Impact of JPEG 2000 compression on deep convolutional neural networks for metastatic cancer detection in histopathological images

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Abstract. The availability of massive amounts of data in histopathological whole-slide images (WSIs) has enabled the application of deep learning models and especially convolutional neural networks (CNNs), which have shown a high potential for improvement in cancer diagnosis. However, storage and transmission of large amounts of data such as gigapixel histopathological WSIs are challenging. Exploiting lossy compression algorithms for medical images is controversial but, as long as the clinical diagnosis is not affected, is acceptable. We study the impact of JPEG 2000 compression on our proposed CNN-based algorithm, which has produced results comparable to that of pathologists and which was ranked second place in the CAMELYON17 challenge. Detecting tumor metastases in hematoxylin and eosin-stained sections of breast lymph nodes is evaluated and compared with the pathologists’ diagnoses in three different experimental setups. Our experiments show that the CNN model is robust against compression ratios up to 24:1 when it is trained on uncompressed high-quality images. We demonstrate that a model trained on lower quality images—i.e., lossy compressed images—depicts a classification performance that is significantly improved for the corresponding compression ratio. Moreover, it is also observed that the model performs equally well on all higher-quality images. These properties will help to design cloud-based computer-aided diagnosis (CAD) systems, e.g., telemedicine that employ deep CNN models that are more robust to image quality variations due to compression required to address data storage and transmission constraints. However, the results presented are specific to the CAD system and application described, and further work is needed to examine whether they generalize to other systems and applications. © The Authors. Published by SPIE under a Creative Commons Attribution 4.0 Unported License. Distribution or reproduction of this work in whole or in part requires full attribution of the original publication, including its DOI. [DOI: 10.1117/1.JMI.6.2.027501]

Keywords: convolutional neural networks; tumor detection; JPEG 2000 compression; image quality; digital pathology.

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1 Introduction

Computational histopathology involves computer-aided diagnosis (CAD) for microscopic analysis of stained histopathological whole-slide images (WSIs) to study the presence, localization, or grading of diseases. Emerging new scanners for digital microscopic imaging make it possible to acquire gigapixel histopathological images at a large scale.1,2 These large-scale digital datasets make digital pathology a perfect use case to deploy data-greedy, deep-learning models. The availability of these massive amounts of data in combination with recent advances in artificial intelligence, based on state-of-the-art deep-learning models and more specifically convolutional neural networks (CNNs), results in a situation where for many clinical imaging-analysis tasks, computational pathology solutions have a comparable performance to that of humans.3 For example in pathology, recent deep learning-based techniques are comparable or even outperform humans in detecting and localizing breast cancer metastases in lymph node WSIs.4

Although increasing the number of image samples boosts the performance of a deep CNN by better learning of the image-content diversity,5 the intrinsic image quality of the used samples will also impact the CNN’s performance. Furthermore, dealing with a large database for storage and the associated transmission for cloud-based computing is challenging and for the design of a CAD system even critical. For example, working on big data in the cloud requires reconciling two contradictory design principles. On the one hand, cloud computing is based on the concepts of consolidation and resource pooling, while on the other hand, big data systems (such as Hadoop) are built on the shared nothing principle, where each node is independent and self-sufficient.6 These issues are more crucial in telemedicine and cloud-based computation, regarding privacy and security issues. For example, in the CAMELYON17 challenge,2,7 which is an international competition on designing the best CAD algorithm for automated breast cancer metastases detection, about 1000 histopathological WSIs (>3 terabyte image data) have been made publicly available. Downloading the whole dataset on a local machine for training a CAD model was cumbersome and requires a significant amount of time and network bandwidth. Given the large size of WSIs, the use of compression algorithms is a very appealing solution. Particularly, lossy compression that can support larger compression ratios is interesting. Luckily, it is generally not prohibited by the main regulatory bodies in the European Union,
United States, Canada, and Australia, provided that it does not impair the diagnostic quality and does not cause new risks compared with conventional practice.\(^{9}\) Hence, it is important to define a strategy or protocol for an efficient parameterization of the deployed compression techniques to yield a high compression ratio without jeopardizing the classification performance. The issue of higher compression ratio with lower encoding time has been recognized as well in recent efforts for creating the DICOM standard in the field of digital pathology.\(^{9}\)

