Melanin absorption spectroscopy: new method for noninvasive skin investigation and melanoma detection

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1 Introduction

Melanin is the characteristic chromophore of human skin with various potential biological functions, which include protection from solar radiation,^{1,2} antioxidant defense, and camouflage.³ Melanin is also involved in skin diseases such as malignant melanoma, an aggressive skin cancer with high metastatic potential. Despite its importance, melanin is poorly understood because it is an insoluble polymer without welldefined structure, which is difficult to isolate and study.⁴ Skin melanin is divided into two basic types: the black-brown eumelanin and the red-yellow pheomelanin. Both types are biopolymers synthesized in vivo starting with the enzymatic oxidation of tyrosine that leads, through intermediate steps, to eumelanin, composed of dihydroxyindoles (DHI 5.6-DHICA dihydroxyindole and 5,6-dihydroxyindole-2carboxylic acid), and pheomelanin, composed of benzothiazine intermediates⁵ [Fig. 1(a)]. Attempts to elucidate its structure are hampered by the lack of effective physicochemical methods because, in contrast to other biopolymers such as proteins and nucleic acids, melanin resists chemical analysis mainly due to the strong nonhydrolyzable carbon-carbon bonds linking its monomers.²

Abstract. We present a new method for studying melanin in vivo based on diffuse reflectance spectroscopy of human skin. We find that the optical absorption spectrum of in vivo melanin exhibits an exponential dependence on wavelength, consistent with, but with a higher decay slope than, in vitro results. We offer theoretical justification for this exponential dependence on the basis of a recently proposed model for the structure of eumelanin protomolecules. Moreover, we report on a new method for analysis of diffuse reflectance spectra, which identifies intrinsic differences in absorption spectra between malignant melanoma and dysplastic nevi in vivo. These preliminary results are confirmed both by analysis of our own clinical data as well as by analysis of data from three independent, previously published studies. In particular, we find evidence that the histologic transition from dysplastic nevi to melanoma in situ and then to malignant melanoma is reflected in the melanin absorption spectra. Our results are very promising for the development of techniques for the noninvasive detection of melanoma and, more generally, for the study and characterization of pigmented skin lesions. It is also a promising approach for a better understanding of the biological role, structure, and function of melanin. © 2008 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.2844710]

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Detailed investigation of melanin is crucial for the assessment of skin color as well as for the study of various pathological conditions associated with it, such as albinism, vitiligo, melasma, lentigo, and melanoma. Of high importance and interest, in particular, is the investigation of melanoma along with its potential precursors, which may include congenital melanocytic nevi (common skin moles) and dysplastic or atypical nevi. In light of reports of altered melanin production in melanoma and dysplastic nevi,⁶ the characterization and detection of melanocytic lesions through the study of melanin is even more crucial.

One way to assess melanin *in vivo* noninvasively is through the study of its optical properties. The *in vitro* spectral absorption characteristics of melanin have been extensively studied for various types of melanin, such as synthetic melanin produced by oxidation of tyrosine, melanin from *Sepia officinalis*, and melanin extracted from human and animal skin and hair by employing various chemical processing methods.^{7,8} In contrast to the abundance of *in vitro* data, there is a scarcity of data on the *in vivo* optical properties of melanin. The absorption spectrum of melanin has been previously investigated *in vivo* using diffuse reflectance spectroscopy by using empirical methods for data analysis.^{9,10} The results from these studies provide important qualitative information regarding the melanin absorption properties in its native envi-

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ronment, but the empirical nature of data analysis calls for a more extensive study.

We provide here a more detailed quantitative study of the *in vivo* melanin absorption properties through the use of diffuse reflectance spectroscopy. The data are analyzed by means of an analytical diffuse reflectance model, which provides direct information about the optical properties of skin chromophores as well as about the light scattering properties of skin.¹¹ We have used the model for the assessment of melanin in cutaneous melanoma and skin nevi in human subjects, and we present results both from analysis of our own clinical data as well as from analysis of previously published data, demonstrating intrinsic differences in the optical properties of melanin present in the skin lesions studied.

