Cerebral hemodynamic responses to seizure in the mouse brain: simultaneous near-infrared spectroscopy–electroencephalography study

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1 Introduction

Neuroscience has benefited greatly from recent advances in genetic engineering. Transgenic mice, such as knock-out, knock-in, and knock-down mice, can be used to produce animal models of neuropathological human and animal diseases and contribute greatly to our understanding of their basic cellular and molecular pathways.1 The use of transgenic mice has untangled various complicated phenomena occurring in the brain, which is the most complex system in nature. Innovative neuroimaging techniques employed in studies of mouse brain have accelerated neuroscience knowledge by enabling visualization from the cellular level to the network level.2 However, the small size of the mouse brain (~1 cm^3 in volume) has been a challenge to apply current neuroimaging technology, such as functional magnetic resonance imaging (fMRI) or positron emission tomography.

Near-infrared spectroscopy (NIRS), first introduced by Ref. 3, assesses oxy- and deoxyhemoglobin concentrations based on absorption spectrum of hemoglobin molecules or track the neural activation based on scattering changes. In recent years, neuroimaging based on NIRS has been successfully applied to clinical and physiological studies mostly on human brain.4,5 Because NIRS is noninvasive and applicable to a freely moving condition, NIRS has a strong potential as a functional brain monitoring system in clinical or cognitive neuroscience research. The main assumption of applying NIRS to functional study of the brain is that the oxygen demands in the region of neural activation facilitate the oxygen supply by triggering vasodilation, resulting in an increase in oxyhemoglobin and decrease in deoxyhemoglobin.6 However, cerebral blood flows have spontaneous fluctuations; hence, it

Abstract. We applied near-infrared spectroscopy (NIRS) and electroencephalography (EEG) simultaneously on the mouse brain and investigated the hemodynamic response to epileptic episodes under pharmacologically driven seizure. γ-butyrolactone (GBL) and 4-aminopyridine (4-AP) were applied to induce absence and tonic-clonic seizures, respectively. The epileptic episodes were identified from the single-channel EEG, and the corresponding hemodynamic changes in different regions of the brain were characterized by multichannel frequency-domain NIRS. Our results are the following: (i) the oxyhemoglobin level increases in the case of GBL-treated mice but not 4-AP-treated mice compared to the predrug state; (ii) the dominant response to each absence seizure is a decrease in deoxyhemoglobin; (iii) the phase shift between oxy- and deoxyhemoglobin reduces in GBL-treated mice but no 4-AP-treated mice; and (iv) the spatial correlation of hemodynamics increased significantly in 4-AP-treated mice but not in GBL-treated mice. Our results show that spatiotemporal tracking of cerebral hemodynamics using NIRS can be successfully applied to the mouse brain in conjunction with electrophysiological recording, which will support the study of molecular, cellular, and network origin of neurovascular coupling in vivo. © 2010 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.3365952]

Keywords: mouse; near-infrared spectroscopy; electroencephalography; seizure; oxyhemoglobin; deoxyhemoglobin.

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is challenging to differentiate the function-related blood flow from spontaneous blood fluctuations. Moreover, the underlying mechanism about the vasodilation with respect to dynamic metabolic requirements remains unknown. One of the approaches to study the underlying mechanism will be using an animal model under physiological or molecular manipulation.

In this study, we aimed to assess the hemodynamic changing simultaneously with electrophysiological recording. To identify the highly activated state of the brain, we pharmacologically induced an epileptic state to the mouse. Epileptic events are well known to be one of the most catastrophic phenomena in the brain because a large population of neurons is depolarized in a synchronized manner. We simultaneously applied eight channels of frequency-domain NIRS and one-channel electroencephalography (EEG) to the mouse brain during pharmacologically driven seizures and focused on the hemodynamic responses, including systemic hemodynamic responses' and rhythmical activities. We aimed to characterize the following issues: (i) what the systemic (long-term) hemodynamic responses to seizure events are compared to the predrug baseline, (ii) how the hemodynamics is correlated to the individual epileptic events, (iii) whether the hemodynamics in different regions of the brain are synchronized or desynchronized during seizure, and (iv) whether the out-of-phase relationship between oxy- and deoxyhemoglobin is disrupted or not during seizure. We also sought to establish a prototype for applying the NIRS technique with simultaneous electrical recording to a mouse brain.

