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Abstract. We induced forced and auto-oscillations in one-dimensional photonic crystals (1-D-PCs) with localized defects when light impinges transversally to the defect layer. The photonic structure used consists of a microcavity-like structure formed of two 1-D-PCs made of free-standing porous silicon, separated by a variable air gap (the defect) and the working wavelength is 633 nm. The force generation was made evident by driving a laser light by means of a chopper; the light hit the photonic structure and induced a vibration and the vibration was characterized by using a very sensitive vibrometer. For example, we measured peak displacements and velocities ranging from 2 to 167 μm and 0.4 to 2.1 mm/s with a power light level from 2.6 to 13 mW. In comparison, recent evidence showed that giant resonant light forces could induce average velocity values of 0.45 mm/s in microspheres embedded in water with a 43-mW light power. © The Authors. Published by SPIE under a Creative Commons Attribution 3.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JNP.8.083071]

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1 Introduction

The concept of radiation pressure has been used in the past for manipulating micro-objects. 1 For example, optical tweezers are used to levitate viruses, bacteria, cells, and subcellular organisms. 2 Tweezing in free space with laser beams was established in the 1980s, but integrating the optical tweezers on a chip was a challenging task until recently. Reference 3 shows an alternative approach, where the shape of the optical trap can be tuned by the wavelength in coupled nanobeam cavities. Using these shapeable tweezers, the micromanipulation of polystyrene microspheres trapped on a silicon chip is achieved. On the other hand, the fast development of electromagnetic wave driven micro motors has motivated several research groups to investigate novel working principles for such micro motors, 4 but there is a main obstacle. Normally, the radiation pressure is too small for these kinds of applications. 5 Nonetheless, some resonance principles can be used to significantly increase the force, for instance, by using a waveguide made of lossless dielectric blocks, where the direction of the force exerted on the dielectric is parallel to the waveguide axis. 6, 7 A second approach is with a Bragg waveguide based on a Fabry–Perot cavity in which the peak of the force only appears at the structures’ resonant frequencies and the force is normal to the waveguide wall. 8 A third approach can use a one-dimensional photonic crystal (1-D-PC) with structural defects, where a localized mode results in strong electromagnetic fields around the position of the defect. Thus, the strong fields enhance the tangential and normal forces on a lossy dielectric layer. 5

We can use this last approach to create a dynamical system capable of performing oscillations such as a forced oscillation, where an oscillation is imposed upon the system by and with the
frequency of some external source (vibrator) of a sensibly different frequency, or auto-oscilla-
tions. The simplest auto-oscillating system consists of a constant source of energy, a regulatory
mechanism that supplies energy to the oscillating system, and the oscillating system itself. There
are two necessary features of auto-oscillations. The first is its feedback nature: on one hand, the
regulatory mechanism controls the motion of the oscillating system but, on the other hand, it is
the motion of the oscillating system that influences the operation of the regulatory mechanism.
The second feature is a result of the fact that the loss of energy must be compensated by a
constant source of energy. An example of a very simple oscillating system that can produce
either auto or forced oscillations is a pendulum in a viscous frictional medium acted upon
by a force of constant magnitude.

The present work is organized as follows: in the second section, we present the experimental
details to fabricate the photonic structure and the bifoil. Then, we describe the setup to measure
the structure movement for both the auto and forced oscillations. The third section describes the
theory for inducing an electromagnetic force in the photonic structure with light. Also, a dynamical
model for the mechanical auto and forced oscillations is presented. Next, we present and
discuss the results where we compare the experimental results with theory. Finally, we wrap
up the work with conclusions.

2 Experiment

2.1 Methods and Materials

The 1-D-PCs were composed of alternating porous silicon (Psi) layers (Fig. 1) with refractive
indices $n_1 = 1.1$, $n_2 = 2$, and layer thicknesses of $d_1 = 326 \pm 11$ and $d_2 = 435 \pm 11$ nm. The
refractive indices were chosen to work at the fourth photonic bandgap where localized states can
be excited with the 633-nm red light. The fabrication details can be found elsewhere.

