMRO₂) (CBF × AVDO₂)] have shown strong correlation, if duration of ischemia is taken into account, with final infarction in animal models. Closely related NIRS parameters may show the same promise if it can easily be applied to the acute stroke clinical setting.

In this study, we collected measurements of S_O₂ and tHb using fdNIRS in controls, cadavers, and acute stroke patients to determine whether fdNIRS is a suitable method that can be used to detect the effects of stroke on brain oxygenation—both in an acute stroke management environment and with stroke follow-up.

2 Methods

2.1 Frequency-Domain Near-Infrared System

The OxiplexTS® fdNIRS system (ISS) was used. The probe consists of one high-sensitivity photomultiplier detector and four fiber optic sources with a minimum separation of 2.0 cm and a maximum separation of 3.5 cm from the detector. The NIRS probe emits light at 690 and 830 nm into the tissue. The intensity of the light is modulated at a frequency of 110 MHz. A custom probe suitable for measurements within the hair was designed by us and produced by ISS (Fig. 1). The fiber cap was modified such that the fibers and the detector projected out of the rubber probe by ~1 mm. This modification allowed for excellent skin contact even in areas with hair.

2.2 Subjects

Ethics approval was obtained by the local Conjoint Health Research Ethics Board, and all subjects provided direct or surrogate informed written consent. The control inclusion criterion was older than 18 years old and informed consent. The exclusion criterion was any known brain pathology. The subject was at rest lying supine.

The inclusion criteria for the cadaver subjects were confirmed death for ≥12 h and >18 years old at time of death. Exclusion was any known brain pathology. Age, gender, and time since death data were recorded.

The inclusion criteria for acute stroke patients were ≥18 years old, known symptom onset <9 h and occlusion of the proximal middle cerebral artery or the internal carotid artery on one side confirmed by CT imaging.

2.3 Data Collection and Study Design

The OxiplexTS® system was warmed up for 10 min to ensure output laser stability, which is dependent on internal temperature of the system. The probe was then calibrated using a calibration block from ISS with known absorption and scattering properties. This was done every time the system was turned on. The calibration process is automatic according to previously published algorithms from ISS and lasts around 1 min. The absorption coefficient (μₐ) of the calibration block is 0.139 cm⁻¹ at a wavelength of 690 nm and 0.133 cm⁻¹ at a wavelength of 830 nm. The scattering coefficient (μₛ) of the calibration block is 4.9 cm⁻¹ at 690 nm and 4.2 cm⁻¹ at 830 nm. We accepted a μₐ deviation of 0.02 and a μₛ deviation of 0.1 (sum of deviation of both wavelengths).

The measurement surface was prepared by combing the subject’s hair to provide a straight line relatively free of hair. After placing the probe, the “Autobias” function was used to adjust the detector sensitivity. Autobias sets the detector bias voltage, which controls the detector’s gain. A larger voltage gives a larger signal, but too large and the linear range of the detector will be passed and the detector may be damaged. Autobias sets this voltage to the best level, avoiding overvoltage or low signal.

Once the measurements begin, the detector is outputting a voltage based on incident light intensity from four different light sources at fixed distance interval (Fig. 1). From the output voltage, the OxiplexTS® system records three parameters that relate to the incident light: alternating AC component of the signal, average DC component of the signal, and phase. The natural log (LnAC, DC, phase) are linearly related to the source–detector separation distance. With four distances at each wavelength, the slope of the line of best fit is determined for each parameter. As these slopes are used to calculate pathlength related absorption and scattering, R² values of the slopes are checked to verify linearity of input data. If R² was >0.9 the probe was repositioned. If R² remained >0.9, then the data were discarded. Only data meeting this accuracy criterion is reported in this paper.

We chose measurement sites according to surface anatomical landmarks (Fig. 2). An imaginary line was drawn from the nasion to the inion (M line on the midline). A second line was drawn from 2 cm behind the 50% mark of the M line to the external auditory meatus (the R line represents the Rolandic
sulcus). A third line was drawn from the lateral canthus to the 75% mark of the M line (S line represents the sylvian fissure). Measurement sites are given below.

1. Frontal (over the forehead 1 cm from midline).

2. Parasagittal frontal (50% of the distance between nasion and central sulcus at vertex, source on M line and detector on the chosen side).

3. Rolandic (source and detector across line R at 50% of R length, each 2 cm in front or behind the line).


5. Wernicke: below LINE S, behind LINE R.

Measurements were made bilaterally for each site. Stroke patients were measured within 9 h of symptom onset and followed on day 3 after stroke. The total time from approaching the patient to completion of the exam was ~15 min.

