Optimal band selection for target detection with a LWIR multispectral imager

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Abstract. Multispectral imaging can offer many benefits in cost, complexity, resolution, size, weight, and power, relative to hyperspectral imaging. When designing a multispectral system, spectral bandpasses can be selected using optimization algorithms configured to maximally separate target detection scores between target and background regions. A hyperspectral image (HSI) can serve as the source of data from which band groupings can be tested for optimality. The output of an adaptive cosine estimator target detection algorithm is used in an objective function. Three optimization algorithms are compared: particle swarm, dual annealing, and differential evolution. A global optimum is also found using a brute force approach on the Livermore Computing Syrah supercomputer. Three materials are investigated: calcite, gypsum, and limestone. This is done for 3-, 4-, and 5-band systems. The data originate from a longwave infrared HSI of a material display board. The optimization algorithms were run 30 times for every scenario. Performance statistics (maximum, minimum, mean, standard deviation, and median) based on the separation values are given. Additional characterization was performed using receiver operator characteristic (ROC) curves and the area under the ROC curve. While good performance was obtained for the three optimization algorithms, the dual annealing algorithm produced the highest and most consistent detection separation scores on average.

Keywords: multispectral; hyperspectral; longwave infrared; spectral filter design; spectral band selection; target detection; numerical optimization; supercomputing.

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1 Introduction

Spectral imaging enables material classification based on spectral reflectance properties. Many materials, natural or manmade, have unique spectral properties that act as a fingerprint providing a link to the material’s atomic or morphological state. When designing an imaging system, a very cost-effective way of introducing additional utility is to include a spectral imaging capability; in many cases this can be done without changing the size of the primary optic—often a driver of an image system’s size, weight, and cost. Hyperspectral imaging systems can be designed to include several hundred contiguous spectral bands. However, acquiring this number of bands usually requires sophisticated optical components that are relatively fragile, complicated, and expensive. In addition, the optics might require additional cooling which increases the overall size, weight, and power (SWAP). These systems are often built with a low F/# to gather more photons; however, this is done as a tradeoff resulting in reduced spatial resolution.1,2

Multispectral systems are often built with the intention of balancing system utility with constraints in SWAP. During the design process, decisions must be made about which spectral bandpasses to use. In the past, this was done by a subject matter expert, who used the known spectral signatures of intended targets and backgrounds, while also considering the impact of spectral atmospheric absorption and detector sensitivity.3

Over time, more sensors have been fielded, and researchers began using the newly acquired data and new experimental results to dictate the selection of bands in the next generation of designs. The advanced baseline imager aboard the GOES-R satellites has 16 bands that were
derived from MODIS bands and other spaceborne systems. More recently, there has been an effort to use hyperspectral images (HSI) as scene truth and select corresponding bands from the image to simulate the performance of planned sensors. This idea was further advanced using an optimization algorithm to automatically select bands from the HSI. This is done by establishing a cost function around a given task, such as class separability, clustering, target detection and compressive sensing error minimization, and classification. This technique is used both by hyperspectral researchers to find out which bands carry high information content and by multispectral sensor designers to determine which bandpasses should be included in the system. The optimization algorithms previously employed for this task are: particle swarm optimization, dynamic programming, and differential evolution. Additional literature review is provided in Refs. 7 and 8. Currently, there has not been an attempt to apply these techniques to the long-wave infrared (LWIR); there has been no comparison between optimizing for a single material or task versus multiple materials or tasks; there are no references showing comparisons between different optimization algorithms; and there has been no work comparing the optimized results to known global optimum.

The method employed in this paper makes use of an LWIR HSI of a material display board. Groups of bands corresponding to multispectral bandpass filters are selected from the HSI image. These band groupings are averaged to form the bands of a multispectral image that are used with the adaptive cosine estimator (ACE) target detection algorithm. This process is repeated, and performance is ranked against other multispectral band groupings synthesized from the same HSI image. Performance is monitored by comparing the target detection scores for pixels found over a target area to those over the background area, what is referred to as “separation score.” Band selection is done using three methods: (1) a brute force approach exploring every band combination to find a global optimum set of bands, (2) a numerical optimization approach, and (3) there is one example of a user-defined set of bandpasses in Sec. 5 that is provided for demonstration purposes. The brute force approach is performed on LLNL’s Syrah supercomputer. Three numerical optimization approaches are examined: integer particle swarm, differential evolution, and dual annealing. An overview of this approach is provided in the flowchart shown in Fig. 1.