For studying the impact of lossy compression on the diagnostic performance of human experts, several studies have been reported.\(^ {8,10–16}\) Mostly, they reported that the human visual perception is to some extent robust against image quality degradation. However, there is not a generally accepted tolerance level with respect to the diagnostic accuracy. In addition, because clinical evaluations can be subjective and have a bias regarding the task at hand and the skill of experts, different studies have suggested different compression ratios corresponding to the addressed clinical task. For example, Kalinski et al.\(^ {8}\) reported that the impact of a JPEG 2000 compression ratio up to 20 did not show significant influence on the detection of Helicobacter pylori gastritis in gastric histopathological images, performed by three pathologists. In another work by Krupinski et al.,\(^ {11}\) by involving six pathologists, a compression factor of up to 32 did not cause noticeable difference in distinguishing benign from malignant cancer in breast tissue. At the same time, they reported that increasing the compression ratio to 64:1 affected the diagnostic performance significantly. Marcelo et al.\(^ {12}\) studied the accuracy of diagnosis and confidence level of 10 pathologists between noncompressed and JPEG compressed (reduced 90% in file size) pathology images. They reported no statistically significant difference in diagnostic accuracy at 95% confidence interval (CI). Johnson et al.\(^ {13}\) reported a threshold of about 13:1 compression ratio for human observer to discriminate JPEG 2000 compressed versus uncompressed breast histopathological images. In work of Pantanowitz et al.,\(^ {14}\) a compression ratio of 200:1 was reported as an acceptable threshold for measuring the HER2 score in immunohistochemical images of breast carcinoma evaluated by a conventional image processing algorithm.\(^ {15}\) Lopez et al. used a cell counting CAD system as a reference for statistical evaluation of cell counting error in the uncompressed and JPEG-compressed histopathological images. They involved three different compression ratios of 3, 23, and 46 and concluded that increasing the compression ratio deteriorates the performance of cell counting in images. They concluded that the significant factors influencing the classification-performance degradation of a CAD system are the compression ratio and the intrinsic image complexity. According to their study, a more complex image is known as an image with a higher number of nuclei.

Although all the above works study the impact of lossy compression on the diagnostic performance, they do not involve a complex model such as a deep CNN as a model observer. Furthermore, their experiments with a CAD observer are limited to training on the high-quality input data and evaluating on both high- and low-quality image data, while they have not considered the performance of a model observer that has been adapted (trained) on low-quality input data.

JPEG 2000\(^ {16}\) was introduced as a follow-up standard for JPEG (ISO/IEC 10918-1 to ITU-T Rec. T.81) bringing improved rate-distortion performance and additional functionality, such as resolution and quality scalability.\(^ {19}\) One of the main differences between JPEG 2000 and the JPEG algorithm is the exploitation of the discrete wavelet transform instead of a block-based discrete cosine transform. In terms of visual artifacts, JPEG 2000 produces “ringing” and “blocking” artifacts at high compression ratios, whereas JPEG produces both particularly blocking artifacts.\(^ {20}\) Nonetheless, although their performance for higher bitrates is comparable at mid and lower bitrates, JPEG 2000 outperforms JPEG in terms of rate-distortion performance.\(^ {21}\) With the use of the JPEG 2000 algorithm, it also becomes possible to store different parts of the same picture with different qualities, which makes it attractive for the compression of WSIs.\(^ {22}\) Since ~80% of a WSI area contains an empty (white) background region\(^ {23}\) that does not contain any tissue, Helin et al.\(^ {24}\) showed that applying a very high degree of JPEG 2000 compression on the background part of WSIs and applying a conventional amount of compression (e.g., 35:1) on the tissue-containing part results in a high overall compression ratio. Compression gains of up to a factor 3 are reported compared to classical, nonadaptive compression with JPEG 2000.

A number of studies have assessed the impact of the quality of natural images in terms of compression on the performance of a deep CNN.\(^ {25–26}\) In work of Dodge and Karam,\(^ {25}\) a VGG-16 network, which has been trained on the ImageNet 2012 dataset,\(^ {27}\) was found resilient to JPEG and JPEG 2000 compression up to a compression factor of 10 and down to 30-dB peak signal-to-noise ratio, respectively. In similar work by Dejean-Servières et al.,\(^ {26}\) again an experiment on the ImageNet dataset is performed, where a CNN showed only a drop of one unit on the classification ranking for object categorization after applying the compression (with rate of 16:1). Here the classification ranking is defined by sorting (in descending order) the output probabilities of the assigned class labels, given an input image by the network. So ideally the true class should be recognized with rank one while categorizing in any lower ranking can be considered as a greater error in the classification performance.

