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Abstract. We investigated the effect of targeted gene therapy on heat shock protein 70 expression (Hsp70) and protein production (HSP70) in a melanoma tumor model (M21; M21-L). M21 and M21-L cells transfected with a plasmid containing the Hsp70 (Hspa1b) or the cytomegalovirus (CMV) promoter and the luciferase reporter gene were injected into mice; the resulting tumors grew to a size of 650 mm³. Mice (five per group) were intravenously treated with an Arg-Gly-Asp peptide-nanoparticle/Raf-1 kinase inhibitor protein complex [RGD-NP/RAF(-)] or with a nanoparticle control. Bioluminescence imaging (IVIS®, Xenogen, USA) was performed at 12, 24, 48, and 72 h after the treatment cycle. Western blot analysis of HSP70 protein was performed to monitor protein expression. The size of the treated M21 tumors remained fairly constant (647.8 ± 103.4 mm³ at the beginning versus 704.8 ± 94.4 mm³ at the end of the experiment). The size of the M21-L tumors increased, similar to the untreated control tumors. Bioluminescent imaging demonstrated that when transcription was controlled by the CMV promoter, luciferase activity decreased to 17.9% ± 4.3% of baseline values in the treated M21 tumors. When transcription was controlled by the Hsp70 promoter, the highest luciferase activity (4.5 ± 0.7-fold increase over base-line values) was seen 24 h after injection in the M21 tumors; however, no luciferase activity was seen in the M21-L tumors. In accordance with bioluminescent imaging, western blot analysis showed a peak in HSP70 production at 24 h after the injection of the RGD-NP/RAF(-) complex in the M21 tumors; however, no HSP70 protein induction was seen in the M21-L tumors. Thus, targeted antiangiogenic therapy can induce Hsp70 expression and HSP70 protein in melanoma tumors. © 2012 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: 10.1117/1.JBO.17.6.065001]

Keywords: M21 and M21-L tumor cell line; targeted antiangiogenic therapy; Hsp70 expression; luciferase activity; bioluminescence imaging.

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1 Introduction

Angiogenesis is a hallmark of cancer, since tumors cannot grow in size or metastasize without an adequate blood supply. Endothelial cells within the angiogenic vessels are known to express several markers that are almost completely absent in normal blood vessels. One such marker, αvβ3 integrin, has gained increasing attention, because its expression level in endothelial cells. Viral vectors, liposomes, and naked DNA have all been used for the delivery of therapeutic genes to vascular tissue, but none of these approaches is specific for endothelial cells. Targeting integrin αvβ3 by means of drugs may provide an opportunity to selectively destroy tumor vessels by drug targeting, without affecting the microvasculature of normal tissues. This integrin receptor potentiates the internalization of foot-and-mouth disease virus, rotavirus, and adenovirus, thereby facilitating gene transfer. Integrin αvβ3 has been successfully targeted in endothelial cells by means of nonviral gene delivery, using a small organic αvβ3 ligand covalently coupled to a cationic polymerized lipid-based nanoparticle, along with a mutant form of the Raf-1 kinase inhibitor protein, which fails to bind ATP (ATP-Raf) and blocks Raf-1 activity in cultured endothelial cells.

The mitogen-activated protein kinase (MAPK) cascade is a key intracellular signaling pathway that regulates diverse cellular functions, including cell proliferation, cell cycle, cell survival, angiogenesis, and cell migration. The cascade includes a diverse group of members, but is generally described as a linear signaling pathway, initiated by receptor tyrosine kinases at the cell surface and culminating in the regulation of gene transcription in the nucleus, directed by the extracellular signal-regulated kinase (Erk). Although conceptually linear, considerable cross-talk occurs between the Ras/Raf/MAPK/Erk kinase (MEK)/Erk MAPK pathway and other MAPK pathways, as well as many other signaling cascades. The Ras-Raf-MEK-ERK pathway was used because its disruption suppresses angiogenesis in vivo and because suppression of Raf-1 activity has been reported to promote apoptosis.
Furthermore, heat shock proteins (HSPs) play an important role both in normal cellular homeostasis and in the stress response, including the development of thermotolerance and protection from cellular damage associated with stresses such as ischemia, cytokines, and energy depletion. One of the first discovered physiological functions associated with the stress-induced accumulation of the inducible HSP70 protein was the acquisition of thermotolerance, which is defined as the ability of a cell or organism to develop resistance to heat stress after prior sublethal heat exposure. Data from subsequent studies indicated that the induction of the relevant gene, Hsp70, was associated with development of tolerance to a variety of stresses, including hypoxia, ischemia, acidosis, energy depletion, cytokines, such as tumor necrosis factor-α (TNF-α), and ultraviolet radiation. Bioluminescence imaging technology presents the opportunity for spatial and temporal quantification of Hsp70 promoter efficiency through in vivo imaging, yielding insights into production of the protein itself.