The materials of interest are calcite, alabaster, and travertine. The materials are optimized for simultaneously, meaning that the separation score for the three materials is used in the cost function. The materials are also optimized for individually—each one is used alone in the cost function.

Section 2 focuses on the data processing. It includes a description of the data, band averaging, the normalization step used, the ACE algorithm, the separation score, and the parameterization used for optimization. Section 3 describes the brute force processing used to obtain a global optimum separation score. Section 4 describes the optimization algorithms. Section 5 provides
a description of the results. Section 6 contains a conclusion. Section 7 is an Appendix providing all the results for each of the optimization algorithms, materials, and band numbers.

The primary contributions of this paper are: (1) a demonstration of a multispectral band optimization applied to an LWIR hyperspectral dataset. (2) A comparison between individual optimization and combined optimization for calcite, gypsum, and limestone. (3) A comparison of three integer optimization algorithms applied to multispectral band selection. (4) A comparison of optimized bands to the global optimal solution for three- and four-band cases.

2 Hyperspectral Data Processing

2.1 Data

The baseline image is a 49-band LWIR hyperspectral radiance image spanning 7.93 to 12.56 μm window. The material display board was imaged under a cold sky. The exact geometry of the setup is not known; the board was angled at 45 deg relative to the surface normal. The viewing geometry was slightly downward looking at an approximate 10-deg angle from a high rise building at an unknown distance. The sensor had a slight focusing issue during the data collection. Readers can see a spectral radiance plot of calcite taken from this dataset in Fig. 2 along with a three-channel image of the material display board.

The measured radiance is affected by the material spectral emissivity, bidirectional reflectance distribution, geometry, and temperature. Atmospheric radiance and transmission also affect the measured radiance; these factors are influenced by the atmospheric gas transmission, pressure, number density, concentration, and temperature.

In this work, an assumption is made that all materials are diffuse reflectors. The Lambertian (diffuse) LWIR radiance model is defined as

\[ L = B(T)\varepsilon\tau + (1 - \varepsilon)L_d\tau + L_u, \]

where \( B(T) \) is a Planckian blackbody at temperature \( T \), \( \varepsilon \) is the material emissivity, \( \tau \) is the atmospheric transmission, \( L_d \) is the incident radiance from the surrounding environment (downwelling radiance), \( L_u \) is the self-emitted radiance between the target and the detector. Each of these terms includes a wavelength dependence which has been omitted for simplicity.

![Fig. 2](image)

(a) A calcite emissivity spectrum is plotted with data from a scene pixel (in radiance) that contains calcite (see red box in Fig. (b)). (b) An RGB image was created from three hyperspectral bands to give the reader context of the material display board. The squares are blocks of various materials. The warm and emissive materials show up as bright white squares; metallic materials are reflecting cold sky and appear as black squares; and the bottom row shows several purple squares, these materials have spectral features located over the three hyperspectral bands used to make this image.
The target spectra came from the Arizona State University Spectral Library and the Jet Propulsion Lab EcoStress Spectral Library (the original measurement was made by USGS). A plot of the target spectra is shown in Fig. 3:

- Calcite: CALCITE C12
- Alabaster: GYPSUM S5
- Travertine: rock.sedimentary.limestone.solid.all.ro337.usgs.perknic.spectrum

2.2 Band Selection and Multispectral Band Creation

Groups of contiguous bands are selected from the hyperspectral radiance cube. The groups are selected such that the edge of the adjacent group does not overlap. Each group is then averaged spectrally. The resulting set is intended to simulate data collected by a multispectral system. Figure 4 provides an example of a four-band case.

It should be noted that detector noise characteristics for the multispectral system were not simulated.

2.3 Data Normalization by Brightness Temperature

To use the multispectral data in a target detection algorithm, a normalization technique was used as an initial processing step to help reduce variability caused by the material temperature. This is

Fig. 3 The target material spectra used in this study have a diverse set of spectral features of varying widths and depths.

