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Abstract. A portable choroidal laser Doppler flowmeter (LDF) with enhanced sensitivity based on a scattering plate is developed. The portable LDF is weighted 2 kg operated at center wavelength of 780 nm, leading to a better penetration into the eye fundus in contrast to the previous LDF operated at center wavelength of 670 nm. Enhancement of number of detected photons that undergo Doppler scattering and improved measured speed of choroidal blood flow are achieved with the use of a scattering plate positioned in front of the eye. The mechanism of detection and sensitivity enhancement is theoretically analyzed. Evaluation of system performance is done by *in vivo* measurements on ten volunteers. The results demonstrate that an increased percentage of backscattering light at high Doppler shift frequency is collected due to utilization of the scattering plate. However, this kind of light detection influences spatial resolution of the system and decreases the total signal measured. The proposed method for detection and sensitivity enhancement might be useful in a case where the perception of very slight alternation of blood flow is pursued and the spatial resolution is not as critical as that in a choroidal vascular bed.

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1 Introduction

Laser Doppler flowmeter (LDF) is the most widely used method for blood flow measurement because of its noninvasive and non-contact characteristics.¹ Several studies of blood flow in human eye fundus using LDF outline the importance of measuring vessel flow properties since it is an early indicator of eye pathologies like glaucoma, diabetic retinopathy or age related macular degeneration.^{2–8} The principle of LDF is to measure the Doppler frequency shift that light undergoes when reflected by moving objects. Such Doppler frequency shift has also been exploited in optical Doppler tomography with additional benefit of localization along depth.^{9–12} Most commercial available LDFs are equipped with a ponderous table and a fixed head holder for patient examination. Such characteristic limits their applications to patients with self-consciousness and self-dependence. For special patients such as new born babies, disabled persons, and patients under intensive care or under operation, ponderous LDFs are not convenient whereas portable LDFs are desirable.¹³ Furthermore, for clinical applications, it is required that the developed LDF is capable of monitoring blood flow with high sensitivity. However, the usual construction of the commercial ocular LDF device is based on a very small angle restricted by pupil aperture, leading to small Doppler shift due to a near perpendicular direction of incident laser to primary blood flow in choroidal vessels. It limits commercial LDF to detect very slight alternation of choroidal blood flow with high sensitivity.

In this paper, we report a portable choroidal LDF with a scattering plate to enhance its measuring sensitivity. Utilization of

the scattering plate causes photons that undergo more scattering events to be detected and the scattering events appear with much larger angles. This leads to broadening of the Doppler shift power density spectrum (DSPDS) and relative higher measured blood flow speed. Redirection capability of the scattering plate and its effect on system performance are theoretically analyzed and experimentally evaluated.

2 System Description

Optical layout of the developed LDF is illustrated in Fig. 1(a), which consists of a laser Doppler measurement unit (LDF unit), an illumination unit, and an observation unit.

2.1 LDF Unit

The LDF unit is subdivided into laser delivering, signal detection, and sharing paths. The source of the LDF unit in the laser delivering path is a polarized laser diode (LDF-LD, Thorlabs L780P010) at center wavelength of 780 nm. The *s*-polarized laser beam from the source has a divergence angle of about 30 deg and, hence, two aspheric lenses (LDF-L₁ and LDF-L₂) are implemented to shrink its divergence enabling enough power to the targeted vessels. After reflection by a polarization beam splitter (LDF-beam splitter), the light goes to two lenses (LDF-L₃ and LDF-L₄), and is then redirected to the sharing path by a dichroic beam splitter (SP-beam splitter). An accommodation lens (SP-L₁) followed by two lenses (SP-L₂ and SP-L₃) in the sharing path is adopted for patients with myopia or hypermetropia. The delivered laser spot at the position of the cornea is about 2 mm in diameter with an output power of less than

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Fig. 1 (a) Optical layout and (b) photo of the developed LDF.

150 μW , which is safe for continuous examination of 20 min according to ANSI Z136.1. Backscattered light from the target retraces the light path of the delivering light until the signal detection path is separated by the LDF-beam splitter, where the transmitted light with p -polarization owing to birefringence of the cornea and other components is collected by a fiber pigtailed avalanche photon detector (APD). The detected signal is then amplified for further processing.