The purpose of this study was to evaluate the effect of targeted antiangiogenic gene therapy in an M21 tumor model as evaluated by bioluminescence imaging and western blot analysis, in order to more closely examine the pattern and production of HSP70 protein in tumor tissue undergoing this type of therapy.

2 Experimental Procedures

All animal experiments were performed in compliance with institutional animal care committee guidelines and with the approval of the animal care committee.

2.1 Reporter Construct

Two different plasmids were used for this study. The first plasmid contained the constitutive cytomegalovirus (CMV) promoter, and the second plasmid, the heat- and stress-inducible Hsp70 (Hspa1b) promoter. The plasmid pcDNA3.1(+) (Invitrogen, San Diego, CA), including a CMV promoter and a selectable marker (neo), was used as the backbone.

The luciferase gene was ligated into the plasmid to obtain the CMV-luc reporter construct. In order to obtain the Hsp70-luc plasmid, the Hsp70A1 promoter (Fig. 1) was amplified from the mouse genomic DNA (Genbank accession number M76613) by polymerase chain reaction (PCR). The resulting 1926 base pair (bp) product, incorporating the Hsp70 promoter, and the whole fragment, was then digested with the restriction enzymes 

\[5\]

HindIII and 

\[5\]

NcoI. The Hsp70A1 promoter sequence was replaced with the CMV promoter, and the whole fragment was then ligated into the luciferase reporter gene construct to yield the Hsp70-luc plasmid.

2.2 Cells

Human melanoma cells expressing integrin αvβ3 (M21) or lacking this integrin (M21-L) 24 were incubated in RPMI 1640 culture medium (Gibco BRL, Life Technologies, USA) supplemented with 10% fetal bovine serum (FBS); (Gibco BRL, Life Technologies, USA) and antibiotics. The cells were cultured and transfected with the reporter construct, and resistant colonies were selected with Geneticin (500 μg/mL), (Gibco BRL, Life Technologies, USA). In order to assess whether the cells had been stably transfected with the Hsp70-luc plasmid, cells were exposed to heat stress (42°C for 20 min), and cell colonies with high luciferase activity were cultured further. The transfected CMV-luc cells also showed high luciferase activity.

2.3 Tumor Implantation

For tumor cell implantation, 12-week-old nude mice (Jackson Laboratories, USA) were anesthetized with intraperitoneal pentobarbital (58 mg/kg). An average of 2×10^6 tumor cells of each tumor cell line (M21, M21-L) in Hanks’ solution were implanted subcutaneously (s.c.), under the dorsal skin of the flank, using a 27 G needle. The total volume of injection was 0.2 ml. The size of the tumors was measured twice a week to monitor the growth of the tumor. The tumors were measured with a micrometer and values rounded to the nearest millimeter. Tumor size was determined by manually measuring two diameters [length (a), and width (b)]. Assuming the tumor was an oval body, tumor volume was calculated according to the following formula: 

\[V = \frac{a \times b^2}{6}\]

approximately two weeks were required for tumors to grow to 650 mm^3 in size.

2.4 Antiangiogenic Gene Therapy

To form the Arg-Gly-Asp peptide (RGD)-conjugated nanoparticles (NP), particles containing 0.5% biotinylated lipid, 29.5% chelator lipid, 10% amine-terminated lipid, and 60% filler lipid (PDA) were constructed. First, to synthesize the NPs, purified lipid components were dissolved in organic solvents (CHCl3 and CH2OH, in a ratio of 1:1). The CHCl3 and CH2OH were evaporated and dried in a rotary evaporator for 24 h. Distilled and deionized water was added to yield a heterogeneous solution of 30 mM total lipid concentration. The lipid/water mixture was then sonicated with a probe-tip sonicator for at least 1 h. Throughout sonication, the pH of the solution was maintained between 7.0 and 7.5 with 0.01N NaOH solution, and the temperature was maintained above the gel-liquid crystal phase transition point (Tm). The liposome solution was then transferred to a petri dish resting on a bed of ice, cooled to 0°C, and irradiated at 254 nm for at least 1 h with a handheld UV lamp placed 1 cm above the petri dish, to yield NPs. The NPs were then filtered through a 0.2-mm filter and collected.