2 Methods

2.1 Instrumentation

The experimental setup has been described in more detail elsewhere.¹¹ Briefly, we use a charge-coupled device (CCD) spectrophotometer (Ocean Optics, USB2000) with illumination provided by a tungsten-halogen light source (Ocean Optics, HL-2000). For light delivery, we use a fiber optic probe consisting of six concentrically arranged 200- μ m core optical fibers, and for diffuse reflectance collection a single 200- μ m core central optical fiber (Ocean Optics, R200-7). Calibration was performed on a diffuse reflectance calibration standard (Ocean Optics, WS-1). Data were collected in the 460- to 1000-nm range with 1.5-nm resolution. Signal-to-noise ratio was typically greater than 100:1 and integration time was typically 100 ms.

2.2 Theoretical Model

The theoretical model used has been described in detail elsewhere.¹² The melanin protomolecules are taken to be tetramers composed of DHI, its redox form indolequinone (IQ), and two tautomers, guinone-methide and guinone-imine (OM, OI). The differences between these molecules consist of the non-C atoms (the N atom in the pentagonal ring and the two O atoms attached to the hexagonal ring) having an H atom bonded to them or not. Specifically, DHI has three H atoms bonded to all of the non-C sites; IQ has only one H atom bonded to the N atom; and QM and QI have only one H atom bonded to one of the two O atoms. The generic structure of the tetramer model is shown in Fig. 1(b), and involves the formation of an inner ring that resembles the structure of porphyrin. The chemical variability of the tetramer structure is the result of a random combination of DHI, IQ, QM, and QI as its constituents. In calculating the optical absorption spectrum of melanin, a total of 16 different tetramer structures were considered.

For the simulation of the structure and the calculation of the optical absorption spectrum of melanin protomolecules, we used the time-dependent density functional theory (TDDFT),¹³ an established theoretical methodology that has been proven to give reliable results for finite-size systems, especially for biomolecules.¹⁴ TDDFT can be viewed as an exact reformulation of time-dependent quantum mechanics, where the fundamental variable is no longer the many-body wave function but the density. This allows the derivation of a calculational scheme in which the effect of the many-body

particle-particle interactions is represented by a densitydependent single-particle potential, so that the time evolution of an interacting system can be studied by solving a timedependent auxiliary single-particle problem. In our approach, we use a basis of localized, atomic-like orbitals to represent the electronic wave functions as implemented in the SIESTA code,¹⁵ and propagate the electronic wave functions in time to obtain the absorption spectrum from the time evolution of the dipole moment.¹⁶ Technical details of the melanin absorption spectrum calculations are the same as in our previous work.^{12,14}

2.3 Diffuse Reflectance Model

Assuming skin to be a homogeneous semi-infinite medium, the diffuse reflectance $R(\lambda)$ is given¹¹ by:

$$R(\lambda) = \frac{1}{k_1 \frac{1}{\mu'_s(\lambda)} + k_2 \frac{\mu_a(\lambda)}{\mu'_s(\lambda)}},\tag{1}$$

where $\mu_a(\lambda)$ and $\mu'_s(\lambda)$ are the absorption and reduced scattering coefficients of skin, and $k_1=0.025 \text{ mm}^{-1}$ and $k_2=0.057$ are constants that depend on the probe geometry.¹¹ The optical coefficients $\mu_a(\lambda)$ and $\mu'_s(\lambda)$ are related to the absorption and scattering properties of skin through Eqs. (2) and (3)^{11,17}:

$$\mu_{a}(\lambda) = c_{\text{Hb}} \left[\alpha \varepsilon_{\text{Hb1}}(\lambda) + (1 - \alpha) \varepsilon_{\text{Hb2}}(\lambda) \right] + c_{w} \varepsilon_{w}(\lambda) + c_{\text{mel}} \exp \left[-k_{m} \left(\frac{\lambda - \lambda_{0}}{\lambda_{0}} \right) \right], \qquad (2)$$

$$\mu'_{s}(\lambda) = \left(1 - c_{1} \frac{\lambda - \lambda_{\min}}{\lambda_{\max} - \lambda_{\min}}\right) \mu'_{s}(\lambda_{\min}).$$
(3)

