## PROCEEDINGS OF SPIE

# Optoelectronic Integrated Circuits XV

Louay A. Eldada El-Hang Lee Editors

6–7 February 2013 San Francisco, California, United States

Sponsored and Published by SPIE

Volume 8628

Proceedings of SPIE 0277-786X, V.8628

SPIE is an international society advancing an interdisciplinary approach to the science and application of light.

Optoelectronic Integrated Circuits XV, edited by Louay A. Eldada, El-Hang Lee, Proc. of SPIE Vol. 8628, 862801 · © 2013 SPIE CCC code: 0277-786X/13/\$18 · doi: 10.1117/12.2025005

Proc. of SPIE Vol. 8628 862801-1

The papers included in this volume were part of the technical conference cited on the cover and title page. Papers were selected and subject to review by the editors and conference program committee. Some conference presentations may not be available for publication. The papers published in these proceedings reflect the work and thoughts of the authors and are published herein as submitted. The publisher is not responsible for the validity of the information or for any outcomes resulting from reliance thereon.

Please use the following format to cite material from this book: Author(s), "Title of Paper," in *Optoelectronic Integrated Circuits XV*, edited by Louay A. Eldada, El-Hang Lee, Proceedings of SPIE Vol. 8628 (SPIE, Bellingham, WA, 2013) Article CID Number.

ISSN: 0277-786X ISBN: 9780819493972

Published by **SPIE** P.O. Box 10, Bellingham, Washington 98227-0010 USA Telephone +1 360 676 3290 (Pacific Time) · Fax +1 360 647 1445 SPIE.org

Copyright © 2013, Society of Photo-Optical Instrumentation Engineers.

Copying of material in this book for internal or personal use, or for the internal or personal use of specific clients, beyond the fair use provisions granted by the U.S. Copyright Law is authorized by SPIE subject to payment of copying fees. The Transactional Reporting Service base fee for this volume is \$18.00 per article (or portion thereof), which should be paid directly to the Copyright Clearance Center (CCC), 222 Rosewood Drive, Danvers, MA 01923. Payment may also be made electronically through CCC Online at copyright.com. Other copying for republication, resale, advertising or promotion, or any form of systematic or multiple reproduction of any material in this book is prohibited except with permission in writing from the publisher. The CCC fee code is 0277-786X/13/\$18.00.

Printed in the United States of America.

Publication of record for individual papers is online in the SPIE Digital Library.



**Paper Numbering:** Proceedings of SPIE follow an e-First publication model, with papers published first online and then in print and on CD-ROM. Papers are published as they are submitted and meet publication criteria. A unique, consistent, permanent citation identifier (CID) number is assigned to each article at the time of the first publication. Utilization of CIDs allows articles to be fully citable as soon as they are published online, and connects the same identifier to all online, print, and electronic versions of the publication. SPIE uses a six-digit CID article numbering system in which:

• The first four digits correspond to the SPIE volume number.

• The last two digits indicate publication order within the volume using a Base 36 numbering system

employing both numerals and letters. These two-number sets start with 00, 01, 02, 03, 04, 05, 06, 07, 08, 09, 0A, 0B ... 0Z, followed by 10-1Z, 20-2Z, etc.

The CID Number appears on each page of the manuscript. The complete citation is used on the first page, and an abbreviated version on subsequent pages. Numbers in the index correspond to the last two digits of the six-digit CID Number.

## Contents

- v Conference Committee
- vii Introduction
- ix Group IV photonics for the mid infrared (Plenary Paper) [8629-1] R. Soref, The Univ. of Massachusetts at Boston (United States)
- xxv Light in a Twist: Optical Angular Momentum (Plenary Paper) [8637-2]M. J. Padgett, Univ. of Glasgow (United Kingdom)

#### SI PHOTONICS FOR OPTICAL INTERCONNECTS II: JOINT SESSION WITH CONFERENCES 8628 AND 8630

8628 05 Very-low-power and footprint integrated photonic modulators and switches for ICT (Invited Paper) [8628-4]

L. Thylén, Royal Institute of Technology (Sweden) and Hewlett-Packard Labs. (United States) and Joint Research Ctr. of Photonics (China); P. Holmström, Royal Institute of Technology (Sweden); L. Wosinski, Royal Institute of Technology (Sweden) and Joint Research Ctr. of Photonics of the Royal Institute of Technology and Zhejiang Univ. (China)