For building the vibrating device, two pieces of these samples have to be placed in a mirror-
like symmetry, leaving a gap space between them. As the Psi foil is an elastic and very fragile
material, it is difficult to manipulate because it is susceptible to presenting static electric charges.
These characteristics, added to the poor mechanical resistance of the multilayer structure, impose
the concept of building the simplest possible device. Another problem is that the Psi foil is not
flat membrane because after being generated the multilayer porous structure is lifted from the c-
Si. The resulting membrane accumulates mechanical stress that slightly deforms the original flat
structure. Consequently, the gap space between foils is not homogeneous. Hence, we tried finding
the right geometric condition in two configurations. In the first, we fixed two samples of Psi
(one over the other) by means of the adhesive tape applied to one end of both specimens over a
glass substrate. This is applied on both pieces placed together. In this case, the device has a cross
section similar to a “V”, where the air gap is ideally linearly increased along the Psi foils as
shown in Fig. 2. The second configuration consists of fixing each foil with the adhesive
tape, but this time from opposite ends (double juxtaposed cantilever). Figure 3 shows the

![Fig. 1 One-dimensional photonic crystal (1-D-PC) SEM picture showing the layers and a defect region (gap space between the two 1-D-PCs). The light impinges at the left interface (air) across the photonic structure and exits at the right interface on the substrate (glass).](image-url)
foils overlapped over the glass substrate. The experimental results confirmed that both configurations worked, but the second one was more effective and stable. Once we gained some experience in manipulating the foils along with mounting the device, we produced a dozen prototypes with small differences in piece shapes, and/or in the overlapped portion of Psi foils. The device showed a great robustness for all the prototypes tested.

The general setup and layout are shown in Fig. 4 which consists of:

a. The Psi photonic structure device mounted on a glass substrate (bifoil device).
b. A precision rotation stage (DADEAL Inc.) with an X-Y micrometric positioning table (Parker).
c. A circular variable metallic neutral density filter (turning light attenuator). Newport model: 50G02AV.2, diameter 127 mm.
d. Infra-red bandpass filter (Techspec Edmund cut-off 650 nm)
e. He–Ne laser, power 13 mw, wavelength: 633 nm, beam diameter 2.58 mm (REO type LHRP 0501)
f. An electromagnetic beam chopper (MFE model R4077 open loop galvanometer 90 Hz)
g. A near-infrared (wavelength: 780 nm, power: 15 mw) laser vibration meter (VibroMet 500V)
h. A light detector (Tektronix Inc., model J6505, red candles)
For the auto-oscillations, Fig. 4(a) shows the experimental setup where we introduced the bifoil device (1), which is mounted on rotary and X-Y linear stages (2), into a positive loop formed by the movement measuring the interferometer signal that is the real-time velocity signal provided by a very sensitive vibration meter (7,9,11) processed through a Schmitt trigger circuit, which controls the output of the pumping laser and chopper (5,6). This means that when the bifoil device starts moving, the circuit immediately blocks the laser light by means of the chopper. When the device returns to the initial position it triggers the pumping laser again and so on. Once the loop was closed, the device oscillated for a few seconds at different frequencies between 2 and 50 Hz. After that period, the device showed a clear stabilizing trend of auto-oscillations at 16.1 Hz with a duty cycle of 52%. At this frequency, the movement presented a purer spectrum with a narrow frequency spread and the device was stable for as long as 5 min.

We used a Schmitt trigger circuit with an operational amplifier. By doing so, we have a wide range of parameters for circuit performance adjustments, and a high-speed loop reaction in reference to the mechanical deformation of the bifoil. The Schmitt trigger compares the velocity signal voltage of the vibration meter with a reference voltage. The resulting electric pulsed signal