Here, $c_{\rm Hb}$ is the hemoglobin concentration, α is the hemoglobin oxygen saturation ($0 < \alpha < 1$), $c_{\rm mel}$ is the melanin concentration, c_w is the water concentration, $\varepsilon_w(\lambda)$ is the absorption coefficient of water,¹⁸ and c_1 is a parameter related to the effective scatterer size.¹⁷ $\varepsilon_{\rm Hb1}(\lambda)$ and $\varepsilon_{\rm Hb2}(\lambda)$ are the absorption coefficients of oxyhemoglobin and deoxyhemoglobin,¹⁹ and the remaining parameters are λ_0 =400 nm, $\lambda_{\rm max}$ =800 nm, and $\lambda_{\rm min}$ =400 nm.¹¹ The melanin absorption spectrum in Eq. (2) is described by an exponential dependence on wavelength, with k_m the corresponding exponential decay constant. The exponential is normalized to unity at λ_0 =400 nm and it is measured in the same units as the absorption coefficients of the remaining chromophores in Eq. (2). Equation (1) is valid for diffuse reflectance measured with an optical fiber probe. When an integrating sphere is used for collection, the appropriate expression for the total diffuse reflectance becomes

$$R(\lambda) = \frac{k_1'}{1 + k_2' \frac{\mu_a(\lambda)}{\mu_s'(\lambda)}},\tag{4}$$

with $k'_1=42$ and $k'_2=7$.¹¹ The values of k'_1 and k'_2 were obtained using a calibration procedure on a tissue phantom as explained in Ref. 11. Data analysis was performed by fitting

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Tetramer Protomolecule Structure

Fig. 1 (a) Eumelanin is a polymer of 5,6-dihydroxyindole (DHI) and 5,6-dihydroxyindole-2-carboxylic acid (DHICA), while pheomelanin is a polymer of benzothiazine intermediates (wavy lines indicate bonding sites for polymerization). For both melanin types, the detailed polymer structure is undetermined. (b) The proposed structure of melanin protomolecules consists of tetramers arranged so that they form an inner ring resembling the structure of porphyrin. The variability in protomolecule structure is due to the presence or absence of H atoms bonded to the three non-C atoms in each monomer (the N atom in the pentagonal ring and the two O atoms attached to the hexagonal ring).



Fig. 2 Theoretical results for absorbance (in arbitrary units) of the 16 eumelanin tetramer protomolecules (thin red lines) and their average (thick black line). Inset: typical *in vitro* absorption spectra of eumelanin (k_m =1.40) and pheomelanin (k_m =2.76) on a log-normal plot, from Refs. 31 and 39, respectively; black lines represent experimental data, red lines correspond to the exponential fits; the top blue line corresponds to the theoretical result shown by the thick black line on the large plot (k_m =1.36).

the experimental spectra to the model described by Eq. (1) with the absorption and reduced scattering coefficients described by Eqs. (2) and (3). Thus, fitting produced a set of seven parameters characteristic for each skin spectrum: $c_{\rm Hb}$, $\alpha, c_{\text{mel}}, k_m, \mu'_s(\lambda_{\min}), c_1$, and c_w . Fitting was performed using the Levenberg-Marquardt minimization method offered by the MERLIN optimization environment.²⁰ In addition to automated fitting, a chi-square analysis was conducted by manually varying the fit parameters and evaluating the corresponding goodness of fit. In all cases, this search showed that the optimization software identified the global minimum that yielded the best agreement between the experimental data and the model. This observation is consistent with what one would expect by inspecting Eqs. (2) and (3). The spectral line shapes for hemoglobin, melanin, water, and the reduced scattering coefficient are distinct enough such that a unique fit of the data to the model can be achieved.