Table 1 Mean value (SD) and side to side difference (SD) of fdNIRS parameters for each site in controls. Side to side difference is counted as absolute values (negative and positive left to right difference counted as positive).

<table>
<thead>
<tr>
<th></th>
<th>$S_{O_2}$ (%)</th>
<th>S-S</th>
<th>tHb (μmol/L)</th>
<th>S-S</th>
<th>HbO (μmol/L)</th>
<th>S-S</th>
<th>HHb (μmol/L)</th>
<th>S-S</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frontal</td>
<td>64(5)</td>
<td>4.0 (2.2)</td>
<td>48(16)</td>
<td>7.8 (5.2)</td>
<td>30(12)</td>
<td>4.7 (2.6)</td>
<td>17(6)</td>
<td>3.5 (3.2)</td>
</tr>
<tr>
<td>Rolandic</td>
<td>62(6)</td>
<td>4.6 (4.0)</td>
<td>57(18)</td>
<td>10.5 (5.6)</td>
<td>36(14)</td>
<td>9.0 (6.8)</td>
<td>20(5)</td>
<td>2.0 (1.7)</td>
</tr>
<tr>
<td>Broca</td>
<td>64(5)</td>
<td>4.6 (1.9)</td>
<td>68(14)</td>
<td>9.3 (6.4)</td>
<td>47(10)</td>
<td>6.6 (5.8)</td>
<td>24(5)</td>
<td>4.0 (3.2)</td>
</tr>
<tr>
<td>Wernicke</td>
<td>58(5)</td>
<td>4.3 (2.6)</td>
<td>49(11)</td>
<td>9.1 (7.4)</td>
<td>28 (9)</td>
<td>6.5 (3.6)</td>
<td>21 (4)</td>
<td>4.2 (1.6)</td>
</tr>
<tr>
<td>All sites</td>
<td>63(5)</td>
<td>4.4 (2.3)</td>
<td>56(12)</td>
<td>9.1 (6.3)</td>
<td>36(9)</td>
<td>6.9 (5.2)</td>
<td>20 (4)</td>
<td>3.5 (3.1)</td>
</tr>
</tbody>
</table>
2.4 Data Analysis

Between-group comparison of mean measurement values for each brain region was done using a two-factor analysis of variance (ANOVA) using measurement site (e.g., frontal, Broca, etc.) and subject (e.g., cadaver, controls, etc.) as the covariates and StO2 or tHb as the dependent variable.

To analyze individual areas in a stroke patient, we planned to compare them to a reference range of values for tHb and StO2 from our normal control population. This is similar to what is done in other clinical laboratory tests where a control distribution is measured and outside of that range is considered “abnormal.” In brain imaging for stroke, for example, Doppler ultrasound, and computed tomography perfusion studies, it is common practice to assess individual values as relative to the uninvolved side, using an internal control value for comparison. For this purpose, we used the side to side difference for tHb and StO2 calculated as right minus left for every control subject. The values were then averaged and standard deviation was calculated. A 95.45% confidence interval (2 SD from the mean) was calculated and established to be the normal reference range of difference when comparing both sides. This reference range establishes a threshold in which left to right side differences would be considered as being abnormal: the difference is greater than the 95.45% confidence interval of the difference in the normal control population for this measurement site.

To validate the side to side difference reference range approach, we used a sign test to determine if there was a systematic difference between left and right sides in controls. The sign test is used to test the null hypothesis that the median of the distribution is equal to a particular fixed value. The sign test was used to determine whether there was a 50% chance that the StO2 values of the left side of the brain are higher than the right side. The sign test showed that in this dataset, the median probability that the left side StO2 is higher than the right side StO2 is not significantly different from 50%. As there was no systemic difference between the left and right side measurements, we reported the absolute value of the difference between left and right sides (for controls) and used it as the reference range for normal (95.45% confidence interval) side to side difference.

tHb is used here as a surrogate of CBV and StO2 is used as a surrogate of the OEF. These two are favored as the primary parameters to analyze as CBV and OEF are important markers of tissue fate in ischemic stroke in PET studies.