#### HYBRID PHOTONIC INTEGRATED CIRCUITS

- Silicon, silica, and germanium photonic integration for electronic and photonic convergence (Invited Paper) [8628-5]
  H. Fukuda, T. Tsuchizawa, H. Nishi, R. Kou, T. Hiraki, K. Takeda, NTT Microsystem Integration Labs. (Japan) and NTT Nanophotonics Ctr. (Japan); K. Wada, Y. Ishikawa, The Univ. of Tokyo (Japan); K. Yamada, NTT Microsystem Integration Labs. (Japan) and NTT Microsystem Integration Labs. (Japan) and NTT Microsystem Integration Labs. (Japan); K. Yamada, NTT Microsystem Integration Labs. (Japan) and NTT
- 8628 07 **Photonic integrated circuits based on silica and polymer PLC (Invited Paper)** [8628-6] T. Izuhara, J. Fujita, R. Gerhardt, B. Sui, W. Lin, B. Grek, Enablence (United States)
- 8628 08 Hybrid nanoplasmonic waveguides and nanophotonic integrated devices on silicon (Invited Paper) [8628-7]
   D. Dai, X. Guan, S. He, Zhejiang Univ. (China)

#### **EFFICIENCY IN ELECTRONIC-PHOTONIC SYSTEMS**

 8628 0A Nanoscale SOI silicon light source design for improved efficiency [8628-9]
 P. J. Venter, M. du Plessis, Univ. of Pretoria (South Africa); A. W. Bogalecki, C. Janse van Rensburg, INSiAVA (Pty) Ltd. (South Africa)

#### **VLSI PHOTONICS**

- All-silicon and epitaxially grown III-V-on-silicon photodetectors for on-chip optical interconnection applications (Invited Paper) [8628-13]
   A. W. Poon, S. Feng, Y. Li, Y. Geng, K. M. Lau, Hong Kong Univ. of Science and Technology (Hong Kong, China)
- 8628 OF All-optical logic gates and wavelength conversion via the injection locking of a Fabry-Perot semiconductor laser [8628-14]
   E. Harvey, M. Pochet, J. Schmidt, T. Locke, Air Force Institute of Technology (United States); N. Naderi, N. G. Usechak, Air Force Research Lab. (United States)

#### HETEROGENEOUS INTEGRATED PHOTONICS

- Light from germanium tin heterostructures on silicon (Invited Paper) [8628-18]
   E. Kasper, Univ. Stuttgart (Germany); M. Kittler, Brandenburgische Technische Univ. Cottbus (Germany); M. Oehme, Univ. Stuttgart (Germany); T. Arguirov, Brandenburgische Technische Univ. Cottbus (Germany)
- 8628 0K Heterogeneous optoelectronic integration using locally polymerized imprinted hard mask [8628-19]
   A. Sodhi, S. J. Beach, L. Chen, Univ. of California, Santa Barbara (United States);
   M. Jacob-Mitos, J. E. Roth, Aurrion, Inc. (United States); J. Bowers, L. Theogarajan, Univ. of California, Santa Barbara (United States)
- 8628 0L InP-PD integration on silica-based PLC for QPSK receiver (Invited Paper) [8628-20] M. Itoh, T. Hashimoto, NTT Photonics Labs., NTT Corp. (Japan)
- 8628 0M Fabrication of high-efficiency heterogeneous Si/III-V integration with short optical vertical interconnect access [8628-21]
   D. K. T. Ng, J. Pu, Q. Wang, K.-P. Lim, Y. Wei, Y. Wang, Y. Lai, A\*STAR Data Storage Institute (Singapore); S.-T. Ho, A\*STAR Data Storage Institute (Singapore) and Northwestern Univ. (United States)

#### MICRO- AND NANOPHOTONIC RINGS AND DISKS

- 8628 0N Analysis of high-bandwidth low-power microring links for off-chip interconnects (Invited Paper) [8628-22]
  - N. Ophir, K. Bergman, Columbia Univ. (United States)
- 8628 00 InP tunable ring resonator filters [8628-23]
   A. Tauke-Pedretti, G. A. Vawter, E. J. Skogen, G. Peake, M. Overberg, C. Alford, Sandia National Labs. (United States); D. Torres, LMATA Government Services LLC (United States); F. Cajas, Sandia National Labs. (United States)
- 8628 OP Semiconductor plasmonic nanodisk laser: simulation and design [8628-24]
   Q. Wang, A\*STAR Data Storage Institute (Singapore); S. Ho, Northwestern Univ. (United States)

Author Index

### **Conference Committee**

Symposium Chair

David L. Andrews, University of East Anglia Norwich (United Kingdom)

Symposium Cochairs

Alexei L. Glebov, OptiGrate Corporation (United States) Klaus P. Streubel, OSRAM GmbH (Germany)

#### Program Track Chair:

Yakov Sidorin, Quarles Brady LLP (United States)

**Conference** Chairs

Louay A. Eldada, Quanergy, Inc. (United States) El-Hang Lee, Inha University (Korea, Republic of)

