Optical clearing in transcutaneous Raman spectroscopy of murine cortical bone tissue

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1 Introduction

Raman spectroscopy is a potential noninvasive measurement technique for longitudinal studies of bone development,^{1,2} bone biomechanics^{3–5} in humans and in animals, and diagnosis of bone diseases.^{6–9} To realize this potential, it is necessary to develop both spectroscopic instrumentation for transcutaneous measurements of bone tissue composition and ancillary techniques, such as optical clearing,¹⁰ that enhance the recovery of subsurface spectra. Skin presents a formidable barrier to bone Raman spectroscopy, both because the tissue, especially the stratum corneum, is highly scattering, and because melanocytes, which contain the skin pigment melanin, absorb even in the near-infrared (NIR) spectrum and fluoresce intensely. Raman spectroscopy has been used to study skin and skin diseases.^{11–13} To date, dermatological Raman spectroscopy has aimed primarily to measure the moisture content and drug penetration in skin at depths that do not exceed 1 mm.^{14,15} Similarly, arterial plaque^{16–18} and blood components^{12,19–21} have been measured using arteries that lie close to the surface of the skin.

Although it has not previously been applied to Raman spectroscopy, optical clearing is well known in other areas of biomedical optics, including NIR spectroscopy and optical coherence tomography.^{10,22–25} With this methodology, a liquid is used to penetrate the stratum corneum and displace the native water, because it has an index of refraction that is closer to that of proteins. Clearing agents may also disrupt the internal hydrogen bonding of collagen, which partially disorders the fibrils and increases their transparency.^{22,26} The use of an optical clearing agent decreases scattering, thereby increasing light penetration into the tissue.¹⁰ Glycerol is one of the most commonly employed clearing agents. It is nontoxic, has a high index of refraction (n_D =1.47), and disrupts the internal

hydrogen bonding of collagen. For these reasons, we have used glycerol in this study.

With the development of spatially resolved Raman spectroscopy,^{27,28} transcutaneous measurements at depths of several millimeters or greater have become feasible. In human cadaveric tissue, we have shown that transcutaneous bone Raman spectra can be obtained with a commercially available fiber optic probe that uses distributed laser power and an array of collection fibers.^{29,30} Using a recently developed ring/disk probe,^{31–33} we have demonstrated the recovery of Raman spectra from canine bone tissue at a depth of 5 mm below the skin surface.³⁴ This work demonstrated several difficulties that must be addressed in the development of noninvasive bone Raman spectroscopy. These include a high background fluorescence, multiple scattering that is characteristic of almost all tissue,^{35–37} and the limitations of existing fiber optic Raman probes.

In this communication we discuss the use of optical clearing to improve the Raman signal and reduce the effects of scattering. We show that a very simple protocol with glycerol as a clearing agent increases the signal-to-noise ratio and reduces the systematic error incurred as a result of incompletely resolved surface and subsurface spectra using multivariate techniques. We also demonstrate a fiber probe with linefocused laser delivery that is better suited to small animal limb studies than ring-focused probes.

2 Materials and Methods

2.1 Specimens and Reagents

The specimens used for *in-vitro* transcutaneous Raman measurements through skin and overlying tissue were tibiae from mice sacrificed between the ages of 12 and 20 weeks in the course of other unrelated studies. These mice were sacrificed according to study designs and protocols approved by the University of Michigan Committee on Use and Care of Ani-

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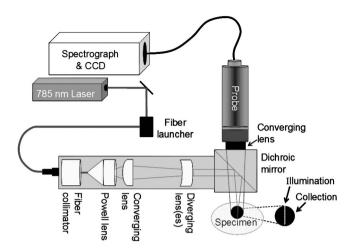


Fig. 1 Schematic of experimental apparatus.

mals. Both tibiae from healthy female animals from four randomly selected mice strains were used.

The depilatory agent was Sally Hansen Hair Removal Lotion (Sally Hansen Corporation, Uniondale, New York). The optical clearing agent was American Chemical Society reagent grade glycerol (Sigma-Aldrich Corporation, Milwaukee, Wisconsin).