2 Materials and Methods

2.1 Animal Preparation

All mice preparation and experimental procedures followed the institutional guidelines of the Korea Institute of Science and Technology (KIST). Twelve adult female ICR mice [8–10 weeks, 31.2 ± 3.53 g (mean ± SD), Orientbio Inc., Seongnam, South Korea] were anesthetized with Avertin (2%, 20 µl/g body weight) and mounted on a stereotaxic apparatus (David Kopf Instruments, Model 902, Tujunga, California). Following a 1-in. midline incision, the scalp was opened and held with microclamps. For the EEG recording, two screw electrodes with an impedance of 20 kΩ (1/32 in. diam) were implanted on the skull (anterior-posterior: ±2.0 mm, lateral: ±2.5 mm with respect to the bregma point). A ground electrode was implanted on the cerebellum 1 mm dorsal to the lambda point. A small amount of dental cement (Ketac Cem Easymix, 3M ESPE Worldwide) was applied to secure the electrodes on the skull.

In order to drive seizures, we administered intraperitoneally γ-butyrolactone ([GBL], 500 mg/kg body weight, Sigma, St. Louis, Missouri) and 4-aminopyridine ([4-AP], 10 mg/kg body weight, Sigma) to six mice in each group. The GBL and 4-AP are two of the frequently used seizure inducing drugs for absence seizure and tonic-clonic seizure, respectively. The applied dosages of GBL and 4-AP were selected based on similar protocols used to generate seizures in other in vivo mouse studies. In our study, we increased administration of GBL to enhance the electrical and hemodynamic responses, and the dosage of the 4-AP was reduced because unexplained sudden deaths were observed in mice pretreated with Avertin in our preliminary tests.

Fig. 1 (a) Arrangement of optical sources (S1–S8, two circles indicate separate light sources of 690 and 830 nm), two detectors (D1, and D2) and EEG electrodes (V, R, and G, which stand for active, reference, and ground electrode, respectively) and (b) photo of the mouse ready for data acquisition.

2.2 NIRS Setup

A two-wavelength eight-channel frequency-domain NIRS (Imagent, ISS, Champaign, Illinois) was applied to monitor the cerebral hemodynamic responses. The light sources of Imagent are modulated at high frequency of 110 MHz, and the modulation amplitude (AC) is provided in addition to the intensity. Each channel contains two wavelengths (690 and 830 nm), and each laser diode was turned on and off sequentially under time-based multiplexing. Two optical fibers with a 400-µm core diameter and 0.39 numerical aperture (NA) (FT-400EMT, Thorlabs, Newton, New Jersey) carrying different wavelengths were carefully colocated on each source point by using a homemade manipulating arm. To collect diffuse photons, two detector fibers were tied and placed at the midpoint between the bregma and lambda points, each of which were relayed to two photomultiplier tubes. We used two detectors to enhance the signal-to-noise ratio (SNR). Eight source optical fibers were arranged in a circle around the mouse skull, as described in Fig. 1, and the source-detector distances were maintained at ~0.5 cm from the detector. Modulation of light, which is the ac signal in Imagent system, was collected at a sampling rate of 31.25 Hz. Phase shifts between each source-detector pair were monitored continuously during the measurement, and the channel-to-channel variance was not significant. All experiments were performed in a dark and quiet room.

2.3 EEG Setup

A bipolar, one-channel EEG was used in this study. The raw signals from the skull EEG were amplified 10,000 times using an ac preamplifier (Model QP511, Grass Technologies, West Warwick, Rhode Island) and collected with a data-acquisition device (Digidata 1440A, Axon Instruments Inc., Union City, California) at a sampling rate of 1 kHz. Total recording time was ~1 h for all cases. Additionally, to reduce ambient noise, we connected the stereotaxic frame and EEG system to a common ground. We recorded the NIRS and the EEG signals simultaneously and coregistered a marker for each signal so that direct temporal comparison would be possible.