Although these studies involve a CNN for the assessment of classification performance on compressed natural images, to the best of our knowledge, no similar study has been carried out on the histopathological images/WSIs. The outcome of such a study can be different from the obtained results for the natural images, since the histopathological image contents have a high intercomponent correlation and can be processed differently.

In this paper, we investigate the impact of the compression ratio to evaluate the performance of a deep CNN applied to JPEG 2000 compressed, histopathological WSI data. We employ a recently proposed CAD model that produces comparable performance to that of pathologists in detecting cancer metastases in breast lymph nodes. Since our CAD system exploits a learning model, we study the impact of degradation in image quality due to compression, both by varying the quality (i.e., compression ratio) of the training data in the training phase as by varying the quality of the test data during the testing phase. Such a study reveals the adaptivity of the CNN model for preserving its high performance on lower quality images when its parameters are adapted.

In the following, we first introduce the data that has been used in this study. Afterward, the CNN model is briefly explained and our experimental setup and the obtained results are detailed. Finally, the results are discussed and conclusions are drawn.
2 Materials and Methods

2.1 Dataset

We use the CAMELYON16 dataset\(^4\) for the experiments. This dataset, which is the preceding version of CAMELYON17, contains tumor annotations at pixel level (Fig. 1). The task in CAMELYON16 was detecting and segmenting the metastases in WSIs, while in CAMELYON17 the task was changed to categorization of each detected metastatic region into four types (i.e., grades), according to their area. This can be considered as a postprocessing stage to what was defined in CAMELYON16. In this study, we base our evaluation of the CAD performance on the task that was defined in the CAMELYON16 challenge, as it obtains more accurate quantified measures in comparison with the slide-level categorization task of CAMELYON17.

The CAMELYON16 dataset consists of WSIs having pixels acquired with a resolution equal to 0.243 \(\mu\)m, collected from two clinical centers in the Netherlands. Originally, the dataset was split into a training set and a testing set. The training set consists of 111 WSIs with and 159 without metastases. The testing set consists of 129 WSIs, 49 with and 80 without metastases. We removed one slide (namely tumor slide number 114) from the testing set because it does not have an exhaustive annotation for all its metastasis regions as also mentioned by the data provider. For ground truth, the pixel-level annotation for the positive (i.e., containing tumor) WSIs was provided by a group of pathologists. The original WSIs were stored in the TIFF format (digital and grayscale images), which is a common standard for digital pathology images.

In our study, the uncompressed high-quality data (also labeled with 1:1 ratio) refers to this dataset. More details about this dataset can be found in the paper of Bejnordi et al.\(^3\)

2.1.1 Data sampling

Since involving all the regions inside a WSI is redundant and inefficient for training a CNN model, a data-sampling stage is applied, which consists of two parts: region of interest (ROI) detection and patch extraction. As mentioned earlier, about 80% of a WSI area contains empty background region,\(^23\) which can be easily detected using a conventional image processing technique such as Otsu thresholding.\(^1\) By detecting the empty regions of each WSI, they are ignored for further analysis by the CNN model. Because of the very large image-frame size of WSIs, directly using them as input to a CNN is impractical. A common approach is, therefore, the processing of image patches and employing the CNN as a patch classifier.\(^29\) In a patch classification approach, the input to the CNN is a patch image with predefined dimensions and the output is the predicted class of the central pixel inside the image patch. After training the network on image patches, prediction on WSIs can be performed by sliding a window over the entire WSI and consequently predicting the central pixel of the window. Training the model on all possible extracted patches is redundant, and the population of samples between two classes would be highly imbalanced because in most cases only a small portion of the examined tissue contains tumor cells. For compensating the problem of highly imbalanced data in patch sampling, we only randomly select a limited number of negative patches, while all the extracted positive patches from the training set are used.\(^30\) Here the negative and positive patches refer to the patches that have been labeled as normal and tumor patches, respectively.

In total, 650k patches of size \(300 \times 300\) pixels are extracted. A patch is labeled as a positive sample (tumor) if \(>20\%\) of its pixels are annotated as positive, otherwise it is labeled as a negative (normal) sample. For better training of the model, the extracted patches are augmented on the fly (during training) by applying random rotation, using multiples of 90 deg (i.e., rotation angles of \(0, 90, 180, 270\)). Consequently, the images are also randomly chosen to be flipped or not. Flipping may be vertically, horizontally, or in both directions. For increasing the generalization ability of the classifier against minor changes in chromatic information, we apply color augmentation on the training image patches that has become a common practice in training a deep CNN.\(^5,7\) This leads to training a wider range of color variations compared to what typically occurs in the training set. To do so, we insert some noise into the lightness and saturation channels of the HSL color coordinates, by adding a (uniformly distributed) random value to the pixels of each patch (or subtracting a random value from them). The maximum magnitude of such an additive noise is equal to 0.25% of maximal value of the channel (e.g., \(0.25 \times 255\)).
2.2 JPEG 2000 and Image Quality

The extracted patches from the data sampling stage are compressed. We deployed JPEG 2000 with 6 wavelet decomposition levels and 14 different compression ratios. Figure 2 shows a normal and a tumor patch compressed at different compression ratios.