2.2 Instrumentation

A schematic of the Raman instrument is shown in Fig. 1. A 400-mW, 785-nm external cavity diode laser (Invictus, Kaiser Optical Systems, Incorporated, Ann Arbor, Michigan) was used for excitation. The laser beam was passed through a 200-µm core NIR optical fiber (PCN200 4-FF-HT-GN, Multimode Fiber Optics, Hackettstown, New Jersey). The light was collimated with a fiber optic collimator (F230FC-B, Thorlabs Incorporated, Newton, New Jersey) and directed through a 5-deg fan angle Powell lens/collimating optics assembly (C10, StockerYale Montreal, Quebec, Canada) and a 75-mm focal length NIR coated achromat (AC254-075-B, Thorlabs Incorporated, Newton, New Jersey) to obtain a 3 $\times 0.75$ -mm line illumination. A nonconfocal fiber optic probe (PhAT probe, Kaiser Optical Systems, Incorporated) was employed to collect backscattered Raman shifted light and present it to the spectrograph. The probe contained a circular bundle of fifty 100- μ m core collection fibers. At the probe head, a 75-mm focal length lens was employed to obtain a 3-nm-diam circular field of view. A dichroic mirror (Chroma Technology Corporation, Rockingham, Vermont) reflected the 785-nm light to the sample and transmitted the Raman signal to the collection fibers. A NIR-optimized imaging spectrograph (HoloSpec f/1.8i, Kaiser Optical Systems) fitted with a 50- μ m slit was used to provide a 6 to 8 cm⁻¹ spectral resolution. The detector was a thermoelectrically cooled, deepdepletion 1024×256 pixel charged-coupled device (CCD) camera (DU420-BR-DD, Andor Technology, Belfast, Northern Ireland) operated at -75 °C with no binning.

2.3 Spectroscopic Measurements

Transcutaneous Raman spectroscopic measurements were made on intact, sacrificed animals. Prior to measurement, the

depilatory lotion was used on the tibiae to facilitate hair removal with a tissue paper wipe from the region of interest. Excess depilatory was rinsed off with distilled water after hair removal was complete. After transcutaneous measurements, the entire tibia was excised, and overlying tissue was removed with a scalpel. The Raman spectrum of the exposed bone was then measured in the same region.

Transcutaneous Raman spectra were acquired with the illumination line and the collection disk focused onto the skin at the medial side of the tibia mid-diaphysis just below the tibial proximal tuberosity. The laser line was positioned so that the long axis of the line was parallel to the bone and centered over the bone. The power of the laser light at the specimen was 35 mW. The acquisition time was 300 s for transcutaneous measurements and 120 s for exposed bone measurements. For optical clearing experiments, glycerol was applied topically with a cotton swab. The glycerol was left to diffuse into the skin for approximately three minutes before spectra were acquired.

For six of the tibiae, seven sequential replicate spectra were acquired prior to optical clearing. After application of glycerol and a three minute wait, seven replicate spectra were acquired in the same location. For one of the tibiae, only five measurements were taken before optical clearing, and five afterward. After the sequence of transcutaneous measurements was completed, soft tissue was removed, and 20 consecutive Raman spectra of the exposed bone were acquired.

2.4 Data Analysis

Data reduction was performed in Matlab 6.1 (The Mathworks Incorporated, Natick, Massachusetts) using vendor-supplied scripts and locally written scripts that had been described previously. Statistical analyses were performed in SAS, version 9.1 (SAS Institute Incorporated, Cary, North Carolina).

A single CCD frame contained 256 Raman spectra. Initial preprocessing included CCD calibration against a neon discharge lamp, with a check against a Teflon® Raman spectrum. White light correction and dark current subtraction were used to account for the spectral response of the detector. The image was then corrected for curvature caused by the large gathering angle of the spectrograph.