3 Results

For the controls, n = 11, the median age was 43 years old [interquartile range (IQR): 35 to 49] and 55% were male. The mean absolute values for each site and overall mean on each side are shown in Table 1. Out of the 110 measurements planned (5

<table>
<thead>
<tr>
<th>Pt</th>
<th>Occlusion</th>
<th>Area</th>
<th>Stroke</th>
<th>S-S</th>
<th>Contralateral</th>
<th>S-S</th>
<th>Stroke</th>
<th>Contralateral</th>
<th>S-S</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>MCA M1 + ICA T</td>
<td>Frontal</td>
<td>52.7 (1)</td>
<td>64.7 (1)</td>
<td>-11.9 a</td>
<td>54.7 (1)</td>
<td>33.3 (2)</td>
<td>+21.4 a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>SFG</td>
<td></td>
<td>50.9 (5)</td>
<td>63.1 (5)</td>
<td>-12.5 a</td>
<td>60.4 (3)</td>
<td>69.7 (3)</td>
<td>-9.3</td>
<td></td>
</tr>
<tr>
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<td></td>
<td>59.9 (2)</td>
<td>64.1 (6)</td>
<td>-4.1</td>
<td>55.1 (1)</td>
<td>56.9 (2)</td>
<td>-1.9</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>MCA M1</td>
<td>Frontal</td>
<td>49.4 (2)</td>
<td>53.2 (1)</td>
<td>-0.8</td>
<td>70.2 (1)</td>
<td>49.1 (1)</td>
<td>+29.9 a</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Rolandic</td>
<td></td>
<td>44.4 (2)</td>
<td>47.6 (2)</td>
<td>-2.7</td>
<td>58.5 (1)</td>
<td>52.7 (1)</td>
<td>-3.8</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Wernicke</td>
<td></td>
<td>38.2 (2)</td>
<td>52.7 (2)</td>
<td>-12.3 a</td>
<td>57.1 (2)</td>
<td>57.8 (1)</td>
<td>-2.2</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Broca</td>
<td></td>
<td>56.2 (3)</td>
<td>65.5 (2)</td>
<td>-9.3 a</td>
<td>81.6 (2)</td>
<td>76.6 (2)</td>
<td>+5.0</td>
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</tr>
<tr>
<td>3</td>
<td>MCA M1</td>
<td>Frontal</td>
<td>50.9 (2)</td>
<td>42.0 (2)</td>
<td>-8.8</td>
<td>32.1 (1)</td>
<td>19.5 (1)</td>
<td>+13.4</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Rolandic</td>
<td></td>
<td>62.2 (2)</td>
<td>58.5 (1)</td>
<td>-3.7</td>
<td>26.8 (1)</td>
<td>31.9 (1)</td>
<td>-5.0</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Wernicke</td>
<td></td>
<td>56.3 (1)</td>
<td>66.8 (1)</td>
<td>-10.5 a</td>
<td>25.8 (1)</td>
<td>36.5 (1)</td>
<td>+10.7</td>
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<tr>
<td></td>
<td>Parietal</td>
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<td>50.1 (1)</td>
<td>59.8 (1)</td>
<td>-9.0 a</td>
<td>25.1 (1)</td>
<td>27.8 (1)</td>
<td>-2.7</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Broca</td>
<td></td>
<td>54.3 (1)</td>
<td>54.0 (1)</td>
<td>-0.3</td>
<td>28.0 (1)</td>
<td>34.6 (1)</td>
<td>-6.5</td>
<td></td>
</tr>
<tr>
<td>4</td>
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<td>79.8 (2)</td>
<td>-15.4 a</td>
<td>45.7 (3)</td>
<td>42.2 (1)</td>
<td>+3.5</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>M1 + ICA</td>
<td>Frontal</td>
<td>58.5 (5)</td>
<td>56.4 (4)</td>
<td>+1.8</td>
<td>25.5 (2)</td>
<td>25.2 (2)</td>
<td>+0.4</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Broca</td>
<td></td>
<td>46.0 (5)</td>
<td>61.4 (2)</td>
<td>-15.5 a</td>
<td>41.2 (2)</td>
<td>41.3 (2)</td>
<td>-0.2</td>
<td></td>
</tr>
</tbody>
</table>

aSD of single measurements in this table represents the variation over the time of a single measurement. A single measurement lasts around 50 s and data points are recorded at 2 Hz (around 100 data points).

bSFG: this area was posterior and superior to the frontal area and was done in this patient because it was thought to be involved; MCA, middle cerebral artery; ICA, internal carotid artery.
measurements on each side for 11 subjects), 67 values were obtained. Five out of 67 values were discarded because the collected data did not meet the collection criteria. The remaining 62 measurements were distributed primarily in the frontal lobe and Broca’s area, while the remaining measurements were made in the Rolandic sulcus and the Wernicke’s area. The number of measurements made on the left side is equal to the number of measurements made on the right side.