2.3 Automated Tumor Detection

Our recently proposed CNN-based model\(^1\) is adopted as an automated cancer metastases detection system in breast lymph node WSIs. This model uses the "Inception-v3" architecture,\(^31\) a 48-layer deep CNN, as patch classifier. The input data to the model are full-color RGB image patches and its output is a 2-element vector with one-hot encoding, representing a binary classification. For speeding up the training, the parameters of CNN are initialized using the parameters, which have been trained on the ImageNet 2012 dataset.\(^27\) The Inception-v3 architecture has shown to have a better performance for image classification with a much lower number of parameters, compared with its preceding versions, due to its convolution factorization strategy.\(^31\) In computational pathology, this model has shown human-level performance in detecting tumor cells\(^28\) and won second place in the CAMELYON17 challenge,\(^27\) which includes the CAMELYON16 dataset used in this paper.

2.4 Experiments and Evaluation

2.4.1 Experiments

First, ROI selection and patch extraction are performed on the training and test WSIs as described earlier. About 150k positive samples were extracted from the regions with metastases in positive WSIs, according to the provided ground truth. For compensating the problem of severe class imbalance in samples, we have extracted only about 500k negative samples from the normal regions of the negative and positive WSIs. Afterward, these samples are compressed by the JPEG 2000 algorithm using 14 different compression ratios.

The impact of changing the compression ratio of JPEG 2000 on the performance of the CNN is extensively evaluated for the following three distinct scenarios.

1. The CAD system is trained on high-quality uncompressed images and is evaluated on compressed low-quality images with several compression ratios.
2. The CAD system is trained and tested on the same level of compression. For example, if the model is trained on images compressed by a factor of 32, it is also evaluated on images that are compressed by the same factor.
3. The CAD system is trained on images compressed with the maximal compression ratio that still allowed the classification performance to be above a predefined threshold (e.g., <10\% drop from the maximum F1 score). Thereafter, it is evaluated with test images with both lower and higher compression ratio.

The first scenario is highly applicable to telemedicine and in particular telepathology,\(^32\) where a primary diagnosis can be obtained by transmitting the compressed images to a remote CAD system. Such a remote CAD system can have already been trained on high-quality input data. The second scenario is more relevant in cloud-based computing and training,
where several pathology labs share their data to a remote server for training and evaluation. The third scenario is valid for a case where a powerful computation engine is locally available, e.g., exploiting a supercomputer in a clinical institute or large hospital, so that the transmission of high-quality images is not an issue internally, but utilizing external images from remote data sources for training still has limitations due to transmission bandwidth constraints.

### 2.4.2 Evaluation method

The performance of the binary classification between tumor and normal image patches is evaluated by reporting the F1 score and area under the receiver operating characteristic (ROC) curve, called AUC. Evidently, the configuration of a CAD system with a higher AUC and F1 score represents a better performance.

Since the discrimination threshold of the binary classification system is varied, we report the diagnostic capability of the system with a complementary measurement of the precision–recall (PR) curve. In comparison with alternative measures such as ROC curve, a PR curve can better expose the differences between algorithms, especially when highly skewed cancer detection data are studied. The PR curve visualizes the performance of a classifier by ignoring the true negative samples; this property highlights well the change in classification performance when imbalanced data are processed. It is worth mentioning that even if the training set contains an equal number of patches per class, the data originally are considered imbalanced, since the area of tumor region is often smaller than the normal region in a pathology slide.

### 3 Results

#### 3.1 Scenario 1: High-Quality Training Data and Evaluation on Lower-Quality Images

In this experiment, the CNN model is trained on uncompressed images for a fixed number of iterations equal to 10k. Afterward, we evaluate its performance on the test set, which has been compressed with 14 different compression ratios, including the original uncompressed test images (compression ratio 1:1). The obtained F1 score and AUC values are depicted in Table 1 and the PR curves are plotted in Fig. 3. As expected, by degrading the image quality due to increasing the compression ratio, the CNN performance was decreased. It can be observed that up to a factor of 24, the performance does not show considerable changes, but for a ratio of 32:1, the F1 score drops to 0.908. As the F1 scores and the PR curves illustrate, a factor of 24 shows a trade-off between performance and compression.