**Fig. 4** Experimental setup for the oscillation measurements. (a) Auto-oscillation experimental configuration: (1) bifoil photodyne, (2) rotary and linear X-Y stages, (3) neutral filter wheel, (4) infrared bandpass filter, (5) He–Ne laser, (6) mechanical chopper, (7) vibrometer laser, (8) photocell, (9) computer, (10) oscilloscope, (11) vibrometer interface, (12) linear polarizer. The circuit shown represents a Schmitt trigger. (b) Forced oscillations experimental configuration: (1) bifoil photodyne, (2) rotary and linear X-Y stages, (3) neutral filter wheel, (4) infrared bandpass filter, (5) He–Ne laser, (6) mechanical chopper, (7) vibrometer laser, (8) photocell, (9) computer, (10) oscilloscope, (11) function generator, (12) linear polarizer. The insert image displays the main components of the real setup.
controlled the chopper. By means of the oscilloscope and a photocell [Fig. 4(a) components 8 and 10], we verified the signal and we could recognize the desired pulses of 1/25 of Voltage Direct VDC and the presence of a 60 Hz signal component (some millivolts induced from the power line). We did not filter this 60 Hz “noise” because it is useful to excite the loop as it helps to break the inertia. That is, it helps to initiate the device movement by adding a small vibration to the chopper blade that produces pumping light spikes. Otherwise, we excited the circuit by interrupting the pumping beam pass (manually) at a frequency of 2 to 3 Hz. This maneuver started the oscillation at that low frequency which was soon increased until reaching its stable oscillation mode of around 16.1 Hz.

For the forced oscillations, we used a similar setup but [Fig. 4(b)] the Schmitt trigger circuit was removed and it was replaced by a function generator (11) with an offset signal to control the duty cycle set at 75%. The rest of the experimental setup remained the same, including the laser light power, and we forced the bifoil to move at specific frequencies between 4 and 40 Hz, and found a very stable performance that lasted sometimes more than 20 h (until the samples showed physical damage).

In order to prevent undesirable reflection signals entering the vibration meter measures, we used an infrared bandpass filter (780 nm) and the option of playing with different power light intensities was considered as well the addition of a neutral wheel filter [Fig. 4(a), component 3]. The laser beam and the bifoil formed an angle of 35 deg in all the experiments. We tested the classic square waveform. As the chopper was based on a linear electromagnetic rotating transducer, the electric waveform used as excitation signals gave the corresponding light intensity shape in the time domain. We explored frequencies between 1 and 40 Hz. To ensure optimal blade movement alignment, we simply varied the DC level on the signal generator while looking at the screen.

The photonic structure was tested and maintained at 23°C, and 30% relative humidity environmental conditions, as the Psi foil is hygroscopic. The surface area A of this photonic device was 3 mm².

3 Theory

3.1 Electromagnetic Force

Considering the structure depicted on Fig. 1, let us assume that light impinges on the off-axis direction at angle $\theta_0$ with the electric field polarized in the y-direction (TE polarization) and magnitude

$$E_y = E(x) e^{j(\omega t - \beta z)},$$

where

$$E(x) = \begin{cases} A_0 e^{-j k_0 (x-x_0)} + B_0 e^{j k_0 (x-x_0)} & x < x_0 \\ A_1 e^{-j k_1 (x-x_1)} + B_1 e^{j k_1 (x-x_1)} & x_{l-1} < x < x_1 \\ A_N e^{-j k_N (x-x_N)} + B_N e^{j k_N (x-x_N)} & x < x \\ \end{cases},$$

where all $A_i$’s and $B_i$’s are the complex amplitudes of the electric field in each region of the structure plus the air (0 label) and the substrate (s label) and the $k_i$’s are the wavevectors at different regions on the structure in the x-direction and $\beta$ is the wavevector in the z-direction given by $\omega n_0 \sin(\theta_0) / c$, where $n_0$ and $\theta_0$ are the refractive index and angle of incidence on the air region, $c$ is the speed of light in the vacuum, and $\omega$ is the light angular frequency. The $k_i$’s are given by $\omega n_i \cos(\theta_i) / c$, where $n_i$ and $\theta_i$ are the refractive index and angle of incidence of region $i$, the latter given by $\theta_i = \sin(n_0 \sin(\theta_0) / n_i)$. By using a similar formalism as presented in Refs. 5 and 11, it is possible to show that for lossless dielectrics, the surface force density only exists in the x-direction and is given by

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The electromagnetic force induced in the structure can be calculated using Eq. (3). We know that the force is going to depend on the defect length, the light power level, and the angle of incidence. For instance, in Fig. 5, we can observe the force profiles for different gap lengths and light powers at normal incidence. Clearly, under these conditions there is a resonance at a defect length of $\lambda(n_1d_1 + n_2d_2)$ for all light powers. For 13 mW, the lowest force magnitude is found at $10\lambda(n_1d_1 + n_2d_2)$ with a value of approximately 10 nN. Moreover, if we plot the
electromagnetic force at resonance against light power (Fig. 6), the relationship is linear with a slope of $19.7 \text{nN/mW}$.