2.4 Signal Processing of EEG Data

EEG signals were bandpass filtered with a 10th-order zero-phase Butterworth filter with a cutoff frequency of 0.1 and
50 Hz. Epileptic episodes were identified by detecting epileptiform discharges. The most common epileptiform discharges induced by GBL and 4-AP are known to be spike-and-wave discharge complexes and spike train, respectively. In our study, other epileptiform discharges, such as polyspike or polyspike-and-slow-wave complexes, were also observed. The EEG signals with a repetitive high-amplitude activity or polyspike-and-slow-wave complexes, were also observed. To avoid the false positive, we did not count any patterns that were found in the predrug state.

2.5 Signal Processing of NIRS Data

Two detector signals were averaged to increase the SNR, and the changes of ac signal amplitudes were used in our analysis. The temporal patterns of ac and dc were almost identical, but ac has much less irregular movement compared to dc. The concentration of hemoglobin was calculated based on the modified Beer–Lambert law:

\[ \Delta OD^k = \ln \left( \frac{I(t)}{I(0)} \right) = \left( e^\lambda_{HbO_2} \Delta[HbO_2] + e^\lambda_{Hb} \Delta[Hbr] \right) B^k L, \]

where \( \Delta OD^k \) is the optical density at \( \lambda_1 \) (690 nm) and \( \lambda_2 \) (830 nm), \( I \) is the ac amplitude of the transmitted light, \( e^\lambda_{HbO_2} \) and \( e^\lambda_{Hb} \) are the extinction coefficients for oxy- and deoxyhemoglobin molecules at each wavelength, respectively, and \( B^k \) is the differential pathlength factor. In our study, \( I(0) \) is the baseline signal and was obtained by averaging the signal during 5 min before administration of the seizure-inducing drugs. The relative changes of hemoglobin concentrations, \( \Delta[HbO_2] \) and \( \Delta[Hbr] \) are derived from Eq. (1) as follows:

\[ \Delta[HbO_2] = \frac{e^\lambda_{HbO_2} (\Delta OD^{k_2}/B^{k_2}) - e^\lambda_{Hbr} (\Delta OD^{k_1}/B^{k_1})}{(e^\lambda_{Hbr} e^{\lambda_2}_{HbO_2} - e^\lambda_{HbO_2} e^{\lambda_1}_{Hbr}) L}, \]

\[ \Delta[Hbr] = \frac{e^\lambda_{Hbr} (\Delta OD^{k_1}/B^{k_1}) - e^\lambda_{Hbr} (\Delta OD^{k_2}/B^{k_2})}{(e^\lambda_{Hbr} e^{\lambda_2}_{HbO_2} - e^\lambda_{HbO_2} e^{\lambda_1}_{Hbr}) L}. \]

The phase difference between \( \Delta[HbO_2] \) and \( \Delta[Hbr] \) was obtained by subtracting \( \theta_{HbO_2} \) from \( \theta_{Hbr} \), where \( \theta_{HbO_2} \) and \( \theta_{Hbr} \) are instantaneous phases of \( \Delta[HbO_2] \) and \( \Delta[Hbr] \), respectively. We particularly observed the phase relationship of low-frequency oscillation of oxy- and deoxyhemoglobin concentrations, which corresponds to Mayer wave at the frequency of \( \sim 0.1 \) Hz. A symmetric bandpass filter (3rd-order zero-phase Butterworth with \( f_1 = 0.05 \) and \( f_2 = 0.2 \) Hz) was applied to extract the low-frequency oscillation.

Spatial correlation between hemodynamic signals from eight NIRS channels was evaluated using a cross-correlation function called \( \chi_{xcorr} \) in Matlab, which is defined by

\[ \chi_k(t, t + \tau) = \frac{1}{T} \int_{t}^{t+T} x_k(s)x_l(s + \tau) ds, \]

where \( x_k \) and \( x_l \) are \( k \)th and \( l \)th NIRS signals. \( \chi_k(\tau) \) is the correlation function of time delay \( \tau \) between \( k \)th and \( l \)th channels.