### 3.2 Scenario 2: Training and Testing on Images of the Same Quality

In this experiment, we have trained the networks multiple times, each time using training images that are compressed with a specific ratio. After training, the model is evaluated on the test set, which has been compressed with the same ratio as applied on the training set. Table 2 and Fig. 4 show the obtained results. The outcome drastically differs from the previous experiment. As can be observed, the performance of the CNN is in this case not much impacted, meaning that a CNN can be trained to handle larger compression ratios. The difference between the performance of the model under different compression ratios is minimal. In comparison with the performance of the model in the previous experiment (scenario 1), the improvement is significant per compression rate. For example, the F1 score for compressed images with the factor of 164 is equal to 0.934, whereas when the model is trained on high-quality images, its F1 score was only 0.586. This represents about 59% improvement. A possible explanation for such a strong improvement is the adaptation of the network parameters to the distortion and degradation of the image quality, which are also present in its training set.

#### 3.3 Scenario 3: Evaluation on Varying-Quality Images with Fixed Compressed Trained Images

In this experiment, the performance of the model, which was trained on images compressed with a factor of 48, is evaluated on a compressed test set with various compression ratios as well as uncompressed images. The compression ratio of 48:1 was selected, as it shows a maximum compression ratio where the F1 score of the CNN drops <10% of its maximum, according to the previous experiment (scenario 2). As we can observe from Table 3 and Fig. 5, the results improve for the higher compressed images (lower than 48:1 factors), compared with the first experiment when the model was trained on uncompressed images. The reason may be similar to what is observed in scenario 2 because the system has learned the compression ratio.
artifacts from the training samples. In comparison with the second experiment, the performance slightly decreases on either side of the trained compression ratio. In a nutshell, from the results, we can observe that a trained CNN model on the low-quality images, e.g., with compression ratio of 48:1, can perform almost equally well on all higher-quality images and even on the slightly lower-quality samples.

### 4 Conclusion and Discussion

Compression of histopathology images has not yet been approved by regulatory agencies in the US for clinical applications. The contribution of this paper is that the investigation provides evidence that compression may be used from the CAD point of view, but a much larger effort is needed to accept compression.

### Table 2

<table>
<thead>
<tr>
<th>Metric</th>
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<th>2:1</th>
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in the clinical practice. In this investigation, the aim is to access the impact of JPEG 2000 compression on the diagnostic performance of a deep CNN model for detecting metastases in breast lymph node histopathological WSIs. To do so, three series of experiments have been set up. When employing the uncompressed high-quality images for training the deep neural network model, the performance drops significantly for a compression ratio of 48:1 and higher, but it does not change significantly for the 24:1 and lower compression ratios. Our findings about the robustness of the CNN model against JPEG 2000 compression is in near agreement with the cut-off quality threshold of 32:1 ratio in the work of Krupinski et al., which involved pathologists as observers for classifying benign versus malignant breast cancer in WSIs. However, this work did not examine any effects on the human performance when reviewing such images.

**Table 3** F1 scores, AUC values, and their 95% CIs for the CAD model tested on the images with different compression ratios while it was trained on 48:1 compressed training images (scenario 3).

<table>
<thead>
<tr>
<th>Metric</th>
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<td>Cl lower bound</td>
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Training and predicting on the same quality images produces drastically better results compared with the previous scenario in which the model only has been trained on uncompressed data. The outcome is remarkably improved for high compression ratios, while it does not change for low compression ratios. As an example of such an improvement, the performance of the model on compressed images with factor of 164 is on par with results of a previous experiment with factor of 24. This mainly happens because the CNN parameters have been optimized by observing the low-quality (distorted) training images. So it can be robust to some extent to the presence compression artifacts.

Finally, we have empirically shown that training the networks on 48:1 compressed images increases the performance for somewhat lower and higher compression ratios. These findings can help for designing a more efficient CAD system, mainly when a constraint exists for transmission and storage, such as in a system with a cloud-based computation or telepathology. Also we have shown that for a better training of the CNN model, the availability of high-quality uncompressed images is not a necessity.

Here we emphasize that our results presented in this study are specific to the CAD system and application described in this paper, and further work is needed to examine whether they generalize to other systems and applications.

Disclosures
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References


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