#### Conference Program Committee

Yung-Jui Chen, University of Maryland, Baltimore County (United States) Larry A. Coldren, University of California, Santa Barbara (United States) Mario Dagenais, University of Maryland, College Park (United States) P. Daniel Dapkus, The University of Southern California (United States) Yeshaiahu Fainman, University of California, San Diego (United States) Chennupati Jagadish, The Australian National University (Australia) Richard M. Osgood Jr., Columbia University (United States) Manijeh Razeghi, Northwestern University (United States) Giancarlo C. Righini, Istituto di Fisica Applicata Nello Carrara (Italy) David J. Rogers, Nanovation (France)

#### Session Chairs

- 1 Si Photonics for Optical Interconnects I: Joint Session with Conferences 8628 and 8630
  - Ray T. Chen, The University of Texas at Austin (United States)
- Si Photonics for Optical Interconnects II: Joint Session with Conferences
   8628 and 8630
   Louay A. Eldada, Quanergy, Inc. (United States)
- 3 Hybrid Photonic Integrated Circuits Louay A. Eldada, Quanergy, Inc. (United States)

- 4 Efficiency in Electronic-Photonic Systems **El-Hang Lee**, Inha University (Korea, Republic of)
- 5 VLSI Photonics
   Andrew W. Poon, Hong Kong University of Science and Technology (Hong Kong, China)
- 6 Metamaterials and Quantum Photonics Louay A. Eldada, Quanergy, Inc. (United States)
- 7 Heterogeneous Integrated Photonics Louay A. Eldada, Quanergy, Inc. (United States)
- 8 Micro- and Nanophotonic Rings and Disks
   Andrew W. Poon, Hong Kong University of Science and Technology (Hong Kong, China)

## Introduction

This volume features contributions from scientists and engineers in the areas of optoelectronic integrated circuits (OEIC) and photonic integrated circuits (PIC). Photonic, optoelectronic, electronic, photovoltaic, microwave, biological, and fluidic devices are integrated to address the need for rapid progress in cost, space, performance, and reliability. Demands for greater bandwidths have driven the telecom and datacom research communities to realize complex OEICs and PICs such as transceivers, low chirp optical sources, switching systems, and multi-channel optical distribution systems. The integration of multiwavelength laser arrays, monitoring photodiodes, and drivers is becoming a reality in the communications arena. Other emerging application areas include all-optical packet switching, neural systems, optical computing, optical storage, smart pixel arrays, projection displays, imaging, scanning, printing, medical chemical/biological sensing, diaanosis, as well as 3D environment sensing/mapping and object detection, classification and tracking.

The increased level of integration in recent years has resulted in an increased level of miniaturization, so we covered in this volume the emerging field of VLSI Photonic ICs, as well as Nanoscale and Quantum OEICs. The scientific and technological issues and challenges concerning the micro/nano/quantum-scale integration of optoelectronic devices, circuits, components, modules, subsystems and systems include the size effect, proximity effect, energy confinement effect, microcavity effect, single photon effect, optical interference effect, high field effect, nonlinear effect, noise effect, quantum optical effect, and chaotic noise effects. Optical alignment between miniature devices, minimizina interconnection and coupling losses, and maintaining the stability of optical interfaces, are some of the important issues that are receiving careful consideration.

Papers in these proceedings include discussions of the physics, theory, design, modeling, simulation, and scaling of a wide range of OEICs and PICs with regard to their optical, electrical, thermal and mechanical properties; the integration of different optoelectronic structure types including dots, wells, planar, free space, one-dimensional, two-dimensional and three-dimensional photonics crystals; the integration of different functions including lasers, amplifiers, detectors, sensors, solar cells, modulators, isolators, circulators, electrically-actuated/all-optical switches, attenuators, couplers, multi/demultiplexers, filters, wavelength converters, polarization controllers, chromatic/polarization mode dispersion compensators, intra-chip/chip-to-board/board-level optical interconnects, and control electronics; the fabrication, processing, and manufacturing techniques (UV/deep UV/X-ray/e-beam lithography, casting, molding, embossing, etching, passivation, etc.) as well as the packaging, assembly, reliability and qualification of monolithic and hybrid OEICs and PICs in a variety of materials

(semiconductors, silica, polymers, ferroelectrics, magnetics, metals, biomaterials, etc.). Some papers describe the refinement of existing schemes and processes, while others introduce novel concepts and new designs. Papers from academic and research institutions push the state of the art in miniaturization, level of integration, and performance figures of merit, and papers from the industry emphasize design criteria and manufacturing methods that result in practical OEICs and PICs that can be deployed commercially today or in the near future.

Although this volume cannot include all the recent important work in the vast field comprising OEICs and PICs, it does cover a significant cross-section of the advances happening globally in areas where these components are making an impact, and it provides a roadmap to the future of OEICs and PICs by presenting the cutting-edge work and the visions of leading experts who are actively inventing the future.

> Louay A. Eldada El-Hang Lee