For cadavers, n = 5 with a median age of 75 years. The median time from death to measurement was 20 h (IQR: 14 to 26). In cadavers, compared to controls, tHb is reduced and the $S\text{O}_2$ and [HbO$_2$] were close to 0. These values were all significantly different from controls, whereas sites were not different within the subject in a two-factor ANOVA ($p < 0.0001$, Fig. 3).

We examined five acute stroke patients. The median age was 64 years old and two were male. The median time from stroke symptom onset to measurement was 3.5 h (IQR: 3 to 4.5). Out of the 50 measurement sites planned (10/patient), 46 were obtained. Sixteen of the 46 values were discarded because the $R^2$ fell below 0.9 while all follow-up measurements were accepted. In the stroke subjects, the number of affected side measurements is equal to the number in the unaffected side. Of the 30 usable measurements, there were 10 frontal lobe, 6 Rolandic sulcus, 6 Broca’s area, and 4 Wernicke’s area. Two superior frontal gyrus measurements and two parietal measurements were conducted as they were thought to be involved in the stroke. All patients were examined after start of intra venous tissue plasminogen activator.

Table 2 shows the left to right side differences in the stroke patients. The side to side differences, which meet the criteria for “abnormal,” are indicated with an asterisk. All acute stroke patients had at least one area with lower $S\text{O}_2$ on the stroke side. tHb was either increased on the stroke side or showed no significant change.

Follow-up measurements on day 3 were obtained for three patients (Table 3). Patient 1 recanalized and had a small mainly subcortical infarct at 24 h based on CT. The follow-up measurements for this patient showed no significant side to side difference at day 3. Patient 2 had a major hemispheric infarct at 24 h and the follow-up measurements showed significant reductions in tHb and $S\text{O}_2$. Patient 3 had a small subcortical infarct. Note that the significant $S\text{O}_2$ changes from side to side in this patient may be explained by higher than usual values on the contralateral side. Patients 4 and 5 had a large infarct at 24 h (Fig. 4) and significant reductions in $S\text{O}_2$. Patient 4 was in the intensive care unit on day 3 and could not be measured. Patient 5 died on day 2.

Figure 3 summarizes the findings for each measurement site in controls, the contralateral side of acute stroke patients and Table 3 Clinical evolution on day 3: absolute values (SD) and side to side (S-S) difference of fdNIRS oxygen saturation ($S\text{O}_2$) and total hemoglobin (tHb) measurements in five acute stroke patients. Abnormal values compared to the control population are marked.a Follow-up data could not be obtained for patients 4 and 5. Patient 4 was acutely ill in the ICU and patient 5 passed away.

<table>
<thead>
<tr>
<th>Evolution</th>
<th>Stroke</th>
<th>Contralateral</th>
<th>S-S</th>
<th>Stroke</th>
<th>Contralateral</th>
<th>S-S</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Small deep infarct</td>
<td>54.8 (1)</td>
<td>50.7 (3)a</td>
<td>+4.1</td>
<td>35.8 (3)</td>
<td>22.3 (1)a</td>
<td>+13.5</td>
</tr>
<tr>
<td></td>
<td>SFGb</td>
<td>56.0 (1)</td>
<td>58.1 (2)</td>
<td>−1.9</td>
<td>40.0 (1)</td>
<td>48 (1)</td>
</tr>
<tr>
<td></td>
<td>Rolandic</td>
<td>50.8 (3)a</td>
<td>55.0 (2)</td>
<td>−4.2</td>
<td>37.0 (1)</td>
<td>50.5 (1)</td>
</tr>
<tr>
<td>2 Large infarct</td>
<td>Frontal</td>
<td>61.4 (2)</td>
<td>58.1 (1)</td>
<td>+3.3</td>
<td>51.8 (2)</td>
<td>57.6 (1)</td>
</tr>
<tr>
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<td>18.7 (4)a</td>
<td>49.7 (3)a</td>
<td>−30.9a</td>
<td>50.6 (3)</td>
<td>79.0 (1)</td>
</tr>
<tr>
<td></td>
<td>Wernicke</td>
<td>37.9 (2)a</td>
<td>39.2 (1)a</td>
<td>−1.3</td>
<td>41.1 (1)</td>
<td>64.2 (1)</td>
</tr>
<tr>
<td></td>
<td>Broca</td>
<td>29.9 (1)a</td>
<td>48.3 (1)a</td>
<td>−18.4a</td>
<td>39.2 (1)</td>
<td>59.2 (1)</td>
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<tr>
<td>3 Small deep infarct</td>
<td>Frontal</td>
<td>52.5 (1)a</td>
<td>50.2 (2)a</td>
<td>+2.3</td>
<td>47.8 (1)</td>
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<td></td>
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<td>59.0 (1)</td>
<td>−8.4</td>
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<tr>
<td></td>
<td>Parietal</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>35.3 (2)</td>
<td>33.1 (1)</td>
</tr>
<tr>
<td></td>
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<td>72.1 (2)a</td>
<td>−14.0a</td>
<td>23.4 (1)a</td>
<td>29.4 (4)a</td>
</tr>
</tbody>
</table>