Now, if we use an angle of incidence of 35 deg, we observe that the electromagnetic force density [Eq. (3)] oscillates between the values of 3.5 and $2 \text{mN/m^2}$ for defect lengths ranging from 10 nm up to more than 1 mm (Fig. 7). Without a doubt there is no resonance state under these conditions and on average we expect a force density of the order of $2.75 \text{mN/m^2}$, which gives a force of the order of $8.25 \text{nN}$ ($3 \times 10^{-6} \text{m^2} \times 2.75 \text{mN/m^2}$) for a wide range of gap lengths.

### 4.2 Auto-Oscillations

In Fig. 8(a), we observe the experimental bifoil velocity time series, where we can see an asymmetry between the descending (positive voltage) and the ascending (negative voltage) parts, implying that the damping coefficient of the descending part is higher than the coefficient of the ascending part. Figure 8(b) shows the power spectral density (PSD) of the velocity time series and only one peak appears at 16.1 Hz. We found experimental values for $x_p = 4.12028 \mu\text{m}$ and $V_p = 0.42 \text{mm/s}$. We can estimate the parameter $\langle a_x \rangle_T$ as follows: the surface area $A$ of this photonic device was $3 \text{mm^2}$ and the total thickness is $20 \times (761 \times 10^{-9}) \text{m^2}$, which gives a volume of $4.566 \times 10^{-11} \text{m^3}$. The volumetric density of...
each layer is the product of \((1 - P)x\)2330 kg/m\(^3\), where \(P\) means porosity. The value of 2330 kg/m\(^3\) corresponds to the volumetric density of c-Si. Since each foil is a multilayer structure that contains two different porosities, there are two different volumetric densities. In order to obtain an effective volumetric density, we can take a weight average of both densities where the weights correspond to each thickness. The final result is 586 kg/m\(^3\). Multiplying the volume times, the effective volumetric density gives us the mass estimation, which has a value of \(2.676 \times 10^{-8}\) Kg. As before, we used the average value for the force density \(\langle F_x \rangle_T\) that equals \(2.750 \times 10^{-3}\) N/m\(^2\) given that \(A = 3 \times 10^{-6}\) m\(^2\) and the value of \(\langle a_x \rangle_T\) is 0.308 m/s. According to the values obtained for \(x_p\), \(V_p\), \(T\), and \(\langle a_x \rangle_T\), we found that the auto-oscillation condition [Eq. (5)] gives a value for \(h\) of 115.95.

We simulated Eq. (4) by using MATLAB® and the best fit we found uses the aforementioned parameters; only the parameter \(h\) needed to be multiplied by 16.4 instead of 2 in the descending

![Fig. 8 Auto-oscillation experimental and theoretical results. (a) Experimental velocity time series, (b) experimental power spectral density for the velocity, (c) theoretical velocity time series, (d) theoretical power spectral density for the velocity, (e) theoretical displacement time series (insert).]
part and we use the experimental value $n_{\text{light}} = 0.52$. Figures 8(c) and 8(d) show the simulated velocity time series and its PSD, which fit nicely with the experimental measurements. In order to take uncontrollable vibration effects into account, 60 Hz noise, etc., we added a zero-mean random noise to the simulated velocity time series. The amplitude of this noise signal was 25% of the peak velocity amplitude. Figure 8(e) shows the simulated displacement time series with a maximum value of 4.119 μm.

4.3 Forced Oscillations

First, we simulated Eq. (4) by using MATLAB and kept the same parameter values for $h$, $\omega_0$, $\langle a_x \rangle_T$ as in the auto-oscillations case. We used a value for $n_{\text{light}}$ of 0.75. The parameter $T$ was changed according to the experimental forced frequency (8.6 Hz). Second, we compared the simulation and the experimental results as shown in Figs. 9(a) and 9(b) for a driven frequency of 9 Hz. It is known that the bifoil vibrates mainly at 8.6 Hz, but the appearance of high harmonics is evident. The maximum displacement was 8.176. The theoretical results are observed in

![Fig. 9 Forced-oscillation experimental and theoretical results. (a) Experimental velocity time series, (b) experimental power spectral density for the velocity, (c) theoretical velocity time series, (d) theoretical power spectral density for the velocity, (e) theoretical displacement time series (inset).](image-url)
Figs. 9(c) to 9(e). Again, the fit is very good and we were able to reproduce the first three harmonics and a maximum displacement of $8.540 \mu m$.