The spectrum from each of the 50 collection fibers of the probe was imaged onto slightly more than five rows of the CCD. To avoid cross talk, spectra from only the central three rows of each fiber image were used. These three spectra were averaged to generate one spectrum for each collection fiber. Finally, the 905 to 1500 cm^{-1} region of interest (ROI) was selected. This region contains the mineral phosphate P–O and carbonate C–O stretches, and matrix bands including collagen amide III and CH₂ wag.^{2,8,38}

The amount of noise in the transcutaneous measurements was quantified using spectral averages, that is, without background correction and without separation into bone and overlying tissue components. The three spectra from each of the 50 collection fibers were averaged, and the power spectrum of each averaged spectrum was computed. For this purpose, the dispersion axis was not converted to wavenumber, but was left in wavelength (nm) so that the noise contribution from each pixel would be equally weighted. The root-mean-square

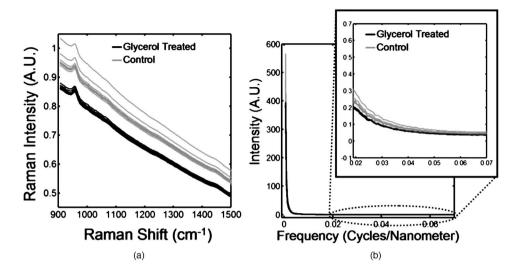


Fig. 2 Raman spectra of a murine tibia (distal diaphysis). The bone tissue is approximately 1 mm below the skin. The gray traces are raw spectra obtained without optical clearing, and the black traces are spectra obtained after glycerol application. (a) transcutaneous Raman spectra and (b) power spectra.

(rms) value was calculated for the high transform frequency region between 0.02 and 0.07 cycles/nm. Data from one tibia were excluded, because the probe was improperly aligned during the measurements. For each of the remaining six tibiae, the mean rms values were computed for each of the seven sequential acquisitions.

For each tibia, a paired t-test was used to assess the difference in rms noise magnitudes between spectra of each tibia acquired with and without optical clearing. The effect of optical clearing on the noise rms values was examined for all six tibiae using a repeated measures analysis of variance (ANOVA). Using a mixed-effects model,³⁹ optical clearing was treated as a fixed factor with two levels (glycerol-treated and controls). The repeated measure was the series of measurements made on each mouse. The series had seven (or five) levels and was treated as a random effect. A significance level of 0.05 was used for all statistical calculations.

Recovery of a bone factor for each transcutaneous measurement followed previously described procedures.⁴⁰ An iterative background removal with a fifth-order polynomial was used to subtract the background fluorescence.^{41,42} The resulting spectra were normalized, the covariance matrix was calculated, and band target entropy minimization (BTEM)^{40,43,44} was used to recover the spectral factors. A 3-cm⁻¹ interval around the phosphate band (ca. 959 cm⁻¹) was chosen for band targeting. Between 3 and 23 eigenvectors from the dataset were included for the calculation of Raman spectral factors. Eigenvectors containing predominantly random noise were used to improve the signal-to-noise ratio of the recov-

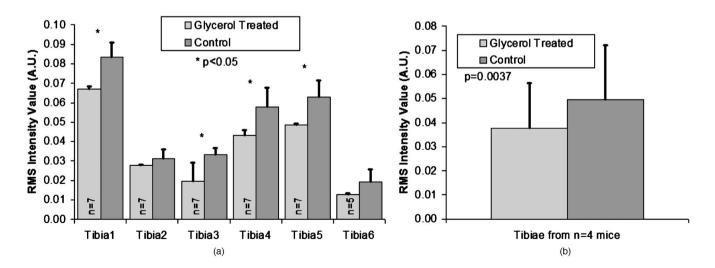


Fig. 3 rms intensity for power spectra in high transform frequency region (mean+standard deviation). (a) Results for measurements made on six different tibiae. (b) Results across tibiae from all mice.

ered bone spectra. An eigenvector weight distribution test for selecting the appropriate number of eigenvectors was used, as described elsewhere.⁴⁰ A stopping point was reached when additional eigenvectors added only noise.

Once the bone spectral factors were calculated, the crosscorrelation coefficient between the bone factor and the exposed bone measurement was calculated using the "xcorr" function of the Matlab signal processing toolbox. To exclude the intense P-O stretch, while including enough of the specfor computation, the interval used trum was 988 to 1500 cm⁻¹. The mean cross-correlation coefficient was computed for the average of the spectra from each of the six tibiae. For each tibia, a paired t-test, as described before, was used to examine the difference in the cross-correlation coefficients between transcutaneous spectra measured with and without optical clearing. The effect of optical clearing on the correlation between transcutaneous and exposed bone measurements was tested for all six tibiae using a repeated measures ANOVA. Optical clearing was treated as a fixed factor with two levels (glycerol-treated and controls), and the repeated measure was the series of measurements on each mouse, which had seven (or five) levels and was treated as a random effect.