aSD of single measurements in this table represents the variation over the time of a single measurement. A single measurement lasts around 50 s and data points are recorded at 2 Hz (around 100 data points)
bSFG: this area was anterior to PSF area and was done in this patient because it was thought to be involved.
The ipsilateral side of acute stroke patients and cadavers. The results in an infarcted hemisphere on follow-up are also shown. We had the opportunity to make a single set of measurements in a patient with a large right middle cerebral artery stroke with hemorrhagic transformation after hemicraniectomy. Measurements on the stroke side were made through scalp without intervening bone except for the parasagittal frontal area (Table 4). The follow-up computed tomography for this patient is shown in Fig. 5. Based on the criteria of mean + 2 x SD, the values for \( S_tO_2 \) and tHb were significantly higher on the stroke side. High tHb is consistent with increased blood volume (extravascular blood) in the setting of a hemorrhage. The high saturation may be explained by hemorrhaged blood still relatively high in oxygenation as it had not passed through a capillary bed or decreased extraction of oxygen due to a decrease in metabolism that resulted from tissue damage.

### 4 Discussion

Previous studies using cwNIRS in stroke patients show changes in frequency responses, but the methods do not allow for comparing absolute values.\(^9\)–\(^13\) This paper shows that fdNIRS can provide novel data allowing for comparison of individual stroke patients and stroke evolution.

We validated the ability of fdNIRS to detect the expected very low oxygen saturation in cadavers as shown in a previous report.\(^29\) We also detected significant changes in tHb and \( S_tO_2 \) in a hemicraniectomy patient with hemorrhagic transformation of an ischemic stroke.

### Table 4 Absolute values and side to side difference fdNIRS oxygen saturation (\( S_tO_2 \)) and total hemoglobin (tHb) measurements of a right hemicraniectomy patient with hemorrhagic transformation of an ischemic stroke on the right side.

<table>
<thead>
<tr>
<th></th>
<th>( S_tO_2 ) (%)</th>
<th>tHb (( \mu M ))</th>
<th>Oxyhemoglobin (( \mu M ))</th>
<th>Deoxyhemoglobin (( \mu M ))</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>R ( \pm ) L ( \pm ) S-S</td>
<td>R ( \pm ) L ( \pm ) S-S</td>
<td>R ( \pm ) L ( \pm ) S-S</td>
<td>R ( \pm ) L ( \pm ) S-S</td>
</tr>
<tr>
<td>Frontal</td>
<td>67 (2) – –</td>
<td>51 (2) – –</td>
<td>34 (2) – –</td>
<td>17 (1) – –</td>
</tr>
<tr>
<td>Rolandic</td>
<td>71 (3) 55 (1) +16(^*)</td>
<td>115 (5) 62 (2) +53(^*)</td>
<td>82 (6) 34 (1) +48(^*)</td>
<td>33 (3) 28 (1) +5(^*)</td>
</tr>
<tr>
<td>Broca</td>
<td>68 (4) 58 (2) +10(^*)</td>
<td>156 (9) 69 (2) +88(^*)</td>
<td>105 (11) 40 (1) +65(^*)</td>
<td>50 (5) 29 (1) +21(^*)</td>
</tr>
<tr>
<td>PSF</td>
<td>69 (3) 67 (4) +2</td>
<td>114 (4) 46 (3) +67(^*)</td>
<td>79 (5) 31 (4) +48(^*)</td>
<td>35 (2) 15 (3) +20(^*)</td>
</tr>
</tbody>
</table>

Fig. 4 Clinical imaging done on our five acute stroke patients. (a) Admitting noncontrast CT showing regions affected by stroke. (b) Admitting CT angiography showing occlusion location. (c) 24-h follow-up CT showing infarct size and location. White arrows point to the occlusion site on MCA. Black lines delineate the infarcted area on follow-up scan.