Using a Brookhaven dynamic light scattering system (BI-200SM multilangle laser light scattering system, Brookhaven Instruments Corporation, USA), the size (diameter) distribution and zeta potential of NPs were determined to be 45.3 ± 2.4 nm and +35 mV, respectively, as averaged for 17
cycles of NP synthesis. The resulting NPs were red (absorption maxima at 498 and 538 nm) and were stable at room temperature, even in the presence of serum. RGD-conjugated paramagnetic polymerized vesicles were formed using an avidin bridge to attach the RGD peptide, via biotin molecules, to the particle surface.\textsuperscript{25,26} The ratio of the RGD peptide and avidin was 2.7:1.

A plasmid with a dominant-negative mutant form of Raf-1 was used as the therapeutic agent. This Raf-1 mutant (ATP\textsuperscript{-}-Raf) fails to bind ATP, producing a dominant-negative effect. The plasmid and NPs are held together during assembly by electrostatic charges due to the cationic nature of the NPs and the negative charge of the plasmid. This targeted NP-plasmid complex \( [\text{RGD-NP/RAF(-)}] \) was systemically injected into the mice, through the tail vein,\textsuperscript{13} for a total of seven times. The mice received these intravenous treatments at a dose of \( 1 \) mg/kg of NP and \( 1 \) \( \mu \)g/kg of the ATP\textsuperscript{-}-Raf-containing plasmid; the total volume for each injection was \( 200 \) \( \mu \)l. Control mice were injected only with the targeted nanoparticles, i.e., those lacking the ATP\textsuperscript{-}-Raf-containing plasmid.

2.5 Experimental Groups

Five animals were assigned to each experimental group, which were defined as follows: M21 or M21-L tumors transfected with the Hsp70-luc plasmid or with the CMV-luc plasmid, where the animals were given (1) no therapy, (2) antiangiogenic therapy, or (3) injection of the targeted-NPs only.

2.6 Bioluminescence Imaging

Bioluminescence imaging was performed with a highly sensitive, cooled CCD camera, mounted in a light-tight specimen box (IVIS\textsuperscript{®}, Xenogen, USA), using protocols similar to those described previously.\textsuperscript{27} Before imaging, animals were anesthetized in a plastic chamber filled with a 2% isoflurane/air mixture; 150 mg/ml of luciferin (potassium salt, Xenogen, USA) in normal saline was injected intraperitoneally (i.p.), at a dose of 150 mg/kg body weight, 10 min before imaging. This dose and route of administration have been shown to be optimal for studies in rodents when images were acquired between 10 and 20 min post-luciferin administration.\textsuperscript{28}

For imaging, mice were placed onto the warmed stage inside the light-tight camera box, with continuous exposure to 1% to 2% isoflurane. The animals were imaged prior to any treatment, as well as at 12, 24, 48, and 72 h after the treatment cycle, and data were acquired for 60 s; this imaging time was shown to yield superior results. The low levels of light emitted from the bioluminescent tumors were detected by the IVIS\textsuperscript{®} camera system and were then integrated, digitized, and displayed. The regions of interest (ROI) from displayed images were designated around the tumor area and were quantified as total photon counts or in photons/s, using Living Image\textsuperscript{®} software (Xenogen, USA). The background bioluminescence \textit{in vivo} was in the range of \( 1 \times 10^4 \) photon counts or 1 to \( 2 \times 10^5 \) photons.