3 Results and Discussion

3.1 Noise Level Determined by Power Spectra

Transcutaneous Raman spectra taken through approximately 1 mm of tissue on a mouse tibia are shown in Fig. 2(a). CCD white light correction, dark current subtraction, and correction of spectrograph-induced curvature was applied, but no further processing was done. The measurements made after glycerol application have visibly less intense and more reproducible background fluorescence than those from the control spectra, which were acquired prior to optical clearing. The power spectra for these Raman spectra are shown in Fig. 2(b). In the high transform frequency region (>0.02 cycles/nm), where mostly noise is represented, the measurements made after optical clearing have both lower noise power and reduced measurement-to-measurement variability.

We attribute the spectroscopic effects of optical clearing to reduced specimen fluorescence in the acquired spectra. With 785-nm excitation, the most important source of fluorescence is melanin, which is located in the melanocytes. In mice, as in humans and other mammals, the melanocytes lie just below the stratum corneum.⁴⁵ Decreasing the scattering at and just below the skin surface decreases the amount of fluorescence that is generated and the amount reaching the collection fibers, thereby decreasing its contribution to the total collected signal. Some photobleaching may also be occurring during the first few minutes of laser illumination. The background decreases rapidly between the first and second spectrum acquisition, but slowly thereafter [Fig. 2(a)].

Bar graphs of noise levels and measurement variability for each tibia are shown in Fig. 3(a). The measurements taken after optical clearing had a significantly lower noise level (p < 0.05) in four out of the six tibiae. In addition, the variability in a set of spectra, indicated by the error bars, was lower for spectra acquired after the application of glycerol, although the differences were not statistically significant in tibiae 2 and

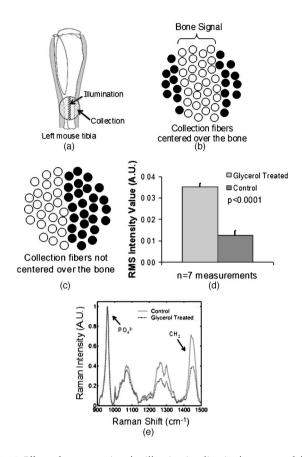


Fig. 4 Effect of not centering the illumination line in the center of the collection fibers. (a) Correct alignment of illumination line and collection disk with respect to the bone. (b) Field of view of the collection fibers for proper alignment. (c) Field of view of the collection fibers for improper alignment. (d) Resulting noise levels in measurements made with improper alignment (compare to Fig. 3). (e) Mean transcutaneous spectra, after baselining and normalizing, for a measurement before and after glycerol application.

6. The mean noise levels for the six tibiae are presented in Fig. 3(b). The spectra acquired after optical clearing have significantly less noise (p=0.0037).

Recovery of the bone spectrum depends on separation of the bone component from that of the overlying tissue, which includes skin, tendon, blood vessels, and even some adipose tissue. Optical clearing can actually degrade the recovered bone spectrum if the fiber optic probe is not aligned to maximize the contribution from bone and minimize the contribution from overlying tissue. This effect is illustrated in Fig. 4. The illumination line must be positioned directly over the bone, and the collection fibers must be centered on this line, as shown in Fig. 4(a). The field of view of the collection fibers is then as illustrated in Fig. 4(b).

However, if the collection fibers are not positioned directly over the bone and centered on the illumination line, spectra from soft tissue are oversampled. We demonstrated these effects by misaligning the probe. The field of view of the collection fibers was shifted toward the medial side of the tibia while the illumination line centered over the bone. The improper collection fiber alignment is shown in Fig. 4(c). The rms noise for the control and optical clearing cases are shown in Fig. 4(d). Significantly more noise was observed in the

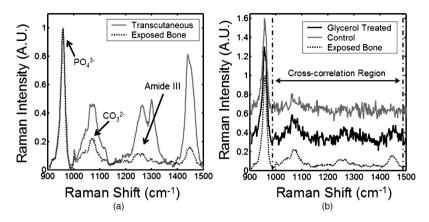


Fig. 5 (a) Typical mean transcutaneous spectrum (gray) and typical mean exposed bone spectrum (black). (b) Recovered bone factor without an optical clearing agent (gray), recovered bone factor after glycerol application (black), and exposed bone measurement (dotted).