2.4 In Vivo Data

Diffuse reflectance spectra were measured on six human subjects with dysplastic nevi, one human subject with melanoma *in situ* and three human subjects with malignant melanoma. Data were collected at the Dermatology and Venereal Diseases Clinic of University Hospital, Ioannina, Greece, with informed subject content. The human subjects had Fitzpatrick skin type III. Data were collected by gently placing the probe on the skin with no pressure applied.

3 Results

The melanin absorption spectrum has been extensively studied experimentally by many research groups. Table 1 summarizes data available in the literature, mostly referring to in vitro studies. The most striking feature of the melanin spectra is the degree to which they exhibit a universal exponential dependence on wavelength, despite the wide variety of different melanin samples studied. For the data shown in Table 1, the exponential decay constant k_m takes values in the 1.2 to 5.5 range. The variation in k_m is noteworthy and is probably an indication of a heterogeneous polymer structure. In addition, melanin absorption appears to depend on factors such as melanin type, molecular weight, method of extraction from skin or hair, and bleaching. The observation that bleaching of melanin with hydrogen peroxide does not remove the exponential dependence but merely increases k_m is also very interesting.²¹ Most of the data presented in Table 1 concern eumelanin. Data available for pheomelanin are scarce and are generally characterized by higher k_m values, a fact consistent with the expected characteristic reddish color of pheomelanin. The *in vivo* data for melanin are also limited, and k_m values are in general agreement with those for synthetic eumelanin, even though in vivo melanin is expected to be composed of both eumelanin and pheomelanin.

In Fig. 2 we show the calculated optical absorption spectra of the 16 individual tetramers and their average, using our theoretical model. In the inset of Fig. 2, we show representative absorption spectra of eumelanin and pheomelanin, reported in the literature (and included in Table 1), together with fits to an exponential function. Also in the inset, we show the average theoretical spectrum (top blue line). The agreement between theory and experiment for the key feature of the spectrum, the exponential decay, is excellent.

Analysis of diffuse reflectance spectra provides more detailed information about the absorption of melanin in vivo. Figure 3(a) shows average spectra from malignant melanoma, melanoma in situ, and dysplastic nevi, together with model fits [see Eq. (1) in Methods in Sec. 2]. The model describes the spectra remarkably well, which confirms the exponential nature of melanin absorption in vivo. Besides our own data, we have also analyzed average diffuse reflectance spectra from three similar independent, previously published studies. Table 2 summarizes the number of cases for the different skin lesions studied for each study. Two of these studies employed an optical fiber probe for data collection, 23,24 in which case diffuse reflectance is described by Eq. (1) [see Figs. 3(b) and 3(c)], while the third²⁵ employed an integrating sphere, in which case diffuse reflectance is described by Eq. (4) [see Fig. 3(d)]. In all three cases, we obtained excellent fits to the model, which yielded corresponding k_m values for the melanin absorption spectrum.

Figure 4 summarizes the k_m values obtained from all four studies and illustrates the clear and consistent differences observed among the different skin lesions. In all studies, melanoma is characterized by consistently lower k_m values compared to skin nevi, while k_m values for melanomas *in situ* fall between the melanoma and nevi values. This is an indication that intrinsic changes associated with the histological transition

dysplastic nevi \rightarrow melanoma *in situ* \rightarrow melanoma,

are reflected in the optical absorption spectrum of melanin. A detailed analysis of the model fits revealed that the typical uncertainty in determining k_m is approximately ± 0.10 . The remaining parameters, obtained by fitting the data to the model, did not exhibit any specific correlation with k_m , and hence are not presented here in further detail.

4 Discussion

Our key findings concerning the melanin absorption spectrum are as follows.