2.7 Immunoblot

In order to correlate bioluminescence results with protein production, an HSP70 immunoblot experiment was performed. The treated tumors were harvested 12, 24, 48, and 72 h after injection of the RGD-NP/RAF(-) complex. The treated and untreated tumors were placed in lysis buffer (8 M urea/2% CHAPS with protease inhibitor) and were homogenized on ice. The homogenized slurry was transferred to a clean tube and centrifuged at 8670 \( \times \) g for 10 min at 4°C (Eppendorf centrifuge 5801 R; Eppendorf, Germany). The supernatant was aliquoted to sterile microcentrifuge tubes and kept at \(-80^\circ\)C until use. Protein concentrations in the aliquots were measured using the Bio-Rad Protein Assay (Bio-Rad, USA) with bovine serum albumin as the standard. The equivalent of \( 100 \) \( \mu \)g of total protein of the sample was electrophoresed on 4% to 10% SDS-PAGE gels and then transferred onto nitrocellulose membranes (Bio-Rad, USA). Heat-shocked HeLa Cell Extract (LYC-HL101F, StressGen, USA) was coelectrophoresed as a positive control, and beta-actin antibody was used as a loading control (A5441, Sigma, USA). The nitrocellulose membrane was blocked overnight with 5% nonfat dry milk in TBST buffer (20 mM Tris-HCl, 150 mM NaCl, pH 7.4) containing 0.1% Tween 20 and then probed with the anti-HSP70 monoclonal antibody (alkaline phosphatase-conjugated SPA-810 AP, StressGen, USA). Immunodetection of the protein was achieved by the use of an enzymatic chemifluorescence (ECF) reagent (Amersham/Vistra, USA) according to the manufacturer’s protocol and imaged on a phosphorimagery (Amersham, USA).

2.8 Histology

At the end of the study, the animals were euthanized, and the treated tumors and untreated control tumor tissue were retrieved for histological analysis. For hematoxylin and eosin (H&E) staining, tissue samples were preserved in 10% formalin solution for 96 h. Subsequently, samples were embedded in paraffin, sectioned, and stained with H&E, and mounted on glass slides. Terminal deoxynucleotidyl transferase (TdT)-mediated dUTP nick-end labeling (TUNEL) assays were used for the detection of tumor apoptosis, using tumor total antioxidant capacity (TACs) kits (R&D Systems Inc. USA). Briefly, tumor samples were first fixed with 10% formaldehyde and the cell membranes permeabilized with Cytoxin reagent. DNA strand breaks were then labeled with biotinylated nucleotides in TUNEL buffer at 37°C for 1 h. Apoptotic cells were visualized as brown precipitates generated by streptavidin-conjugated horseradish peroxidase in the presence of diaminobenzidine (DAB). Samples were then counterstained with 1% methylgreen to show viable cells.

2.9 Statistical Analysis

The mean bioluminescence (photon/s) and corresponding standard errors were determined for each experiment. The data from treated and control groups were also analyzed using the Mann-Whitney test. A \( p \)-value of \( <0.05 \) was considered statistically significant.

3 Results

The untreated M21 tumors increased from 690.8 \( \pm \) 55.9 mm\textsuperscript{3} to 1345.3 \( \pm \) 55.6 mm\textsuperscript{3} in size (\( p < 0.0001 \)), while the untreated M21-L tumors increased from 701.0 \( \pm \) 42.9 mm\textsuperscript{3} to 1265.3 \( \pm \) 34.6 mm\textsuperscript{3} in size (\( p < 0.0001 \)) during the experiment (19 days). No difference in size was found between the untreated M21 and M21-L tumors (\( p = 0.212 \)), nor was there any difference in size between the untreated M21-L tumors transfected with the CMV-luc or Hsp70-luc plasmids (\( p = 0.03 \)). Transcription
controlled by the CMV promoter luciferase activity increased significantly during this period by $2.5 \pm 0.6$-fold ($p < 0.001$), while that controlled by the $Hsp70$ promoter increased by $2.9 \pm 0.9$-fold ($p < 0.001$).

The mean size of the treated M21 tumors did not increase as much as that of the untreated tumors; the former were on average $659.8 \pm 103.4$ mm$^3$ at the start of the experiment, and reached a size of $704.8 \pm 94.4$ mm$^3$ by the end of the treatment. Although the increase in size of the treated M21 tumors was statistically not significant ($p = 0.080$), the increase in size differed significantly compared to that of the untreated control group ($p < 0.001$). No significant difference was seen in the initial size ($636 \pm 87.4$ mm$^3$ versus $666.8 \pm 109.4$ mm$^3$; $p = 0.451$) and final size after treatment ($697.8 \pm 84.8$ mm$^3$ versus $712.8 \pm 104.4$ mm$^3$; $p = 0.532$) of the M21 tumors transfected with the CMV-luc plasmid and those transfected with the $Hsp70$-luc plasmid.