Fig. 4 The original dataset is a full hyperspectral cube, groups of contiguous bands are then selected from this cube, and these groups are averaged to create the individual multispectral bands. \( N_x \) defines the number of HSI bands in the group.
done by first computing the brightness temperature \( T_{\text{max}} \) \([\text{K}]\) of every multispectral pixel radiance vector \( \text{im}(p) \):

\[
T(p) = \frac{hc}{\lambda k \log((2hc^2x^{-5})/(\text{im}(p) \times 1E4) + 1)}, \quad T(p)_{\text{max}} = \max(T(p)).
\]  

(2)

where \( h = 6.6260696e-34 \) \( \text{Js} \), \( c = 299,792,458 \) \( \text{m/s} \), \( k = 1.3806504e-23 \) \( \text{J} \cdot \text{K}^{-1} \), \( \lambda \) is the wavelength band center in microns, and \( \text{im}(p) \) has units of microflicks \([\mu\text{W/(cm}^2\text{sr}\mu\text{m})]\).

The brightness temperature is then used to normalize the data by dividing each pixel by a Planck curve defined by the brightness temperature:

\[
x = \frac{\text{im}(p)}{10^{-4} \times (2hc^2\lambda^{-5}) \times (e^{hc/\lambda T_{\text{max}} - 1})^{-1}}.
\]

(3)

This approach works well for objects that are emitting more radiance than they are reflecting—as a sidenote, proportionally larger amounts of reflected atmospheric water and ozone prevent the selection of an accurate maximum brightness temperature. \( x \) will be used in the ACE target detection step. The reader may notice that this equation bears some resemblance to the emissivity equation. \(^2\)

### 2.4 ACE

The ACE algorithm is a popular hyperspectral target detection algorithm. \(^2,6,12\) The algorithm uses the scene data multivariate mean and covariance in a process referred to as whitening to suppress the data’s direction of maximum variability. The ACE algorithm whitens and normalizes the pixel vectors \( x \) and target vector(s) \( t \) and then computes cosine angle between the two. The target spectra are defined in Sec. 2.1. ACE is defined as

\[
\frac{(x - \hat{x})^T \Sigma^{-1} (t - \hat{x})}{\sqrt{(x - \hat{x})^T \Sigma^{-1} (x - \hat{x})} \sqrt{(t - \hat{x})^T \Sigma^{-1} (t - \hat{x})}} = \left( \frac{\Sigma^{-1} (x - \hat{x})}{\sqrt{(x - \hat{x})^T \Sigma^{-1} (x - \hat{x})}} \right)^T \left( \frac{\Sigma^{-1} (t - \hat{x})}{\sqrt{(t - \hat{x})^T \Sigma^{-1} (t - \hat{x})}} \right).
\]

(4)

where \( \hat{x} \) is a spectral mean, \( \Sigma \) is the spectral covariance matrix, and \(^T\) denotes a matrix transpose. The authors refer to ACE score as the value computed by Eq. (4). This value is computed for every pixel in the scene.

### 2.5 Separation Score

Ideally, the target detection algorithm will have a good rate of true positive detection and a low rate of false positive detection. While it is not exactly analogous, if the algorithm is performing well, the output scores over target areas will be higher than those over background areas. The assumption used here is that a greater separation in ACE scores between target and background will result in an improvement in detection performance (either a decrease in false positive rate or an increase in true positive rate).

As mentioned in Sec. 1.1, the sensor experienced a spatial focusing issue which resulted in some pixels being mixed with both target and background. In this work, the number of on-target pixels was much less than the number of background pixels. Figure 5(a) shows a detection plane for the calcite target. Plotting a histogram of the ACE scores for on-target and background regions, we see these two groups overlap where the pixels are mixed with either target or background [see Fig. 5(b)].

There is a relatively small number of target pixels and many of the ACE scores in the target-region are statistical outliers. For this reason, a median is used to characterize the target-region ACE scores. The background region, which contains a much greater number of pixels, was characterized by taking a mean value for this region. Capturing the amount of separability between
the target and background is done by differencing the median of the target area ACE scores and
the mean of the background ACE scores:

\[
\text{Score} = \text{median}(\text{ACE}_{\text{target}}) - \text{mean}(\text{ACE}_{\text{background}}).
\] (5)

2.6 Parameterization

Parameterizing this problem is done by defining the lower and upper bounds of each multispectral bandpass. An illustration of this is provided for the four-band case in Fig. 6. The multispectral system’s bandpasses can be defined by a vector \( Z = (z_1, z_2, \ldots, z_N) \), which is a vector of hyperspectral band indices. N.B. the \( N \) defined here is \( 2 \times \) the number of multispectral bands.