2.2 Illumination Unit

The illumination unit is constructed by replacing the halogen bulb in a KOWA camera with a green-light LED (IU-LED) at 530 nm. The maximum output power is 10 mW and can be modified by adjusting the current feeding to the IU-LED.

2.3 Observation Unit

Besides optical components in the sharing path mentioned above, the observation unit is constructed by two additional lenses (OU-Ocular and OU-L1) and a targeting plate in between. The targeting plate is located in conjugate to the LDF laser spot on the eye fundus. Under the illumination of IU-LED and with the help of the targeting plate, the observation unit is used to help the operator to adjust the portable LDF for correct positioning of the LDF laser spot on targeted vessels.

The picture of the developed LDF under operation is shown in Fig. 1(b). The developed LDF is weighted about 2 kg with compact size, suitable to be carried and operated by hand. Such portable LDF is therefore applicable to special patients such as new born babies, disabled persons, and patients under intensive care or even under operation.

3 Theoretical Analysis

3.1 Ray Trace Model

In order to explain the mechanism of detection and sensitivity enhancement of the LDF based on the scattering plate, a ray

trace model is built to describe the process that the laser transmits from the LDF to the eye fundus and that is scattered by moving red blood cells (RBCs) back to the LDF. As shown in Fig. 2, the model consists of a single blood vessel containing moving RBCs, a convex eye lens with focal length f_c and clear aperture radius r_c , and a scattering plate between the LDF unit and the eye lens with a distance L away from the latter. Due to the scattering characteristics of the scattering plate, the LDF laser illuminates an area over the choroidal bed where static structures and RBCs moving in random directions and velocities are available. Without loss of generality, only one blood vessel perpendicular to the optics axis of the LDF (dotted-dashed line) with RBCs moving at typical azimuth angle (bold arrow) is assumed. Such an assumption of a blood vessel is just for explanation of the mechanism of sensitivity enhancement and

Fig. 2 Ray trace model of LDF measurement on the human eye.

is not a realistic mimic of the vascular vessels in the choroidal vascular bed.

A Cartesian coordinate system with origin at the focus of the eye lens is set, where y-axis coincides with the given blood vessel and z-axis along the optics axis of the LDF unit. As shown in Fig. 2, I_i represents an incident ray from the LDF unit illuminating the scattering plate at point $(x_i, y_i, L + f_c)$, and I'_i is one of the scattering components with a separation angle β corresponding to I_i after transmitting through the scattering plate. When ray I'_i passes through the eye lens, it is denoted by ray I''_i and hits the given blood vessel at point $(0, y_0, 0)$. Backscattering happens at point $(0, y_0, 0)$ and the backscattering intensity is expressed by $I_s = \eta I''_i$. Here, uniform back scattering coefficient of η is assumed because of relatively small numerical aperture of human eye and hence limited scattering angle resulting in insignificant angular intensity changes according to Mie theory. Ray I'_s comes from ray I_s after refracted by the eye lens is parallel to ray I'_i and hits the scattering plate at point $(x_s, y_s, L + f_c)$. Ray I''_s represents one of the scattering components with a deviation angle of θ_1 relative to the direction of the ray donated by I'_s , and a deviation angle of θ_2 relative to the direction of the z-axis. Doppler frequency shift achieved in ray I''_s corresponding to ray I_i based on previous ray tracing is then given by^{14–16}

$$f_d = \frac{V}{\lambda \cdot f_c} \cdot (y_i + y_s + 2f_c \tan \beta - 2L \tan \beta), \quad (1)$$

where λ is the central wavelength of the LDF unit and V is the average velocity of the RBCs in the given blood vessel. In deduction of Eq. (1), approximation of the cosines of the

incident angle (between ray I''_i and the blood flow direction) and the scattering angle (between ray I_s and the blood flow direction) is taken by $-(y_i - L \tan \beta + f_c \tan \beta)/f_c$ and $(y_s - L \tan \beta + f_c \tan \beta)/f_c$, respectively.