The treated M21-L tumors showed growth behavior similar to that of the untreated M21 and M21-L tumors; their size increased from $698 \pm 52.9$ mm$^3$ to $1302.3 \pm 102.6$ mm$^3$ ($p < 0.001$).

### 3.1 Bioluminescence Imaging

In the M21 tumors, bioluminescence imaging demonstrated that when transcription was controlled by the CMV promoter, luciferase activity decreased under the antiangiogenesis therapy by $17.9 \pm 4.3\%$, compared to the initial luciferase activity ($2.5 \times 10^8$ photons/s versus $4.5 \times 10^7$ photons/s; $p < 0.0001$). In the M21-L tumors, no difference was seen between the treated tumors and the untreated controls.

When transcription was controlled by the $Hsp70$ promoter in the M21 tumors, the highest induction of luciferase activity was seen 24 h after the injection of the RGD-NP/RAF(-) complex (Fig. 2). The first three injections induced the luciferase activity by $4.5 \pm 0.7$-fold compared to baseline ($3.8 \times 10^7$ photons/s versus $6.5 \times 10^6$ photons/s; $p < 0.001$). The subsequent three injections induced luciferase activity by between $2.4 \pm 0.4$-fold ($9.2 \times 10^6$ photons/s versus $4.3 \times 10^6$ photons/s; $p < 0.010$ between the fourth and fifth injection; $1.0 \times 10^7$ photons/s versus $4.2 \times 10^6$ photons/s; $p < 0.010$ between the fifth and sixth injection). Each therapy cycle reduced the baseline luciferase activity.

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(A) M21 tumor

prior treatment 12 h 24 h 48 h 72h

(B) M21-L tumor

prior treatment 12 h 24 h 48 h 72h

**Fig. 2** Transcription controlled by the $Hsp70$ promoter in M21 tumors. (a) Luciferase activity after injection of the RGD-NP/RAF(-) complex. The highest luciferase activity was seen at 24 h post injection. In the M21-L tumors (b), no increase in luciferase activity was found. Pseudocolor images representing light intensity were superimposed over the grayscale reference images, and light intensity was calculated for each animal.
activity further. After six injections, the luciferase activity of the tumors was reduced to 49.5% ± 8.1% of the initial activity (3.1 × 10⁶ photons/s versus 6.5 × 10⁶ photons/s; p < 0.001). In the M21-L tumors, no induction of luciferase activity could be detected; similar luciferase activity as in the untreated controls was observed (Fig. 3).

3.2 Immunoblot Analysis

Immunoblot analysis in the M21 tumors showed a correspondence between protein products and time when luciferase transcription was controlled by the Hsp70 promoter. Protein production was 2.9-fold higher at 12 h and 4.5-fold higher at 24 h after injection of the RGD-NP/RAF(-) complex; this decreased to 2.3-fold higher after 48 h, and further to 1.2-fold higher after 72 h, than the baseline HSP70 production. The HSP70 protein production was significant higher (p < 0.001) at 12 and 24 h after injection of the RGD-NP/RAF(-) complex compared to the baseline HSP70 protein. In the M21-L tumors, no increase in HSP70 protein could be detected. Comparing the HSP70 protein production in the M21 to the M21-L tumors at the different time points significant differences could be found (p < 0.01) (Fig. 4).

3.3 Histology

With H&E staining, the M21 tumor tissue appeared to be dense. The tumor tissue was infiltrated with fibrous septa, and no significant areas of tumor necrosis could be found. However, after antiangiogenic therapy, significant tissue changes were found. This tissue showed irregular necrotic areas characterized by condensation and pyknosis of nuclear chromatin and shrinkage and hyperesinophilia of cell cytoplasm. The tumor tissue structure was less dense, and dilated tumor vessels could be found.

Moreover, TUNEL staining indicated a number of cells with markedly increased positive staining, as compared with the untreated tumors. Several apoptotic cells were observed among the remaining viable cells (Fig. 5).