3 Results

3.1 Drug-Induced Seizures in Mouse EEG

As the first step in isolating the epileptic moments \textit{in vivo} in mice, we performed cortical EEG recordings of mice, simultaneously with NIRS recordings. In general, the epileptic activities in GBL-treated mice were episodic lasting a few seconds, whereas the ictal activities of 4-AP-treated mice were successive, and even after the cessation of ictal activities, intermittent pulses were observed in the EEG. In terms of spec-
It was found that the EEGs of GBL-treated mice had a reduced power in all frequency-bands compared to seizure in the mouse brain….

### Table 1: Summary of epileptic responses recorded by EEGs for GBL-treated mice.

<table>
<thead>
<tr>
<th>Mouse No. (GBL)</th>
<th>Seizure onset (s)</th>
<th>Number of ictal events</th>
<th>Total duration of ictal events (s)</th>
<th>Apparent epileptic form</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>2</td>
<td>149</td>
<td>66</td>
<td>140</td>
<td>Sharp wave, polyspike complex</td>
</tr>
<tr>
<td>3</td>
<td>134</td>
<td>92</td>
<td>104</td>
<td>Sharp wave, spike-and-wave complexes, polyspike-and-wave complex</td>
</tr>
<tr>
<td>4</td>
<td>248</td>
<td>86</td>
<td>113</td>
<td>Sharp wave, polyspike complex</td>
</tr>
<tr>
<td>5</td>
<td>210</td>
<td>201</td>
<td>121</td>
<td>Sharp wave, polyspike complex</td>
</tr>
<tr>
<td>6</td>
<td>118</td>
<td>56</td>
<td>142</td>
<td>Sharp wave, spike-and-wave complexes</td>
</tr>
</tbody>
</table>

### Table 2: Summary of epileptic responses in the EEGs of 4-AP-treated mice.

<table>
<thead>
<tr>
<th>Mouse No. (4-AP)</th>
<th>Seizure onset (s)</th>
<th>Frequency of spikes (Hz)</th>
<th>Total duration of ictal events (s)</th>
<th>Average of peak-to-peak level (max)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
<tr>
<td>8</td>
<td>60</td>
<td>0.6–0.9</td>
<td>740</td>
<td>21.3 (78.2)</td>
</tr>
<tr>
<td>9</td>
<td>103</td>
<td>0.5–0.9</td>
<td>719</td>
<td>16.7 (54.6)</td>
</tr>
<tr>
<td>10</td>
<td>181</td>
<td>0.5–1.0</td>
<td>1151</td>
<td>13.3 (18.8)</td>
</tr>
<tr>
<td>11</td>
<td>2007</td>
<td>0.6–0.8</td>
<td>24</td>
<td>24.6 (54.2)</td>
</tr>
<tr>
<td>12</td>
<td>—</td>
<td>—</td>
<td>—</td>
<td>—</td>
</tr>
</tbody>
</table>

### Notes:
- The seizure onset refers to the time elapsed after dose.
- The total duration of ictal events was obtained by adding the duration of ictal events in every mouse. The EEG of mouse 1 did not show any epileptic features during recording. In other five mice, sharp waves were observed, as shown in Fig. 3(a). Two mice showed spike-and-wave discharge [Fig. 3(b)] in their EEGs, which is a typical pattern of absence seizure.
- Sometimes, strongly synchronized spikes with amplitudes of nearly 50 times higher than other spikes were observed.

### 3.2 Systemic Hemodynamic Responses to Seizure Measured by NIRS

Figure 4 illustrates the systemic changes in Δ[HbO2] and Δ[HbR] after the administration of seizure-inducing drugs.