Supposing the incident laser beam from LDF has a Gaussian distribution with maximum intensity of I_0 and radius of beam waist equals to r_c , the intensity of ray I_i is then determined by $I_i = I_0 \exp[-\frac{2x_i^2 + 2y_i^2}{r_c^2}]$. When light transmits through the scattering plate, the probability distribution (PS_{HG}) of the scattering light versus the scattering angle β can be modeled using the Henyey–Greenstein function^{17,18}

$$PS_{HG}(\beta, g) = \frac{1 - g^2}{(1 + g^2 - 2g \cos \beta)^{3/2}}, \quad (2)$$

where g is the asymmetry factor of the scattering plate. Then the intensity of scattering rays corresponding to forward scattering by the scattering plate is given by

$$I'_i = \frac{I_i \cdot PS_{HG}(\beta, g)}{\int_{\beta \leq \beta_{\max}} PS_{HG}(\beta, g) d\beta}, \quad (3a)$$

$$I''_i = \frac{I'_i \cdot PS_{HG}(\theta_1, g)}{\int_{\theta_1 \leq \theta_{1\max}} PS_{HG}(\theta_1, g) d\theta_1}, \quad (3b)$$

where $\beta_{\max}$ and $\theta_{1\max}$ are defined as the angles at which PS_{HG} falls to $1/e^2$ of its maximum. Hence, the total intensity received by the LDF unit of the rays that have a Doppler frequency shift f_d is

$$P(f_d) = \int_{\beta \leq \beta_{\max}} \int_{\theta_2 \leq \theta_{2\max}} \left[\oint_{x_s^2 + (y_s - L \tan \beta)^2 \leq r_c^2} \left(\oint_{x_i^2 + (y_i - L \tan \beta)^2 \leq r_c^2} \eta I'_i|_{y_i = f_d \lambda f_c / v + 2L \tan \beta - 2f_c \tan \beta - y_s} dx_i dy_i \right) dx_s dy_s \right] \times \frac{PS_{HG}(\theta_1, g)}{\int_{\theta_1 \leq \theta_{1\max}} PS_{HG}(\theta_1, g) d\theta_1} d\theta_2 d\beta, \quad (4)$$

where $\theta_{2\max}$ is the acceptance angle of the LDF unit and the integration interval of (x_i, y_i) and (x_s, y_s) is limited by the aperture of the eye lens. Three parameters are commonly derived from $P(f_d)$ to evaluate the status of choroidal blood flow^{19,20}

$$ChBVolume = \int P(f_d) df_d, \quad (5a)$$

$$ChBFlow = \int f_d P(f_d) df_d, \quad (5b)$$

and

$$ChBSpeed = \frac{\int f_d P(f_d) df_d}{\int P(f_d) df_d}, \quad (5c)$$

where $ChBVolume$ corresponds to the total amount of scattering photons received by the LDF, $ChBFlow$ is used to describe the flux of the blood flow, and the measured mean speed of RBCs is denoted by $ChBSpeed$.

3.2 Simulation Based on the Model

In order to show how the scattering plate influences the measurement results, numerical simulation of $P(f_d)$ and $ChBSpeed$ is conducted to evaluate both the change of the DSPDS [$P(f_d)$] curve and the change of $ChBSpeed$ value after the adoption of the scattering plate. The simulation under “normal condition” that the scattering plate is not utilized is achieved as the limit of Eq. (4) when $g \rightarrow 1$, supposing the redirection capability of the scattering plate can be ignored. The simulation under “scattering condition” after the adoption of the scattering plate uses $g = 0.71$, which equals the asymmetry factor of the scattering plate in our later experiments. In the simulation under both conditions, f_c is chosen to be 17 mm, which equals the effective focal length of the human eye, and L , r_c , $\beta_{\max}$, and $\theta_{2\max}$ are set to be 5 mm, 2.5 mm, 25 deg, and 20 deg, respectively.