There are three constraints that are used to define this problem. **Constraint 1**: Vector \( Z \in \mathbb{Z}^+ \), that is, it is an integer vector since it contains hyperspectral band indices. **Constraint 2**: Vector \( Z_n \in [1, 49] \), the HSI used here has 49 bands and therefore the band indices \( (n) \) must be between 1 and 49. **Constraint 3**: \( z_1 < z_2 < \ldots < z_{N-1} < z_N \), the multispectral bandpasses must have a width of at least one band and they are allowed to be contiguous. Parameterizing Eq. (5), we can define the separation Score for a given scenario, \( Z \), as:

![Fig. 6](image-url)  
**Fig. 6** An illustration of the four-band case. The blue rectangles represent the bandpass filters. The bandpass filters are constrained to have some width, potentially contiguous but not overlap.
Score(Z) = median(ACE_{target}(Z)) - mean(ACE_{background}(Z)). \quad (6)

Equation (6) applies directly to the single material case. When there is more than one material, the median of the target ACE scores and the mean of the background ACE scores is computed for each material. Then, the mean is taken for the targets and backgrounds, this value is then differenced. For a scenario with M materials:

\[ \text{Score}(Z) = \frac{\sum_{m} (\text{median}(ACE_{\text{target},m}(Z)))}{M} - \frac{\sum_{m} (\text{mean}(ACE_{\text{background},m}(Z)))}{M} \] \quad (7)

3 Brute Force Data Processing

The number of multispectral configurations for the three-, four-, and five-band cases is given as three-band $1.8 \times 10^7$, four-band $7.5 \times 10^8$, and five-band $1.9 \times 10^{10}$. Computing a brute force solution beyond four bands is not currently possible in a reasonable amount of time using the resources at LLNL. Computing all configurations for the four-band case took $\sim 1$ week using 128 nodes on LLNL's Syrah supercomputer. The Syrah computer has Intel Xeon E5 processors with 16 cores. Python and Dask were used to run the jobs and log results; 16 jobs were run on each node, with a total of 2048 jobs running simultaneously.

4 Numerical Optimization Algorithms

Three integer programming options are available to guide the selection of optimal band configuration—integer particle swarm optimization, dual annealing, and differential evolution. As addressed in Sec. 5.2, each algorithm is run 30 times for every scenario investigated. These algorithms were run on PCs, the computational requirements are miniscule compared to what was required for the brute force optimization. A single optimization run would generally complete in minutes.

4.1 Integer Particle Swarm Optimization

Particle swarm optimization (PSO) interrogates a problem with a population (or swarm) of individual potential solutions (particles). As the algorithm makes iterative steps toward an optimal solution, it is influenced by the previous global best solution as well the individual particle’s best previous solution. Each particle has a velocity that influences its momentum as it traverses the solution space. Having momentum as well as influence from the global best solution helps prevent particles from getting stuck in local minima.

The implementation used in this work closely follows which is similar to continuous PSO; however, the dependent variables are rounded to their nearest integer and the constraints mentioned in Sec. 2.6 are enforced. The optimization was setup to use: 50 particles, 200 iterations ($M = 200$), a cognitive weight ($c_1$) of 0.6, a social weight ($c_2$) of 0.6, a velocity constriction factor ($\chi$) of 0.729, inertia weight ($\omega$) of 0.9, and an inertial weight decay function of:

\[ \Omega(i) = \omega \ast \left( e^{-\frac{i}{M}} \ast \sin\left( \frac{3\pi}{2} \ast \frac{(M - i)}{M} \right) \right) + .001, \] \quad (8)

where $i$ is an iteration index.