Third, we improved the characterization of the forced oscillations by measuring the displacement amplitude versus forced frequency or light power levels. Figure 10 shows a typical vibration measurement output. The optical pumping signal had a square waveform shape with a duty cycle of approximately 75%, a frequency of 5 Hz, and a power of 13 mW. In Fig. 10, we can observe that the photonic structure oscillates mainly at a frequency of 4.9 Hz with an amplitude of approximately $17 \mu m$ and an acceleration of $0.02 m/s^2$.

Figure 11 shows how the bifoil displacement decreases when the forced frequency increases approximately following an $L/\nu^n$ relationship with an exponent $n = -1.812$ and a constant $L = 133.6 Hz^{-1.812} \mu m$. Again, we kept the same parameter values for $h$, $\omega_0$ and $n_{\text{light}}$ and only changed $T$. The parameter $\langle u_x \rangle_T$ is adjustable and serves to estimate the electromagnetic force.

Equation (4) fits the bifoil displacement as shown in Figs. 11 and 12 very well under different experimental conditions. In Fig. 12, we observe that the photonic structure oscillates mainly at a frequency of 4.9 Hz with an amplitude of approximately $17 \mu m$ and an acceleration of $0.02 m/s^2$.

Figure 13 shows the bifoil photodyne displacement behavior when the light power increases. When the forced frequency is high (20.5 Hz) the relationship may be linear, while at low frequencies it is not. It seems that at low frequencies, the structure may be closer to the resonance...
condition for a certain surface area. Note that this surface area is not the whole available surface area of the bifoil and it could be different from one cycle to another. In Fig. 14, we observe as in Fig. 11 that the bifoil displacement decreases when the forced frequency increases for two different light power levels.

Since the parameter $a_T$ is given by $F_x A / m_{psi}$, by knowing the bifoil mass $m_{psi}$, it is possible to know the electromagnetic force $F_x A$. In Fig. 15, we observe that the relationship

**Fig. 12** The bifoil photodyne displacement behavior with light power at a forced frequency of 20.5 Hz.

**Fig. 13** The bifoil photodyne displacement behavior with light power and two different forced frequencies.

**Fig. 14** The bifoil photodyne displacement behavior with the forced frequency at two different light power levels.

**Fig. 15** The induced electromagnetic force behavior with light power at two different forced frequencies.
between the electromagnetic force of the light power may be linear for high frequencies, but not for low frequencies. Theoretically, a linear relation should be expected as shown before in Fig. 6. It seems that at low frequencies, the bifoil structure is closer to the resonance condition for a certain surface area but since we are not controlling the gap length, the resultant electromagnetic force does not follow a simple relationship but depends on how the final distribution of the gap length is from one cycle to another. We think the bifoil structure is close to resonance because the order of magnitude for the electromagnetic force values is similar to the theoretical values shown in Fig. 5.

5 Conclusions

In conclusion, we were able to use light to induce forced and auto-oscillations in a photonic crystal structure. For forced oscillations, the highest bifoil displacements were found at low frequencies. At high frequencies, the displacements increase when light power increases. At low frequencies, we found the highest electromagnetic force values whose order of magnitude is comparable with theoretical values predicted close to resonance. The structure was shown to be very stable for several hours when the light pumping was controlled by the function generator.

In the auto-oscillation case, we only found a frequency of 16.1 Hz and its stability lasted a few minutes. This is because the conditions (active bifoil area, elastic recovery forces, irregular PSi pieces surface, etc.) are more critical for the closed loop operation (auto-oscillator) implementing the loop via a Schmitt trigger. In the open loop operation type, we found several movement modes where amplitudes reduced at higher frequencies according to a nonlinear attenuation along the frequency interval limited to 100 Hz. In the closed loop (auto-oscillator) operation type, the displacement mode presented a narrow spectrum centered at the main oscillation frequency.

Finally, our findings suggest the possibility of using this electromagnetic force to create new devices that can be activated only with light.

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