Fig. 5 CT showing a large hemorrhagic infarct for the hemicraniectomy patient.
For the first time, quantitative measurement of brain oxygenation using NIRS was done in acute stroke patients. There was at least one area with low \( S_dO_2 \) on the stroke side in all five patients, evidenced by the decreased \( S_o2 \) compared to the contralateral side. Not all areas involved in the acute stroke showed reduced \( S_o2 \), which may be explained by pathophysiological aspects of stroke and their relationship to NIRS parameters.

In healthy tissue, the relationship between metabolism and oxygen supply follows the principles of neurovascular coupling. As the metabolism (CMRO\(_2\)) increases, the CBF increases, leading to an increased delivery of oxygen by blood per unit of time, resulting in a stable OEF (CBF and CMRO\(_2\) are matched) and a stable \( S_o2 \). When CMRO\(_2\) and CBF are not matched, changes in CMRO\(_2\) or CBF lead to corresponding changes in OEF to maintain optimal tissue oxygenation. In an acute stroke, neurovascular coupling is lost and its NIRS counterpart (HbO\(_2\) and \( S_o2 \)) are influenced by both the degree of pathologic regional reduction in blood flow (CBF) and the evolution of the infarction process (dictating tissue oxygen demand or CMRO\(_2\)). As Boas and Franceschini pointed out, \( S_o2 \) (as well as OEF) is influenced not only by CMRO\(_2\) but also by CBF relative to CMRO\(_2\).

Apart from the expected situation of an ischemic, high-CMRO\(_2\) (demand) low-CBF brain area with resulting high OEF and correspondingly low \( S_o2 \), infarcted tissue with low CMRO\(_2\) will have normal or even reduced OEF and increased \( S_o2 \) and some reperfused overcompensated areas (increased CBF above actual oxygen demand) may show reduced OEF and increased \( S_o2 \). Also, ischemic areas are not affected at the same degree and do not undergo infarction at the same time. Consequently, each situation described here may be present in the same patient at one time point. Each case presented here represents unique situations combining a certain degree of ischemia at onset, the development of infarction and the occurrence of reperfusion. Therefore, it is possible that NIRS measurements could provide information about tissue viability and tissue reperfusion by examining each patient individually.

The absolute values for \( S_o2 \) do tend to be lower in stroke patients compared with healthy controls (Tables 2 and 3). Controls had a mean \( S_o2 \) in the frontal lobe of 63%, which compares favorably with a previous study, which also reported 63% in healthy controls.

The lowest value for stroke was 38% although in one case, the infarct side had higher \( S_o2 \) than the contralateral side. Cadaver \( S_o2 \) values were all 13% or less and do not fall to zero. This is expected given that oxygen can diffuse through tissue and is tightly bound by Hb. The patient condition may vary significantly in terms of factors that will influence brain oxygenation including respiration rate and partial arterial pressure of CO\(_2\). As these parameters were not controlled for, therefore, we suggest reducing intersubject variability by comparing the infarcted side to the noninfarcted side.

There are several limitations that will affect our measurements in acute stroke patients. There will be little contamination from skin as this is compressed beneath the probe, but there will be significant contamination of the measurement by the skull, dampening the low \( S_o2 \) signal originating from ischemic tissue. This partial volume effect will mean that \( S_o2 \) will never decline to cadaver levels in stroke patients. We may also have failed to measure the true ischemic area in some cases. Using CT to guide probe placement would be helpful, as long as it can be achieved quickly.

This work shows that fdNIRS may be used to detect oxygenation changes in acute stroke. This is important as oxygenation relates to stroke pathophysiology. This study paves the way for future studies using fdNIRS as a rapid, noninvasive tool to assess stroke evolution. The future developments of this technique include whole brain mapping and combination with CBF data, which can be obtained with NIRS using a dye or diffuse correlation spectroscopy, adding interpretative value to \( S_o2 \) measurements. This will be a unique tool with the capacity to provide multiple or continuous measurements of stroke pathophysiologic parameters from the bedside, something that cannot be achieved using perfusion CT. This technique has the potential to measure the effects of therapy in real time. In the future, we may evolve from a one-shot picture of physiology to a finely tuned approach using time-course assessments.

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