4.2 Dual Annealing

Simulated annealing is a well-known heuristic for unconstrained optimization problems. It is an improvement over basic local search methods because it allows the incumbent solution to move in a direction that is not locally improving with a certain probability, thus allowing the solution to escape local optima. Dual annealing is a type of simulated annealing algorithm that combines
classical simulated annealing and fast simulated annealing. Dual annealing is a standard routine in the SciPy Optimize package.\textsuperscript{15,16}

Simulated annealing routines are generally applied to unconstrained optimization problems. Since the problem has some constraints—all bands have lower and upper bounds, bands cannot overlap—the objective function was modified to return a poor score if one of the constraints was violated. In the experiments, the total number of iterations was limited to 300, and the visit parameter was set to 2.9 (instead of the default value of 2.62). This allows the algorithm to jump to greater distances in the search space. The remaining parameters were set to their default values in SciPy Optimize.

### 4.3 Differential Evolution

Differential evolution is an evolutionary algorithm. Starting with a randomly selected set of candidate solutions, the algorithm iterates through a sequence of mutation, recombination, and selection steps until a termination criterion is met. The algorithm is also included in the SciPy Optimize package.\textsuperscript{17}

The SciPy Optimize package implementation of differential evolution allows constraints to be specified. We added constraints to limit the upper and lower bounds of the bands and constraints to ensure that the bands do not overlap. We set the maximum number of iterations to 300 and used default values in SciPy Optimize for all other parameters.

### 5 Results

#### 5.1 Brute Force Processing

The brute force global optimum separation scores and associated bandpasses for the three- and four-band scenarios are provided in Table 1 of the Appendix. The bandpasses are also displayed graphically in Figs. 7 and 8. While the separation score is a useful metric for the optimization algorithm, detection performance is best captured by examining the probability of detection (PD) and the probability of false alarm (PFA). This done using a receiver operator characteristic (ROC) curve. Overall performance can be quantified by taking the area under the ROC (AUROC) curve. Figures 7 and 8 show ROC curves for the three- and four-band cases. Figure 7 shows expected behavior for calcite and gypsum, where the optimal spectral band selection is aligned with dominant spectral features. However, this does not occur with the individual case of limestone or for the three-material case used in the optimization. It is unclear why this happened. In the individual limestone case, the other subtle spectral features (8.5, 9.2, and 9.8 $\mu$m) may have offered more information relative to the background spectra than the dominate feature (11 $\mu$m). In the three-material case, one material may have gained substantially from the selected band configuration and mask performance gains (or losses) for the other materials. Figure 8 shows more consistent behavior for the four-band case, where bands fall on dominant spectral features. Examining the ROC curve, in both cases, the contiguous multispectral bands perform worse than the hyperspectral system. An exciting result is that a multispectral system with band optimization for three materials can produce better performance than the original hyperspectral system. Optimizing for each individual material results in further improvement for the material under inspection; however, detection performance for the other materials declines substantially. It should be noted that this observation applies to only this dataset. Another dataset with the same target materials, but different background, could result in a different optimal band combination. Future work could examine the extensibility of these bandpasses, comparing these results to results collected over different backgrounds or different times of day (different thermal conditions).

#### 5.2 Numerical Optimization Results

The detailed optimization results are provided in Table 1 of the Appendix. For each scenario, 30 optimization runs were performed. The mean, standard deviation, median, minimum, and maximum values are given for these 30 optimizations. The bandpasses for the maximum separation score are also given.
Figure 9 provides a summary of algorithm performance. The mean is calculated for the four material types of a single band number and optimization type. For example, the mean of the three-band particle swarm algorithm 30-run means is mean (0.518, 0.576, 0.886, 0.504) = 0.621. And the mean of the 30-run standard deviations is mean (0.031, 0.105, 0.019, 0.028) = 0.046.

For the three-band case, all three algorithms performed well and found the global maximum for several materials. The particle swarm algorithm found the global maximum for all materials; however, the separation score standard deviation was highest for all materials using this algorithm making it the most inconsistent performer. The differential evolution algorithm produced the best results on average with a higher mean separation score and a lower standard deviation.

In the four-band case, each algorithm found the global maximum in two out of the four material scenarios. The differential evolution algorithm had the highest mean separation score and the lowest mean standard deviation for the 30-run means.

Figure 7 The brute force result for the three-band case is shown here. Each vertical pair of plots corresponds to an optimization scenario. For each plot pair, the upper plot shows the three material spectra of interest and selected spectral bandpasses. The lower plot shows the ROC curves and AUROC curve values for each of the three materials of interest. This shows a comparison between the original hyperspectral data, three-band contiguous data, and three-band optimized data.