The curves in Fig. 3(a) show simulated $P(f_d)$ curve under scattering and normal conditions. The RBC's velocity is set to be 10 mm/s in this simulation. Comparing the curve under

Fig. 3 (a) Simulated curves on $P(f_d)$ versus f_d at typical assumed flowing velocity and (b) linear fitting curves on estimated values of $ChBSpeed$ versus RBCs' velocity under normal and scattering conditions, respectively.

scattering condition to that under the normal condition, broadening of $P(f_d)$ and improved power density of higher Doppler frequency shift can be observed. As the $P(f_d)$ curve is broadened and the power density of higher Doppler frequency shift is increased under the scattering condition, the calculated value of $ChBSpeed$, which corresponds to the first moment of $P(f_d)$ divided by its 0th moment, is calculated to be higher due to utilization of the scattering plate. Fig. 3(b) shows how the value of $ChBSpeed$ changes versus the alternation of the RBC's velocity. The value of $ChBSpeed$ is linear to the RBC's velocity both under normal and scattering conditions. It can be seen that under the scattering condition, the slope of $ChBSpeed$ versus RBC's velocity is increased, and hence the sensitivity of the speed measurement is enhanced.

3.3 Monte Carlo Simulation

In order to show how the scattering plate influences the measured results, we also conduct a Monte Carlo simulation in which, comparing to the ray trace model, the single blood vessel is replaced by a simulated choroidal vascular bed. The main part of the simulation code is adopted from "Monte Carlo modeling of light transport in Multilayered tissues,"²¹ which simulates the photon transports in layers of types of "tissue" and "glass" taking no account of Doppler effect. We modify the code in such a way that it allows us to consider Doppler frequency shift of a scattered photon and direction change of a photon due to a lens. The frequency shift is calculated by the incident and scattered wavenumber vectors of the photon and the velocity vector of the moving particle.¹⁵ The lens is modeled as a lens-layer that refracts the direction of passed photons in terms of Gaussian optics.

In our simulation, the scattering plate is modeled as a layer with the thickness $t = 2$ mm, the anisotropy factor $g = 0.71$, the scattering coefficient $\mu_s = 500 \text{ m}^{-1}$, and the absorption coefficient $\mu_a \rightarrow 0$. The eye lens is modeled as a lens-layer with the focal length $f = 17$ mm. The vitreous body is modeled as a glass-layer with refractive index $n = 1.336$ since its μ_s and μ_a are very small. The choroidal vascular bed is modeled as a tissue-layer with $t = 0.2$ mm, $g = 0.87$, $\mu_s = 1.35 \times 10^5 \text{ m}^{-1}$, and μ_a

$= 6.13 \times 10^4 \text{ m}^{-1}$.²² The velocity vector of moving RBCs in this layer is determined by three random numbers: the magnitude with normal distribution (mean: 10 mm/s, standard deviation: 3 mm/s), the azimuth angle with uniform distribution ($0 \sim 2\pi$), and the elevation angle with normal distribution (mean: 0, standard deviation: $\pi/2$). We choose a concentration of 10% as the times-ratio of Doppler scattering over non-Doppler scattering, considering that in the choroidal vascular bed most part of the tissue is static. Under each condition, 2×10^6 photons are launched. The schematic of the layers is shown in Fig. 4(a) with the path of a typical photon marked by the dashed line. The simulation results are plotted in log scale in Fig. 4(b) and normalized by the maximums, where the solid line is the DSPDS under the normal condition and the dotted line is the DSPDS under the scattering condition, improved power density of higher Doppler frequency shift can also be observed. In order to study how the measured signal is influenced by the multiple scattering within the choroid vascular bed, the scattering times both for Doppler and non-Doppler scattering are recorded. The average scattering times are evaluated to be 1.0 and 1.5 for photons contributed to Doppler and non-Doppler scattering, respectively, shown in Fig. 4(c). It means that most of the photons that are collected by the LDF undergo single scattering instead of multiple scattering with the red blood cells. Hence, the single scattering assumption in the ray trace model depicted in Fig. 2 is valid and multiple backscattering in the choroid vascular bed has a negligible effect on the DSPDS. The same conclusion is obtained in Ref. 23 where there is no scattering plate.