### Notes:
- The frequency of spikes is an inverse of the interspike distance.
- The total duration of ictal events was obtained by adding the duration of ictal events in every mouse.
- The peak-to-peak level is the ratio of the size of the spike compared to the standard deviation of the baseline. This value is not a physical value, but the strength of discharge during epileptic events. The EEGs of mouse 7 and mouse 12 did not show any epileptic feature during recording.
The long-term responses in hemodynamic concentrations were notably different for each drug applied: in general, mice treated with GBL had a slow but large increase in $\Delta[\text{HbO}_2]$; on the other hand, mice treated with 4-AP had multiple sharp inflows without long-term drifts.

The systemic hemodynamic response records show diverse patterns between each mouse even when treated with the same drug. For example, four out of six GBL-treated mice experienced global increases in $\Delta[\text{HbO}_2]$ (mouse 1, 2, 4, and 5); Mouse 3 died 20 min after dose and the $\Delta[\text{HbO}_2]$ increase was observed only during the first several minutes. Mouse 6 did not show any significant systemic responses. In 4-AP-treated mice, fast and sporadic blood inflow was observed in four out of six mice (mice 7–10).

### 3.3 Spatial Correlation of Hemodynamic Signals during Seizure

The hemodynamic data obtained in different regions of the mouse brain were analyzed to extract information on the dynamical correlation between two regions. The degree of spatial correlation during epileptic events was compared to the values during baseline. The level of spatial correlation was obtained by calculating the cross-correlation coefficient of $\Delta[\text{HbO}_2]$ at different positions. For eight NIRS channels, the cross-correlation coefficients for 28 pairs were calculated every minute. The mean and standard deviation of the spatial correlation are plotted with respect to time for each mouse along with the seizure occurrence caused by GBL and 4-AP in Figs. 5a and 5b, respectively. Neither significant change of spatial correlation nor correlation to the epileptic events was observed in GBL-injected mice Fig. 5a. The spatial correlation of hemodynamics increased significantly after injection of drug compared to the baseline in the 4-AP group, except mouse 7 (paired t-test, $p$-value < 0.05). In addition, it was observed that the synchronized behavior of hemodynamic flow precedes the cease of epileptic episodes [mouse 8 and 9 in Fig. 5b].
3.4 Hemodynamic Responses to GBL-Induced Seizure

The durations of GBL-induced seizure were <2 s in most of the cases. We analyzed each hemodynamic change for each epileptic event. In regard to hemodynamic response to seizure, five distinct patterns can be classified: “no response,” “wash-out” ($\Delta[HbO_2]$ and $\Delta[HBr]$), “joint-decrease” ($\Delta[HbO_2]$ and $\Delta[HBr]$), “joint-increase” ($\Delta[HbO_2]$ and $\Delta[HBr]$), and deactivation ($\Delta[HbO_2]$ and $\Delta[HBr]$), as schematically shown in the inner box in Fig. 6(a). Sample traces of each case were shown in Supplements 1–4. Prior to categorizing hemodynamic responses, a zero-phase Butterworth high-pass filter with a cutoff frequency of 0.01–Hz was applied to eliminate any drift. 40–70% of epileptic responses were not accompanied by any hemodynamic responses. After each epileptic event, the subsequent hemodynamic signals were averaged. Mouse 1 was excluded in this process because the epileptic episodes were hardly to be distinguishable in the EEG recording. It was observed that the joint decrease was the dominant hemodynamic response (37–52%), and the wash-out responses were observed (0–17%) third. The opposite pattern of wash-out, i.e., the de-activation response was observed only in mouse 6 with a significant ratio (37.5%). We have not noted any single case of joint increase.

Figure 6(a) shows a summary of hemodynamic responses of all GBL-treated mice with respect to time. For the first 5 min after the dose, neither epileptiform discharge nor hemodynamic response was observed for all cases. Afterward, various hemodynamic responses were recorded, such as “no response,” “joint-decrease,” “wash-out,” and “de-activation.”