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The five-band case has many more potential combinations, and it was therefore not possible to compute a brute force result. The particle swarm algorithm produced the best results on average; however, there was large variability in the scores. The dual annealing algorithm produced the most consistent results but only by a small margin compared with the differential evolution. The performance margins here are closer than in the three-band case.

Figure 10 shows the best five-band optimization results for each material. The bandpasses for the individual materials follow the dominant spectral features. However, the three-material optimization lacks a bandpass over the dominant limestone feature. If the bandpasses are modified to include a band over the dominant limestone feature an interesting observation is made (see “User Defined” plot in Fig. 10). The AUROC values for limestone increase while the values of calcite and gypsum both decrease. On average, the AUROC values for the optimized bands are higher than the user defined bands. Three-material AUROC average: \((0.9879 + 0.9907 + 0.9484)/3 = 0.9757\), user defined AUROC average: \((0.9569 + 0.9854 + 0.9616)/3 = 0.9680\). The optimization algorithm would have likely favored improved performance for calcite and gypsum at the expense of the limestone performance.
6 Conclusion

6.1 Brute Force Computing

A brute force search of all possible spectral band combinations was performed for a three- and four-band system using a 49-band HSI as a data source. Executing this task required using 128 nodes on LLNL’s Syrah supercomputer and 1 week to complete. Given the successful performance of the optimization algorithms, there is little to be gained by brute force searches of this kind in future work; the resources required are significantly greater than what is used for the numerical optimization approach.

6.2 Numerical Optimization

Integer programming, which is a type of numerical optimization that utilizes integer valued variables, was used to select band combinations from the 49-band HSI. Three algorithms were used in the optimization: particle swarm, dual annealing, and differential evolution. Overall, the performance for all three algorithms is similar. There are many instances where these algorithms found the global optimum solution. The differential evolution algorithm produced high separation scores more consistently than the other algorithms but only by a small margin. The particle swarm algorithm produced a higher standard deviation of separation scores, making it the most inconsistent performer. That said, it did achieve some of the best scores; most notably for the three-band case, where the global maximum was found for all materials.

Plotting the optimal bandpasses against the target vectors revealed that the results were not always intuitive; there are some instances of dominant spectral features not being selected for bandpasses. The most unusual example of this being the three-band limestone optimization where smaller features were selected over the dominant feature. There are several factors that could have caused this, particularly the scene whitening step that is used in ACE which can be heavily influenced by the background. This behavior was also observed in the three-material optimizations for three bands and five bands. When three materials are used in the optimization, it is possible that substantial performance gains of one material might overshadow the decreased performance of the other two.

6.3 User Defined Optimization

In Fig. 10, the authors modified the three-material optimization by moving one band to the dominant (and unused) limestone spectral feature. The band that was moved existed beyond 12 \( \mu \)m.
in a location that was spectrally flat for the targets used here. Moving this band improved performance for limestone detection but resulted in decreased performance for calcite and gypsum. This serves as a reminder that flat target spectra can still be informative relative to the background spectral shape. In this case, the best detection performance overall was obtained by ignoring the primary limestone feature.

6.4 Future Work

Given the good optimization performance, these tests should be expanded to datasets collected under different thermal conditions, different backgrounds, and single pixel detection. Future work might also include modifying the objective function to penalize optimization steps that result in large performance gains for one material at the expense of other materials.

7 Appendix

The optimal separation scores and the resulting spectral band passes for each optimization algorithm and the brute force runs are presented in Table 1.

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Fig. 10 Five-band results obtained from the best numerical optimizations, along with a “User Defined” set of bandpasses in the upper left.
<table>
<thead>
<tr>
<th></th>
<th>Score mean</th>
<th>Score stdev</th>
<th>Score median</th>
<th>Score min.</th>
<th>Score max</th>
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<th>Band2</th>
<th>Band3</th>
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<td>--</td>
<td>--</td>
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<td>8.300 to 8.767</td>
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<td>--</td>
<td>--</td>
<td>--</td>
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<td>--</td>
<td>--</td>
<td>--</td>
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<td>--</td>
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Acknowledgments

Thank you to Janice Lawson and the team at Lawrence Livermore National Laboratory who collected this data.

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References

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