4 Experiments and Results

Characteristics of the scattering plate implemented in our experiments is calibrated by a home-made goniometer.²⁴ The measured forward scattering profile is shown in Fig. 5(a), where corresponding fitted profile based on the Mie theory is also given. From the fitted forward scattering profile, g is estimated to be 0.71. Figures 5(b) and 5(c) show two pictures taken by the camera located at the position where subjects are situated when the LDF is in operation, demonstrating the light distribution over the targeted area corresponding to normal and scattering

Fig. 4 Schematic of (a) the layers in Monte Carlo simulation with the path of a typical photon marked by the dashed line, (b) the simulated DSPDS [$P(f_d)$] curves under normal and scattering conditions, and (c) the weight of collected photons over scattering times.

conditions. The redirection capability of the scattering plate is confirmed by the enlarged light distribution. However, the drawback on spatial resolution of applying the scattering plate is also demonstrated in Figs. 5(b) and 5(c).

In order to confirm the expectation of the broadening of the DSPDS [$P(f_d)$] and corresponding overestimated *ChBSpeed*, examinations on ten volunteers subjects were conducted under normal and scattering conditions for comparison. For each subject, three independent examinations were performed with a

time interval of half an hour and measurement duration for each examination being 30 s.

Figure 6(a) presents the measured *ChBSpeed* for each subject with error bar labeled representing the standard deviation of three independent measurements. Improved values of *ChBSpeed* are realized for the scattering case in comparison to the normal case due to the increased percentage of received photons at higher Doppler frequency shift. This observation is accordant with our theoretical expectation, confirming that the scattering plate introduces more velocity components to the light direction and the photons undergo more scattering events that can be detected.

Typical DSPDS [$P(f_d)$] curves measured on one subject under scattering and normal conditions are shown in Fig. 6(b). Comparing with the curve under the normal condition, the broadening of $P(f_d)$ can be observed after the adoption of the scattering plate. The broadening of $P(f_d)$ is not exactly the same as simulated by the ray trace model, because the simulation based on the ray trace model uses only one specific vessel to explain the broadening of $P(f_d)$. But the actual $P(f_d)$ is contributed by vessels with different directions and velocities in the choroidal vascular bed,⁴ such random directions and velocities lead to different scales of broadening corresponding to different vessels. Even though, the enhancement of the number of detected photons that undergo Doppler scattering can still be considered as accordant with the Monte Carlo simulation result. This

Fig. 5 (a) Forward angular scattering profile of the scattering plate calibrated by a home-made goniometer and fitted by the Mie theory and light spots on targeted area recorded (b) without and (c) with the scattering plate, respectively.

Fig. 6 Measured values of (a) *ChSpeed* on ten subjects and (b) typical DSPDS [$P(f_d)$] curves on one subject under scattering and normal conditions, respectively.

characteristic of scattering plate is exploited in the developed LDF for sensitivity enhancement on measuring blood flow speed, although quantitative evaluation on sensitivity enhancement is not feasible due to complex of blood vessels for *in vivo* experiments.

5 Conclusion

A portable LDF for *in vivo* measurement of blood flow in human choroidal vascular bed is developed. Enhancement of the number of detected photons that undergo Doppler scattering and envisioned improved sensitivity of *in vivo* measurement of choroidal blood flow speed are realized by the use of a scattering plate positioned in front of the eye. The broadening of the DSPDS and enhanced measurement sensitivity of RBCs' speed are explained through theoretical analysis based on the tracing of ray propagation. Enhancement of the number of detected photons that undergo Doppler scattering can be confirmed by Monte Carlo simulation in which the single blood vessel of the ray trace model is replaced by the simulated choroidal vascular bed. Improved performance with the scattering plate is experimentally confirmed based on measurements of ten volunteers. Typical measured DSPDS shows the broadening of the DSPDS as well as improved density of higher Doppler frequency shift. Increased blood flow velocities from *in vivo* measurements are realized through usage of the scattering plate as expected in theoretical analysis. However, enhanced measurement sensitivity of RBCs' speed is not experimentally apparent due to complex in real situations and can only be envisioned. Nevertheless, light detection with the scattering plate is potentially useful in cases where the detection of very slight alternation in blood flow is pursued and the spatial resolution is not as critical as that in choroidal vascular bed, although it influences spatial resolution of the system and decreases the total signal measured.

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