4 Discussion

Angiogenesis is required for tumor progression. However, antiangiogenic agents have infrequently been tested in patients with advanced melanoma. Experience with most other cancers suggests that single-agent application of antiangiogenic inhibitors is unlikely to have substantial clinical antitumor activity in melanoma.

The integrin αvβ3 can function as a receptor for vitronectin, and it appears to play a critical role in melanoma growth and in further metastasis. This integrin is specific for tumor-associated vasculature and is required for melanoma cell survival. Moreover, αvβ3-blockade has produced antitumor effects in preclinical models. In the study of Hood and Cheresh, it was demonstrated that αvβ3-targeted delivery of ATP-Raf to blood vessels caused tumor regression because of the ability of this agent to promote apoptosis of the angiogenic endothelium.

In the present study, we combined this type of antiangiogenic therapy with bioluminescence imaging and confirmed our results by western blot analysis, as well as a histological analysis, of the tumor tissue. Bioluminescence imaging is a broadly applicable technology for assessing biological processes in vivo. The opportunity for spatial and temporal quantification of the CMV and Hsp70 promoter efficiency has become possible.
using this technology. As luciferase activity can be measured in living cells and tissues and can provide a read-out of transcription, it is an ideal real-time transcriptional reporter for indicating levels of transcription, thus providing precise information on tissue viability.

In the present study, we did not see a regression in the size of the treated tumors. The tumor size in our study was approximately two times greater than that in the study by Hood and Cheresh. However, over time, we did observe a significant reduction in the luciferase activity in the CMV-luc transfected M21 cell line, indicating a decrease in viable tumor cells. The constant size of the tumor and the absence of tumor regression are therefore not signs of treatment failure. In targeted tumor therapy, such as with the use of angiogenesis inhibitors and anti-vascular therapies, necrosis and cavitation are frequently observed. For example, single-agent treatment with sorafenib and bevacizumab in metastatic renal cell cancer failed to achieve significant objective response rates according to the RECIST criteria but did result in a significant increase in progression-free survival (PFS), demonstrating its clinical efficacy.

In the untreated control group, a progressive growth of the tumors and a progressive increase in luciferase activity were observed. Hood and Cheresh showed that a therapeutic effect of $\alpha v \beta 3$-targeted delivery of ATP-Raf could be achieved with several components contributing to its pronounced antitumor activity. First, the NPs have multivalent targeting to integrin, enabling selective delivery of genes to angiogenic blood vessels. Second, the mutant Raf-1 gene, when delivered to these tissues, influences the signaling cascades of two prominent angiogenic growth factors, bFGF and VEGF. The robust proapoptotic activity of this gene is consistent with previous studies that have indicated a role for Raf-1 in promoting cell survival.

In the present study, we have observed the same effect of this antiangiogenic therapy, as in the Hood and Cheresh study, which demonstrated histologically that 24 h after RGD-NP/RAF (-) injection, TUNEL-positive cells were detected only among those vessels that had been transduced. The authors showed that $\alpha v \beta 3$-targeted delivery of ATP-Raf to blood vessels caused tumor regression because of its ability to promote apoptosis of the angiogenic endothelium. In our study, we applied the RGD-NP/RAF(-) complex seven times and found significant changes in the relevant tissue. The tissue showed irregular necrotic areas characterized by condensation and pyknosis of nuclear chromatin, as well as shrinkage and hyperexosinophilia of the cellular cytoplasm. TUNEL staining showed a few apoptotic cells in the untreated M21 tumors (c), while in the treated tumors (d), several apoptotic cells (arrow) were observed between the necrotic tissue and the few viable cells.

**Fig. 5** Hematoxylin and eosin (H&E) staining and TUNEL staining. In the untreated M21 tumors (a), regular cells and stroma were observed by H&E staining, while in the treated tumors M21 (b), the tissue showed irregular necrotic areas characterized by condensation and pyknosis of nuclear chromatin, as well as shrinkage and hyperexosinophilia of the cellular cytoplasm. TUNEL staining showed a few apoptotic cells in the untreated M21 tumors (c), while in the treated tumors (d), several apoptotic cells (arrow) were observed between the necrotic tissue and the few viable cells.

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