1. It can be measured directly *in vivo* using diffuse reflectance spectroscopy.

2. It exhibits an exponential dependence on wavelength for *in vivo* samples, consistent with *in vitro* results, which is satisfactorily explained by a theoretical model based on tetramer protomolecules of variable chemical composition; the exponential decay slope is generally higher for *in vivo* samples.

3. Our results on melanoma and dysplastic nevi, together with analysis of previously published data, indicate intrinsic differences in the melanin present among these skin lesions.

These findings are promising for the development of spectroscopic techniques for real-time noninvasive characterization and diagnosis of pigmented skin lesions and for the detection of melanoma.

Our results are also promising for the better understanding of melanin structure and function, especially *in vivo*. The key concept in our analysis is the utilization of the exponential shape of the melanin absorption spectrum, which facilitates the analysis of diffuse reflectance spectra and the characterZonios et al.: Melanin absorption spectroscopy: new method...

Reference	k _m	Wavelength range	Melanin type	Comments
7	1.20	400 to 700 nm	Eumelanin	Human hair (acid/base extraction)
	2.00	400 to 700 nm	Eumelanin	Synthetic
	4.20	400 to 700 nm	Pheomelanin	Human hair (acid/base extraction)
8	2.31	300 to 800 nm	Eumelanin	Human hair (enzymatic extraction)
	5.01	300 to 800 nm	Eumelanin	Human hair (enzymatic extraction) Low MW
	3.03	300 to 800 nm	Eumelanin	Human hair (acid/base extraction)
	4.07	300 to 800 nm	Eumelanin	Human hair (acid/base extraction) Low MW
9	2.96	400 to 800 nm	Eumelanin	Synthetic
10	2.60	400 to 600 nm	<i>In vivo</i> melanin	Human skin
21	3.81	300 to 700 nm	Eumelanin	Synthetic (up to $k_m = 5.5$ bleached)
27	3.08	300 to 700 nm	Eumelanin	Synthetic
31	1.40	200 to 800 nm	Eumelanin	Sepia officinalis
32	1.90	350 to 900 nm	Eumelanin	Synthetic
33	2.19	200 to 900 nm	Eumelanin	Synthetic
34	2.41	400 to 800 nm	<i>In vivo</i> melanin	Human skin
35	2.44	200 to 550 nm	Eumelanin	Synthetic
36	3.68	250 to 550 nm	Pheomelanin	Synthetic
	2.50	250 to 750 nm	Eumelanin	Synthetic
37	2.50	300 to 800 nm	Eumelanin	Sepia officinalis
38	2.68	250 to 800 nm	Eumelanin	Synthetic
39	2.76	300 to 800 nm	Pheomelanin	Synthetic
40	3.50	350 to 800 nm	Eumelanin	Synthetic
41	2.16	300 to 800 nm	Eumelanin	Synthetic
42	3.60	300 to 500 nm	Eumelanin	Sepia officinalis
	4.64	300 to 500 nm	Eumelanin	B16 mouse melanoma
	4.72	300 to 500 nm	Eumelanin	C57BL mouse hair

Table 1	1	Literature	review	data	for	melanin	absorption.



Fig. 3 Average diffuse reflectance spectra (black lines) from malignant melanoma (MM), melanoma *in situ* (MS), dysplastic nevi (DN), and benign congenital nevi (BN), along with the corresponding model fits (red lines). (a) This study, (b) Murphy et al.,²³ (c) Wallace et al.²⁴ and (d) Marchesini et al.²⁵

ization of melanin spectra using a single parameter. The origin of this universal exponential dependence is not well understood, but there is evidence relating it to the highly disordered and heterogeneous nature of the melanin polymer structure.^{12,26,27} This last argument is further supported by the fact that different types of melanins, melanins with different molecular weights and even bleached melanins, all exhibit the exponential dependence in their absorption spectrum.