3.5 Phase Relationship between $\Delta[HbO_2]$ and $\Delta[HBr]$

The relative phase changes between oxy- and deoxyhemoglobin concentrations in the frequency band of low-frequency oscillation (LFO) were investigated. 180 deg out-of-phase oscillations in $\Delta[HbO_2]$ and $\Delta[HBr]$ are typically recorded and in-phase changes are associated with modifications to the total hemoglobin concentration or blood partial volume. The instantaneous phase was obtained by calculating the Hilbert phase after filtering by a zero-order Butterworth filter (Butterworth, 0.05–0.2 Hz). The temporal development of the phase shift in both drug groups is shown in Fig. 6(b). Because we are only interested in the relative phase relationship between oxy- and deoxyhemoglobin, phases between 180 and 360 deg were converted to those between 180 and 0 deg. We observed that the phase relationship between oxy- and deoxyhemoglobin during baseline recording in the mouse was nearly out of phase, and its mean value ($\Delta\theta = \theta_{HbO_2} - \theta_{HBr}$) was $\sim 145$ deg. In the 4-AP group, no statistically different phase shifts between oxy- and deoxyhemoglobin were observed after drug administration. In the GBL group, the out-of-phase relationship moved to the in-phase state approximately 20 min after the dose and then returned to the out-of-phase state 40 min after the dose.

4 Discussion

Arranging multiple sensors on a mouse brain is extremely challenging due to the size of the brain. For successful installation of multiple sensors on the mouse brain, construction of fiber holders and application of dental cement to the sensors for fixation were critical factors. Because NIRS requires two different wavelengths of light focused on the same spot, aligning fibers usually takes most of the preparation time and using the fiber holder with manipulation function dramatically reduced the installation time. Additionally, the dental cement fixed the fiber tips and maintained the consistent interface to the skull.

The main difference between GBL- and 4-AP–induced seizure was in the duration of the epileptic periods. In the case of GBL, the epilepsy was episodic and its duration was short...
Various hemodynamic responses including joint decrease, wash out, and deactivation, but mostly the epileptic events trigger the decrease in the deoxyhemoglobin concentration. These results are more consistent with functional magnetic resonance imaging (fMRI)-EEG studies in humans; in essence, these studies reported patient-specific hemodynamic responses, high inter- and intra-subject variability, and ∼70% concurrence between hemodynamic and brain activation. A dynamic fMRI-EEG study on rats showed that absence seizure concurrently induced bold signal increases in the thalamus and somatosensory cortex. The same study also showed that a general increase in the bold level was observed in rats experiencing tonic-clonic seizure. These results are consistent with our observations of a decrease in deoxyhemoglobin accompanied by GBL-induced seizure and a sudden decrease monitored in 4-AP–injected mice.

The simultaneous decrease of oxy- and deoxyhemoglobin observed in mice 2–5 can be speculated as either vasocostriction or simultaneous flow and metabolism decrease. It has been reported that astrocytic calcium is an important determinant of the regulation of vasodilation and vasoconstriction in the cerebral microvasculature. Vascular constriction occurs when the astrocytic calcium level increases due to the propagation of calcium in the astrocyte end feet. However, the influence of GBL on the astrocytic calcium level is unknown.

A further study on transgenic mice with an altered calcium current in the astrocytes may be crucial in determining the condition in which vasoconstriction occurs.

In the predrug state, the phase shifts between oxy- and deoxyhemoglobin were −150 deg, which is comparable to the values in the human brain. Our observation showed that 4-AP did not alter the phase shift, whereas GBL reduced the phase shift in an impressive manner [Fig. 6(b)]. It has been reported that the out-of-phase relationship of oxy- and deoxyhemoglobin has been described in previous studies as a spin-off phenomenon of the wash-out effect.

In conclusion, the experimental setup presented in this study successively monitored the cerebral hemodynamics in the mouse brain in conjunction with electrophysiological recording under pharmacological manipulation. Recent innovative techniques in genetic engineering have produced mechanically controllable mice in the molecular, cellular, or circuit level. Our multimodal setup will be a good screening technology along with prevalent neurophysiological techniques. Moreover, simultaneous assessment of cerebral hemodynamics and electrical activity in transgenic mice will support the study of the molecular or cellular origin of neurovascular coupling in vivo.

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