measurement made after glycerol application (p<0.00001). This seemingly contradictory result is caused by the reduction of light scattering by the skin, allowing oversampling of Raman scatter from the soft tissue directly below. As expected, the overall intensity of the bone component of the recovered spectrum is decreased. The effect is seen most clearly in the phosphate v_1 band at 959 cm⁻¹ [Fig. 4(e)]. The absolute intensity of the phosphate v_1 band recovered with the misaligned probe is not as great as that obtained when the probe is properly aligned. Because the signal-to-noise ratio is reduced and the contribution from skin and tendon collagen is increased by probe misalignment, the accuracy of the recovered bone factor is also lowered.

3.2 Cross-Correlation Coefficients

The cross-correlation coefficients between bone factors recovered from transcutaneous and exposed bone spectra further illustrate the effects of optical clearing. Because in the mouse tibia the bone lies only about 1 mm below the skin, a strong phosphate v_1 band is actually visible in the spectrum, after removal of fluorescence background (Fig. 5). The Raman spectrum of type-1 collagen in skin and tendon is similar to that of type-1 collagen in bone. As a consequence, the collagen bands are much more intense than the similar bands in exposed bone, as comparison to the exposed bone spectrum shows. Collagen bands near the 1070-cm⁻¹ region effectively mask the carbonate v_1 band at 1070 cm⁻¹. By reducing the skin collagen contribution, optical clearing with glycerol improves the accuracy of the recovered bone factor, as shown in Fig. 5(b). The difference is clearest in the less intense bands.

To quantify the difference between the recovered bone factors and the exposed bone measurements, cross-correlation coefficients were calculated in the low signal-to-noise portion of the recovered spectra, i.e., excluding the phosphate v_1 band (Fig. 6). The spectra acquired after optical clearing had a significantly higher correlation with the exposed bone spectra (p < 0.07) in four of the six tibiae. The mean cross-correlation coefficients are shown in Fig. 6(b). The measurements made after optical clearing have a significantly higher correlation with the exposed bone spectra (p=0.025) than those of controls, without optical clearing.

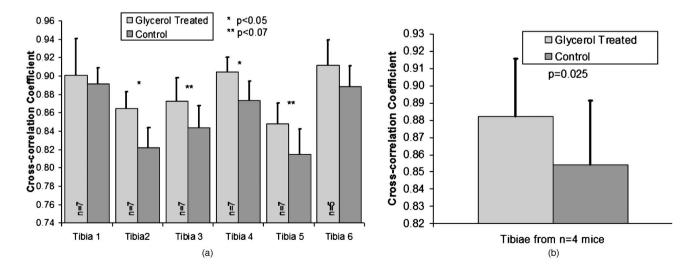


Fig. 6 Cross-correlation coefficient between the exposed bone measurement and the recovered bone factor (mean+standard deviation). (a) Results for measurements made on six different tibiae. (b) Results across tibiae from all mice.

4 Conclusions

Optical clearing improves the signal-to-noise ratio of transcutaneously measured bone Raman spectra. Our initial experiments employed only one clearing agent, glycerol, and a simple protocol. Glycerol was chosen because it is known to be effective and safe for use on humans. Other clearing agents and application protocols may prove even more effective. For example, dermabrasion to remove a portion of the stratum corneum is known to improve penetration of clearing agents. Compression or stretching of the skin has also been shown to improve light transmission.¹⁰ The use of one or more of these techniques should allow transcutaneous measurement of bone Raman spectra with an even better signal-to-noise ratio.

Further development of the line-focused probe could also improve the performance of the system. The available Powell lens assembly was not coated for 785 nm, nor was its internal collimator adjustable to correct for beam divergence. As a result, throughput was reduced. The delivered power was 35 mW from a 400-mW laser. Unlike the ring/disk system, the present configuration does not allow for adjustment of the distance between the entry and collection points. Moreover, the collection fibers are arranged in a disk, resulting in undersampling of the offset points at the periphery of the field of view. These problems can be mitigated with a rectangular collection fiber array and line-forming optics that are designed to work properly with the 785-nm output from a multimode optical fiber. Development of this system is underway, and its performance will be reported at a later date.

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