The differences found in the optical properties of melanin between melanoma and dysplastic nevi present an opportunity for developing further insight. A possible explanation may be related to increased pheomelanin content in dysplastic nevi,⁶ which would yield higher k_m values. Eumelanin composition

Table 2 Number of cases, for each skin lesion type, for each study.

Reference	Melanoma	Melanoma in situ	Dysplastic nevi	Benign nevi
23	40	24	33	-
24	15	9	11	-
25	12	-	-	12



Fig. 4 Melanin absorption k_m values for malignant melanoma, melanoma *in situ*, and dysplastic nevi. (a) This study, (b) Murphy et al.,²³ (c) Wallace et al.,²⁴ and (d) Marchesini et al.²⁵ The last study included benign nevi instead of dysplastic nevi and did not include melanoma *in situ*.

may offer an alternative explanation. In particular, DHI-rich melanin is characterized by a dark brown to black color, while DHICA-rich melanin is characterized by a lighter brown color.²⁸ Accordingly, DHI-rich melanin would yield lower k_m values. Other possible explanations may include differences in the actual molecular weight of the melanin polymer⁸ and possible contributions from scattering effects, although these are generally considered small.²⁹ The structure of melanin may also be affected *in vivo* by interactions with melanosome proteins.³⁰ Finally, other undetermined factors related to the melanosome microenvironment may be involved.

An important lesson from our analysis is the feasibility of studying melanin in vivo, in its natural environment. As is the case for many other biochemical compounds, melanin does not appear to be exactly the same polymer in vivo and in vitro. Inspection of Table 1 reveals that melanin is probably altered by the chemical processing involved in extracting it from skin or hair. This is evident by the different k_m values corresponding to different extraction methods.⁸ Also, synthetic melanin may be intrinsically different due to the very nature of the "artificial" way of producing it—the very low k_m values reported for some synthetic melanins seem unrealistic for in vivo melanin, based on our analysis. This last argument appears valid for both eumelanin and pheomelanin. In the case of eumelanin, a possible explanation could be related to the fact that synthetic eumelanin is generally richer in DHI.⁵ In the case of pheomelanin, the uncertainties appear even greater, since it is generally less well studied and characterized than eumelanin.

It is important to stress that the present analysis has inherent limitations, especially in what concerns the analysis of data from previously published studies. More accurate values of k_m for each skin type and skin lesion can be determined only by fine tuning the model to the specific experimental setup and by analyzing individual (rather than average) reflectance spectra, a goal beyond the scope of the present work. The central point of Fig. 4 is the fact that the *relative differences* in k_m values among different skin lesion types *within* each study are evidently significant and consistent (in fact, they are approximately the same) across all four studies analyzed.

An important point we also need to stress is the fact that the reported differences in k_m can be actually confirmed, on a qualitative basis, by a simple visual inspection of the spectra. Consider Figs. 3(a) and 3(c), which best illustrate the point: in the NIR region, the intensity of the dysplastic nevi spectra slightly increases, then begins to fall rapidly as the wavelength becomes shorter. In contrast, the melanoma spectra intensity decreases steadily as the wavelength becomes shorter. This behavior can only be explained in terms of melanin absorption, with lower k_m for melanoma, i.e., increased melanin absorption in the NIR region. This observation was actually the starting point of this work and the model just puts this qualitative observation in quantitative form. Most importantly, the results of this study are model independent, as they should be, and they can also be obtained using the diffusion-theory model described elsewhere.¹⁷

Another source of uncertainty is related to biological variability; we have used a limited set of data in our study, but the results are in reasonable agreement with the published average spectra reported by the three other studies that used a wider dataset (a total of 156 cases). We expect our on-going clinical study to provide more conclusive and statistically significant results in the future. This will eventually permit us to quantify the sensitivity and specificity of this method for diagnosing melanoma, melanoma *in situ*, and dysplastic nevi. Nevertheless, we believe that the findings presented here constitute a solid basis for noninvasive monitoring and screening of pigmented skin lesions, and for a deeper understanding of the biological function of melanin.

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